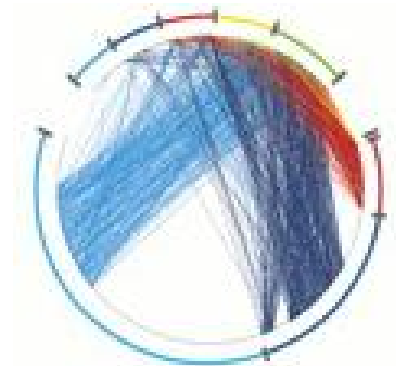
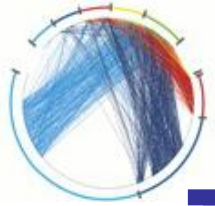


Models and Algorithms for Complex Networks

Theory and Algorithms for Link
Analysis Ranking, Rank
Aggregation, and Voting





Outline

- § Axiomatic Characterizations of Link Analysis Ranking Algorithms
 - § InDegree algorithm
 - § PageRank algorithm
- § Rank Aggregation
 - § Computing aggregate scores
 - § Computing aggregate rankings - voting



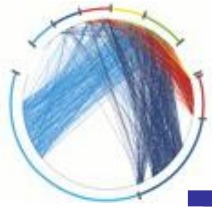
Comparing LAR vectors



$$w_1 = [1 \quad 0.8 \quad 0.5 \quad 0.3 \quad 0]$$

$$w_2 = [0.9 \quad 1 \quad 0.7 \quad 0.6 \quad 0.8]$$

§ How close are the LAR vectors w_1, w_2 ?



Distance between LAR vectors

§ Geometric distance: how close are the **numerical weights** of vectors w_1, w_2 ?

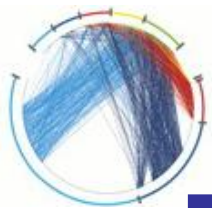
$$d_1(w_1, w_2) = \sum |w_1[i] - w_2[i]|$$



$$w_1 = [1.0 \quad 0.8 \quad 0.5 \quad 0.3 \quad 0.0]$$

$$w_2 = [0.9 \quad 1.0 \quad 0.7 \quad 0.6 \quad 0.8]$$

$$d_1(w_1, w_2) = 0.1 + 0.2 + 0.2 + 0.3 + 0.8 = 1.6$$

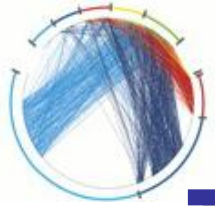


Distance between LAR vectors

§ Rank distance: how close are the **ordinal rankings** induced by the vectors w_1, w_2 ?

§ Kendal's τ distance

$$d_r(w_1, w_2) = \frac{\text{pairs ranked in a different order}}{\text{total number of distinct pairs}}$$



Similarity

§ Definition: Two algorithms A_1, A_2 are **similar** if

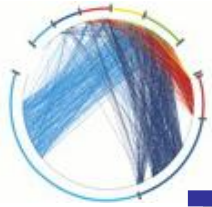
$$\lim_{n \rightarrow \infty} \frac{\max_{G \in G_n} d_1(A_1(G), A_2(G))}{\max_{w_1, w_2} d_1(w_1, w_2)} = 0$$

§ Definition: Two algorithms A_1, A_2 are **rank similar** if

$$\lim_{n \rightarrow \infty} \max_{G \in G_n} d_r(A_1(G), A_2(G)) = 0$$

§ Definition: Two algorithms A_1, A_2 are **rank equivalent** if

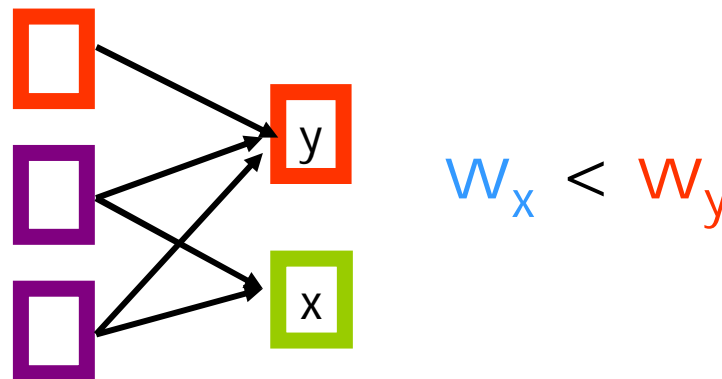
$$\max_{G \in G_n} d_r(A_1(G), A_2(G)) = 0$$

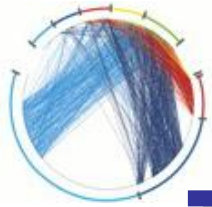


Monotonicity

§ Monotonicity: Algorithm A is **strictly monotone** if for any nodes **x** and **y**

$$B_N(x) \subset B_N(y) \Leftrightarrow A(G)[x] < A(G)[y]$$



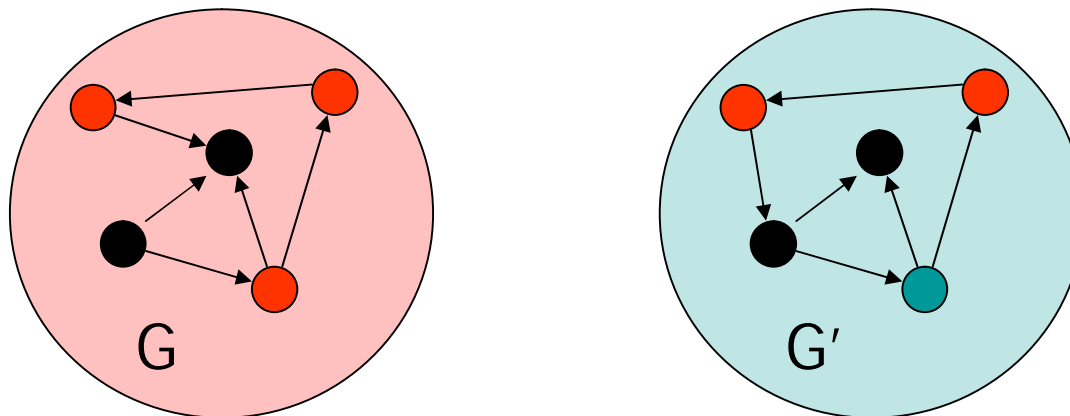


Locality

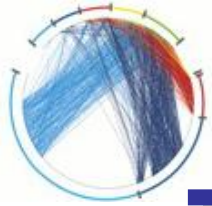
§ Locality: An algorithm A is **strictly rank local** if, for every pair of graphs $G=(P,E)$ and $G'=(P,E')$, and for every pair of nodes x and y , if $B_G(x)=B_{G'}(x)$ and $B_G(y)=B_{G'}(y)$ then

$$A(G)[x] < A(G)[y] \Leftrightarrow A(G')[x] < A(G')[y]$$

§ the relative order of the nodes remains the same



§ The InDegree algorithm is strictly rank local



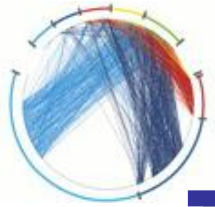
Label Independence

- § Label Independence: An algorithm is **label independent** if a permutation of the labels of the nodes yields the same permutation of the weights
- § the weights assigned by the algorithm do not depend on the labels of the nodes



Axiomatic characterization of the InDegree algorithm [BRRT05]

§ Theorem: Any algorithm that is **strictly rank local**, **strictly monotone** and **label independent** is **rank equivalent** to the InDegree algorithm

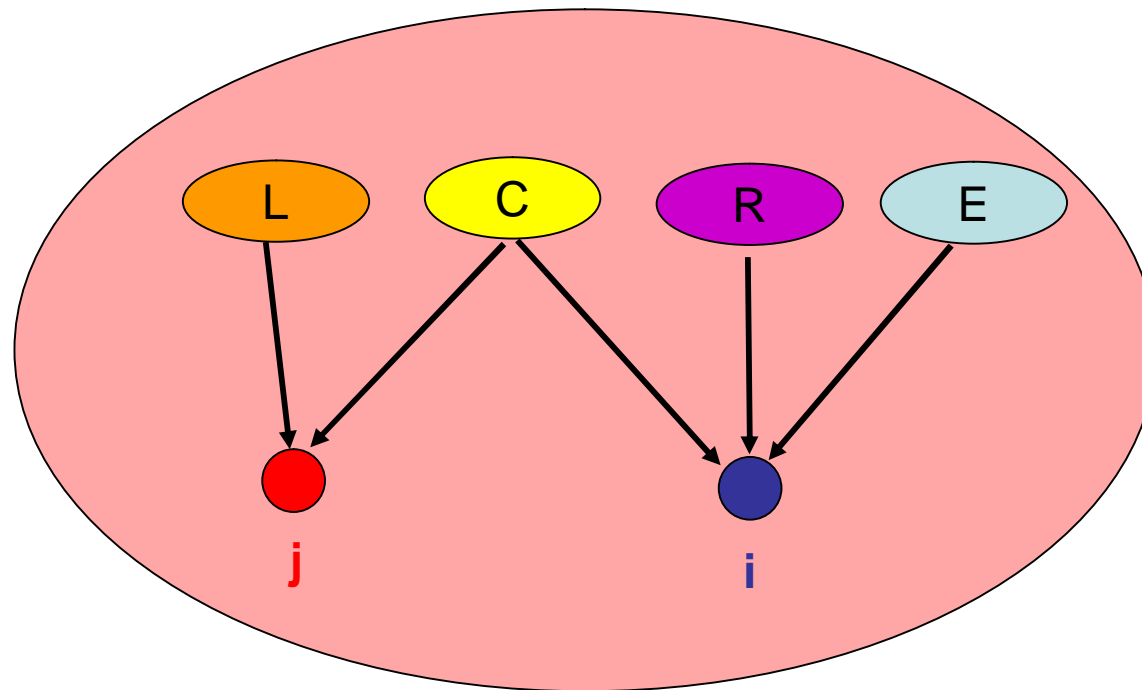


Proof outline

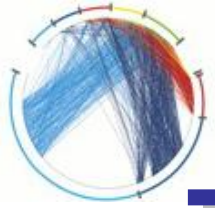
- § Consider two nodes i and j with $d(i) > d(j)$
- § Assume that $w(i) < w(j)$

$$|R| = |L|$$

$$|E| > 0$$



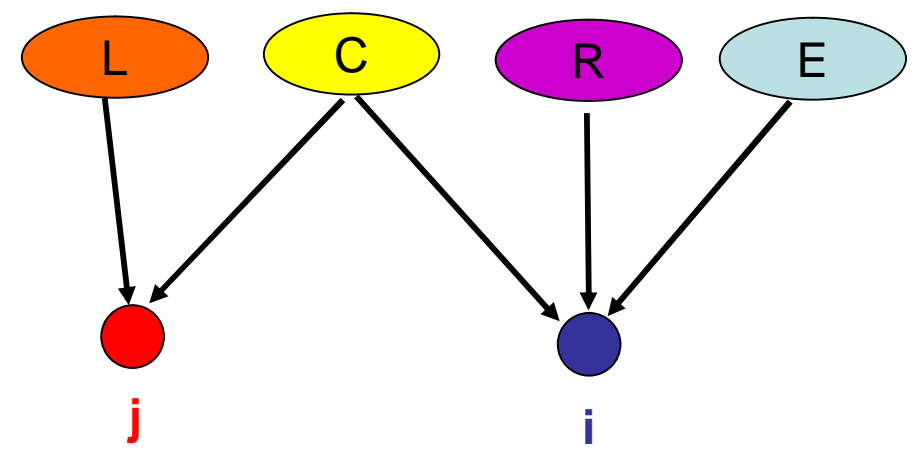
graph G



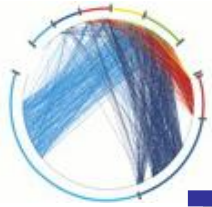
Proof outline

§ Remove all links except to i and j

§ $w_1(i) < w_1(j)$ (from locality)



graph G_1



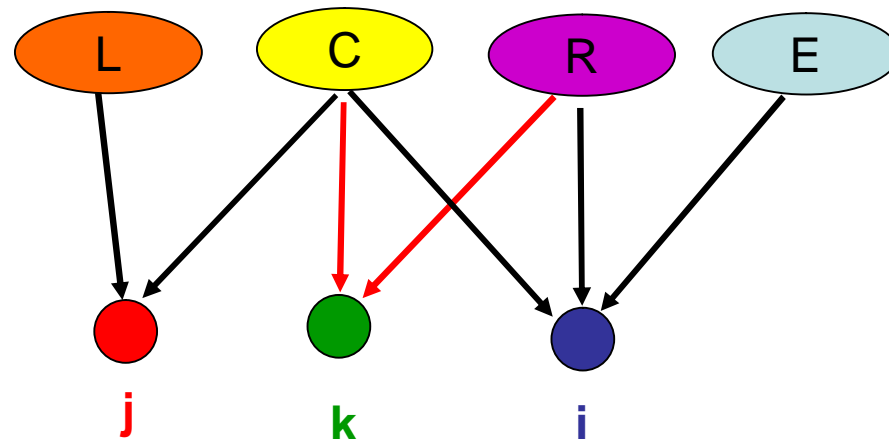
Proof outline

§ Add links from C and R to node k

§ $w_2(i) < w_2(j)$ (from locality)

§ $w_2(k) < w_2(i)$ (from monotonicity)

§ $w_2(k) < w_2(j)$



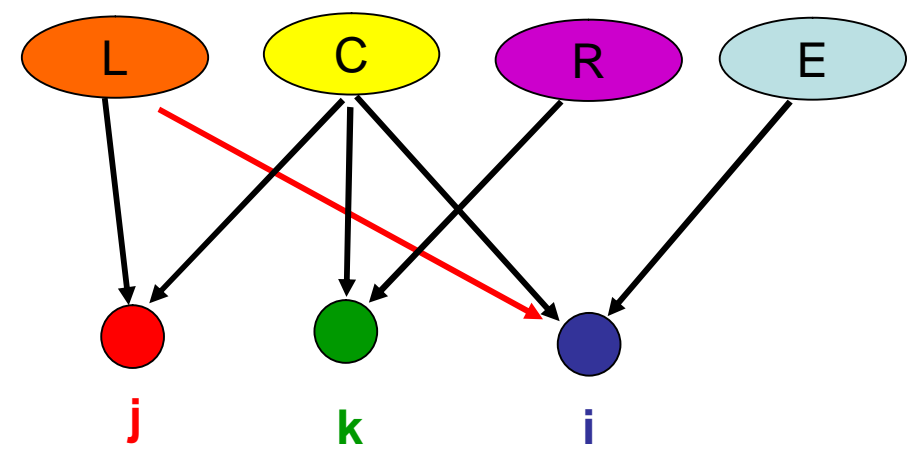
graph G_2



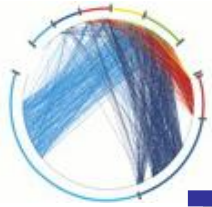
Proof outline

§ Remove links from R to i and add links from L to i

§ $w_3(k) < w_3(j)$ (from locality)



graph G_3

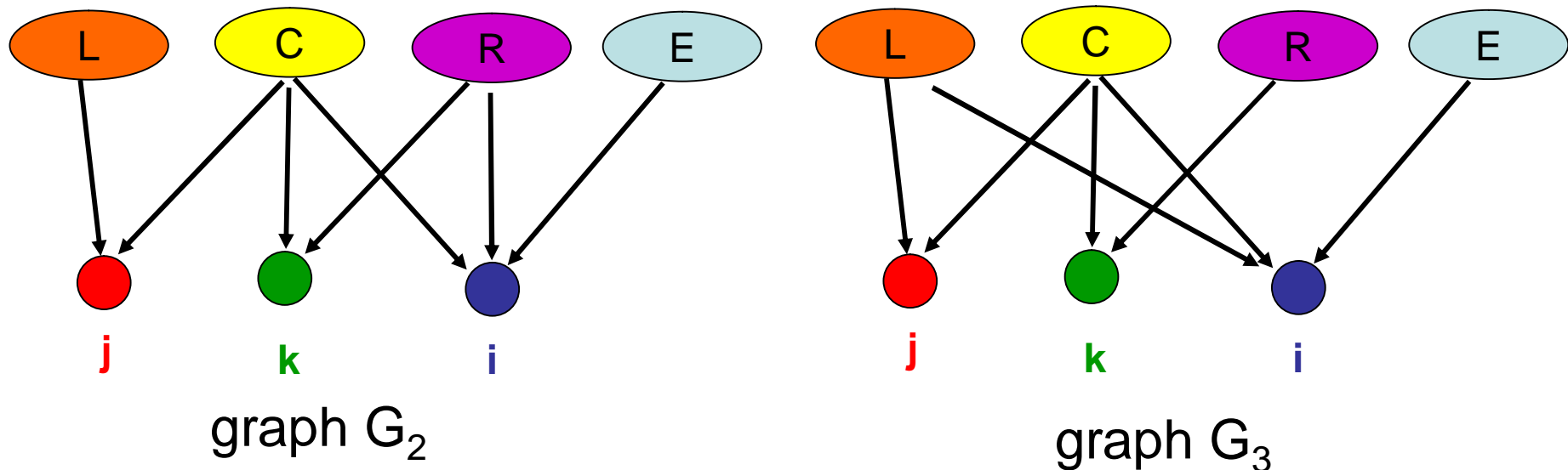


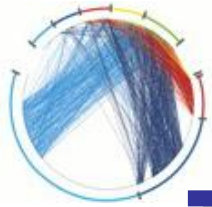
Proof outline

§ Graphs G_2 and G_3 are the same up to a label permutation

$$L \leftrightarrow R$$

$$j \leftrightarrow k$$



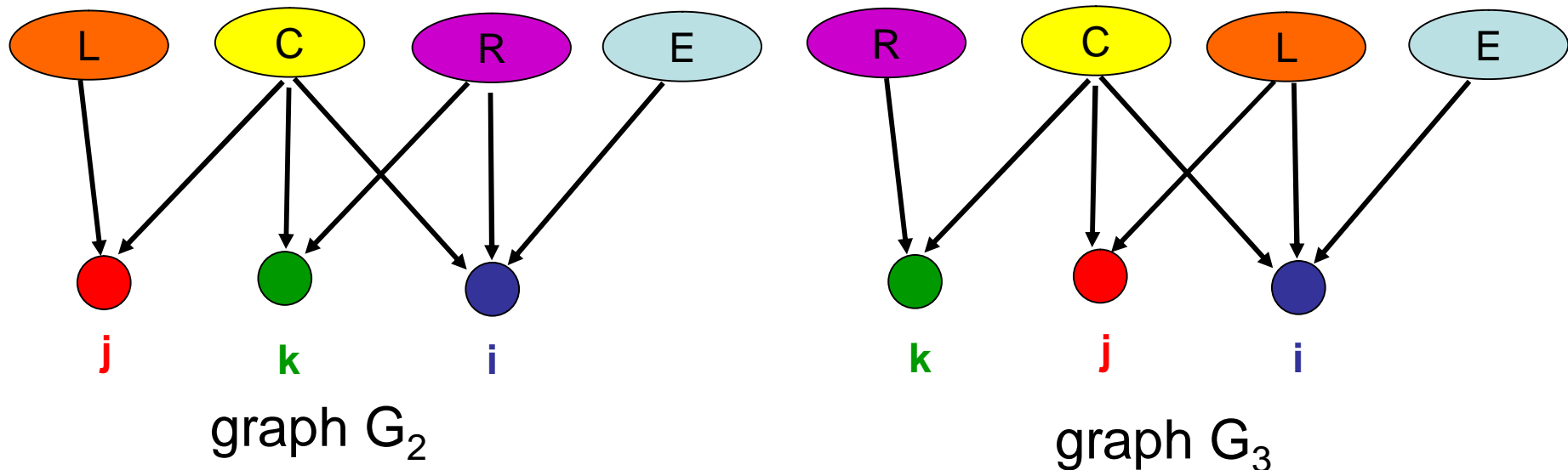


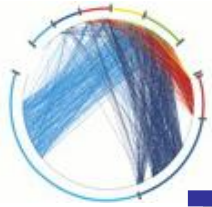
Proof outline

§ Graphs G_2 and G_3 are the same up to a label permutation

$$L \leftrightarrow R$$

$$j \leftrightarrow k$$





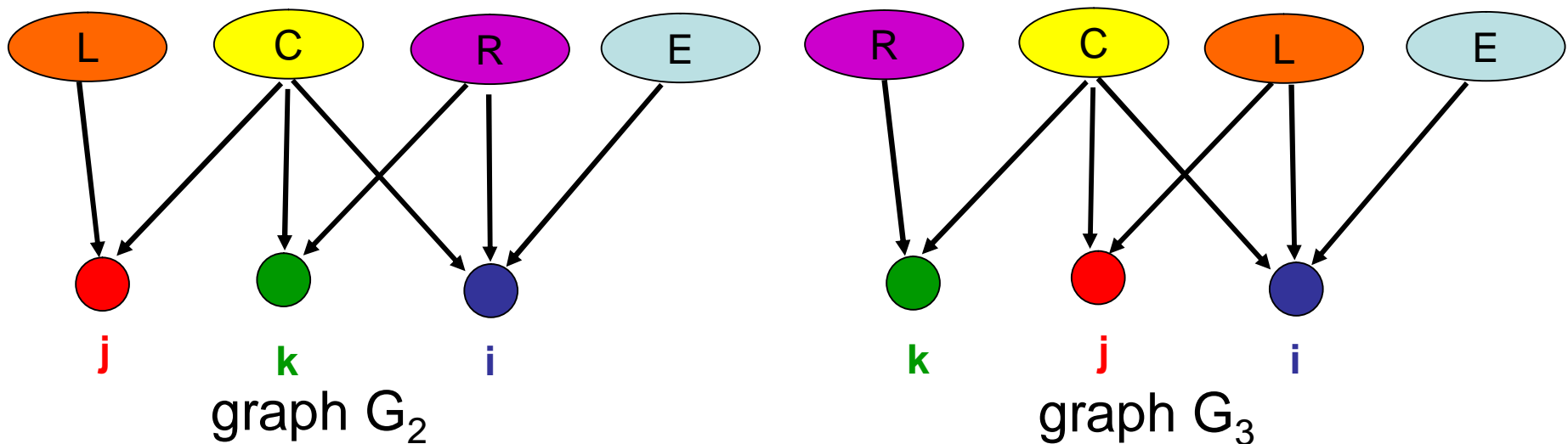
Proof outline

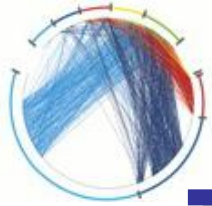
§ We now have

§ $w_2(j) < w_2(k)$ and $w_3(j) < w_3(k)$ (shown before)

§ $w_2(j) = w_3(k)$ and $w_2(k) = w_3(j)$ (label independ.)

§ $w_2(j) > w_2(k)$ **CONTRADICTION!**





Axiomatic characterization

§ All three properties are needed

§ locality

- PageRank is also strictly monotone and label independent

§ monotonicity

- consider an algorithm that assigns 1 to nodes with even degree, and 0 to nodes with odd degree

§ label independence

- consider an algorithm that gives the more weight to links that come from some specific page (e.g. the Yahoo page)



Outline

§ Axiomatic Characterizations of Link Analysis Ranking Algorithms

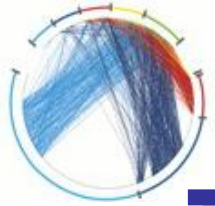
- § InDegree algorithm

- § PageRank algorithm

§ Rank Aggregation

- § Computing aggregate scores

- § Computing aggregate rankings - voting



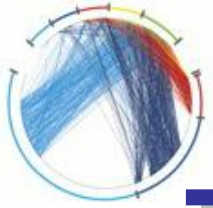
Self-edge axiom

§ Algorithm A satisfies the **self-edge axiom** if the following is true: If page a is ranked at least as high as page b in a graph $G(V,E)$, where a does not have a link to itself, then a should be ranked higher than b in $G(V,E \cup \{v,v\})$

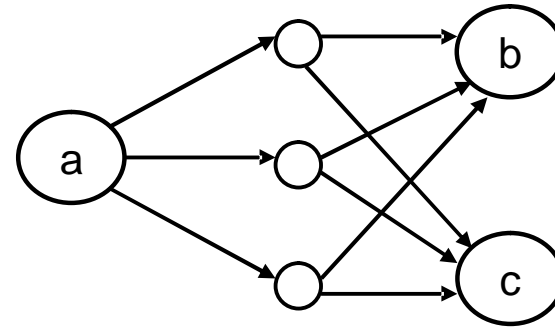
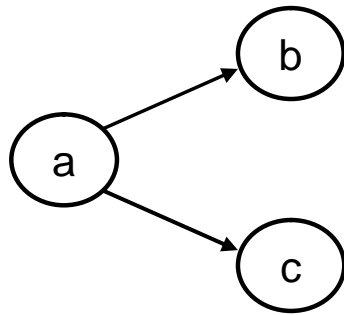


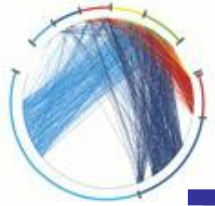
Vote by committee axiom

§ Algorithm A satisfies the **vote by committee axiom** if the following is true: If page a links to pages b and c , then the relative ranking of all the pages should be the same as in the case where the direct links from a to b and c are replaced by links from a to a new set of pages which link (only) to b and c



Vote by committee (example)



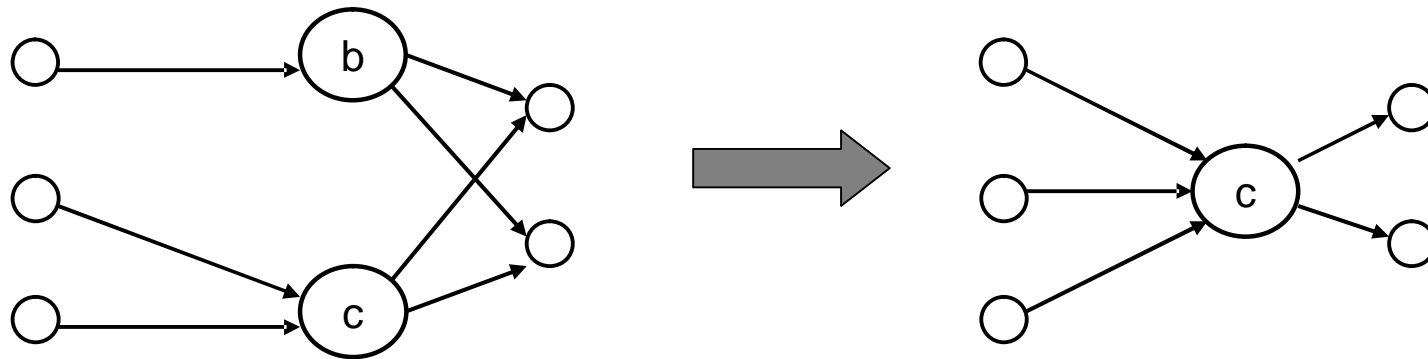


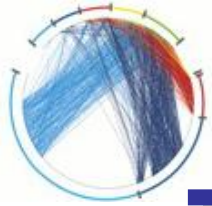
Collapsing axiom

§ If there is a pair of pages a and b that link to the same set of pages, but the set of pages that link to a and b are disjoint, then if a and b are collapsed into a single page (a), where links of b become links of a , then the relative rankings of all pages (except a and b) should remain the same.



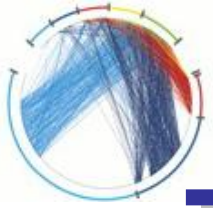
Collapsing axiom (example)



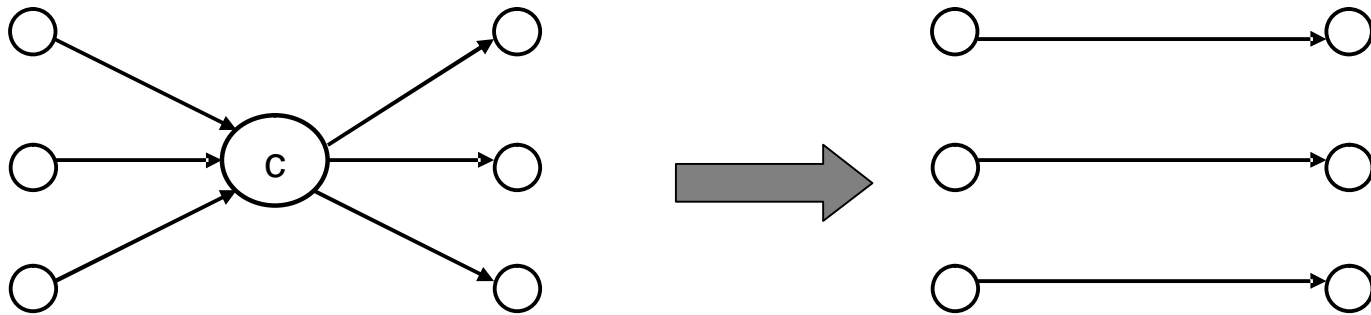


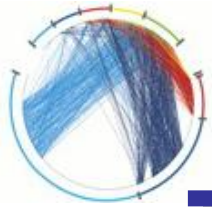
Proxy axiom

§ If there is a set of k pages with the same importance that link to a , and a itself links to k other pages, then by **dropping a** and connect the pages in $N(a)$ and $P(a)$, the **relative ranking** of all pages (excluding a) should **remain the same**



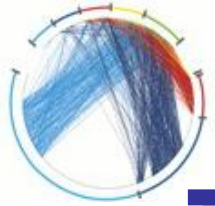
Proxy axiom (example)





Axiomatic Characterization of PageRank Algorithm [AT04]

§ The PageRank algorithm satisfies **label independence**, **self-edge**, **vote by committee**, **collapsing** and **proxy** axioms.



Outline

§ Axiomatic Characterizations of Link Analysis Ranking Algorithms

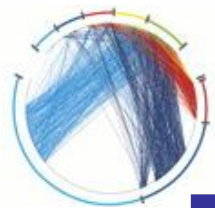
- § InDegree algorithm

- § PageRank algorithm

§ Rank Aggregation

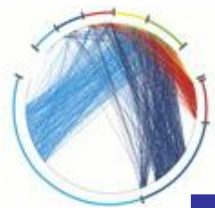
- § Computing aggregate scores

- § Computing aggregate rankings - voting



Rank Aggregation

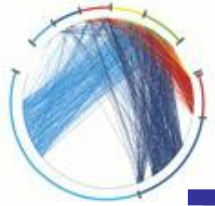
§ Given a set of rankings R_1, R_2, \dots, R_m of a set of objects X_1, X_2, \dots, X_n produce a single ranking R that is in agreement with the existing rankings



Examples

§ Voting

§ rankings R_1, R_2, \dots, R_m are the voters, the objects X_1, X_2, \dots, X_n are the candidates.

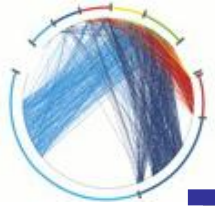


Examples

§ Combining multiple scoring functions

§ rankings R_1, R_2, \dots, R_m are the scoring functions, the objects X_1, X_2, \dots, X_n are data items.

- Combine the PageRank scores with term-weighting scores
- Combine scores for multimedia items
 - § color, shape, texture
- Combine scores for database tuples
 - § find the best hotel according to price and location

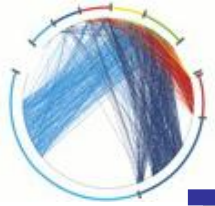


Examples

§ Combining multiple sources

§ rankings R_1, R_2, \dots, R_m are the sources, the objects X_1, X_2, \dots, X_n are data items.

- meta-search engines for the Web
- distributed databases
- P2P sources



Variants of the problem

§ Combining scores

§ we know the scores assigned to objects by each ranking, and we want to compute a single score

§ Combining ordinal rankings

§ the scores are not known, only the ordering is known

§ the scores are known but we do not know how, or do not want to combine them

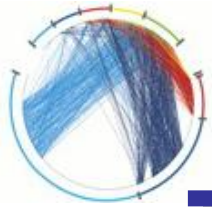
- e.g. price and star rating



Combining scores

- § Each object X_i has m scores $(r_{i1}, r_{i2}, \dots, r_{im})$
- § The score of object X_i is computed using an aggregate scoring function $f(r_{i1}, r_{i2}, \dots, r_{im})$

	R_1	R_2	R_3
X_1	1	0.3	0.2
X_2	0.8	0.8	0
X_3	0.5	0.7	0.6
X_4	0.3	0.2	0.8
X_5	0.1	0.1	0.1



Combining scores

§ Each object X_i has m scores

$(r_{i1}, r_{i2}, \dots, r_{im})$

§ The score of object X_i is

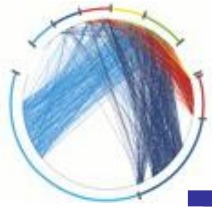
computed using an

aggregate scoring function

$f(r_{i1}, r_{i2}, \dots, r_{im})$

§ $f(r_{i1}, r_{i2}, \dots, r_{im}) =$
 $\min\{r_{i1}, r_{i2}, \dots, r_{im}\}$

	R_1	R_2	R_3	R
X_1	1	0.3	0.2	0.2
X_2	0.8	0.8	0	0
X_3	0.5	0.7	0.6	0.5
X_4	0.3	0.2	0.8	0.2
X_5	0.1	0.1	0.1	0.1



Combining scores

§ Each object X_i has m scores

$(r_{i1}, r_{i2}, \dots, r_{im})$

§ The score of object X_i is

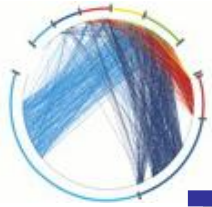
computed using an

aggregate scoring function

$f(r_{i1}, r_{i2}, \dots, r_{im})$

§ $f(r_{i1}, r_{i2}, \dots, r_{im}) =$
 $\max\{r_{i1}, r_{i2}, \dots, r_{im}\}$

	R_1	R_2	R_3	R
X_1	1	0.3	0.2	1
X_2	0.8	0.8	0	0.8
X_3	0.5	0.7	0.6	0.7
X_4	0.3	0.2	0.8	0.8
X_5	0.1	0.1	0.1	0.1



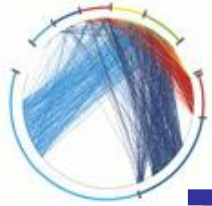
Combining scores

§ Each object X_i has m scores
 $(r_{i1}, r_{i2}, \dots, r_{im})$

§ The score of object X_i is
computed using an
aggregate scoring function
 $f(r_{i1}, r_{i2}, \dots, r_{im})$

§ $f(r_{i1}, r_{i2}, \dots, r_{im}) = r_{i1} + r_{i2} + \dots + r_{im}$

	R_1	R_2	R_3	R
X_1	1	0.3	0.2	1.5
X_2	0.8	0.8	0	1.6
X_3	0.5	0.7	0.6	1.8
X_4	0.3	0.2	0.8	1.3
X_5	0.1	0.1	0.1	0.3



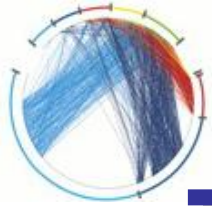
Top-k

- § Given a set of n objects and m scoring lists sorted in decreasing order, find the top-k objects according to a scoring function f

- § top-k: a set T of k objects such that $f(r_{j_1}, \dots, r_{j_m}) \leq f(r_{i_1}, \dots, r_{i_m})$ for every object X_i in T and every object X_j not in T

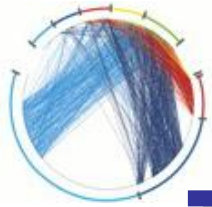
- § **Assumption:** The function f is monotone
 - § $f(r_1, \dots, r_m) \leq f(r_1', \dots, r_m')$ if $r_i \leq r_i'$ for all i

- § **Objective:** Compute top-k with the minimum cost



Cost function

- § We want to minimize the number of accesses to the scoring lists
- § **Sorted accesses**: sequentially access the objects in the order in which they appear in a list
 - § cost C_s
- § **Random accesses**: obtain the cost value for a specific object in a list
 - § cost C_r
- § If s sorted accesses and r random accesses minimize $s C_s + r C_r$



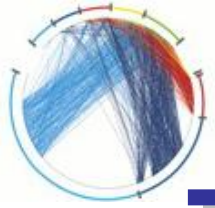
Example

R_1	
X_1	1
X_2	0.8
X_3	0.5
X_4	0.3
X_5	0.1

R_2	
X_2	0.8
X_3	0.7
X_1	0.3
X_4	0.2
X_5	0.1

R_3	
X_4	0.8
X_3	0.6
X_1	0.2
X_5	0.1
X_2	0

§ Compute top-2 for the **sum** aggregate function



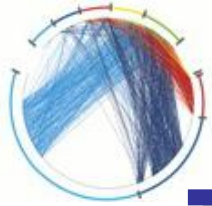
Fagin's Algorithm

1. Access sequentially all lists in parallel until there are **k** objects that have been seen in **all** lists

R_1	
X_1	1
X_2	0.8
X_3	0.5
X_4	0.3
X_5	0.1

R_2	
X_2	0.8
X_3	0.7
X_1	0.3
X_4	0.2
X_5	0.1

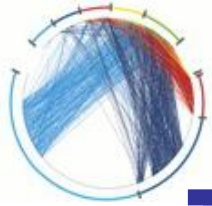
R_3	
X_4	0.8
X_3	0.6
X_1	0.2
X_5	0.1
X_2	0



Fagin's Algorithm

1. Access sequentially all lists in parallel until there are **k** objects that have been seen in **all** lists

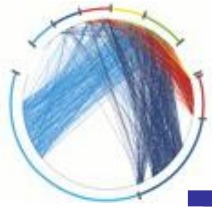
R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0



Fagin's Algorithm

1. Access sequentially all lists in parallel until there are **k** objects that have been seen in **all** lists

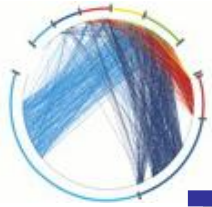
R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0



Fagin's Algorithm

1. Access sequentially all lists in parallel until there are **k** objects that have been seen in **all** lists

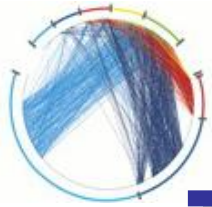
R ₁			R ₂			R ₃	
X ₁	1		X ₂	0.8		X ₄	0.8
X ₂	0.8		X ₃	0.7		X ₃	0.6
X ₃	0.5		X ₁	0.3		X ₁	0.2
X ₄	0.3		X ₄	0.2		X ₅	0.1
X ₅	0.1		X ₅	0.1		X ₂	0



Fagin's Algorithm

1. Access sequentially all lists in parallel until there are **k** objects that have been seen in **all** lists

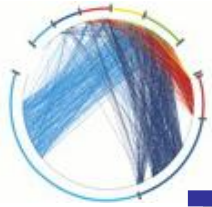
R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0



Fagin's Algorithm

2. Perform random accesses to obtain the scores of all seen objects

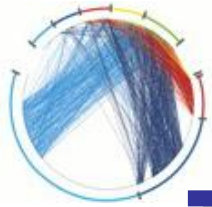
R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0



Fagin's Algorithm

3. Compute score for all objects and find the top-k

R_1			R_2			R_3			R	
X_1	1		X_2	0.8		X_4	0.8		X_3	1.8
X_2	0.8		X_3	0.7		X_3	0.6		X_2	1.6
X_3	0.5		X_1	0.3		X_1	0.2		X_1	1.5
X_4	0.3		X_4	0.2		X_5	0.1		X_4	1.3
X_5	0.1		X_5	0.1		X_2	0			

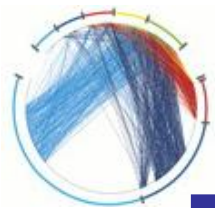


Fagin's Algorithm

§ X_5 cannot be in the top-2 because of the monotonicity property

§ $f(X_5) \leq f(X_1) \leq f(X_3)$

R_1			R_2			R_3			R	
X_1	1		X_2	0.8		X_4	0.8		X_3	1.8
X_2	0.8		X_3	0.7		X_3	0.6		X_2	1.6
X_3	0.5		X_1	0.3		X_1	0.2		X_1	1.5
X_4	0.3		X_4	0.2		X_5	0.1		X_4	1.3
X_5	0.1		X_5	0.1		X_2	0			



Fagin's Algorithm

§ The algorithm is cost optimal under some probabilistic assumptions for a restricted class of aggregate functions



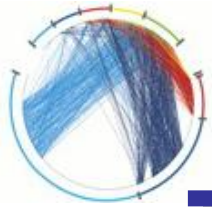
Threshold algorithm

1. Access the elements sequentially

R_1	
X_1	1
X_2	0.8
X_3	0.5
X_4	0.3
X_5	0.1

R_2	
X_2	0.8
X_3	0.7
X_1	0.3
X_4	0.2
X_5	0.1

R_3	
X_4	0.8
X_3	0.6
X_1	0.2
X_5	0.1
X_2	0

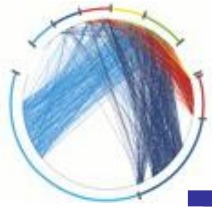


Threshold algorithm

1. At each sequential access
 - a. Set the threshold t to be the aggregate of the scores seen in this access

R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0

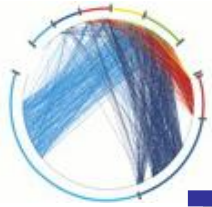
$t = 2.6$



Threshold algorithm

1. At each sequential access
 - b. Do random accesses and compute the score of the objects seen

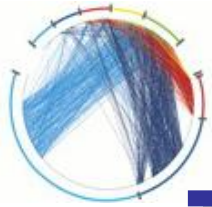
R_1			R_2			R_3			$t = 2.6$	
X_1	1		X_2	0.8		X_4	0.8		X_1	1.5
X_2	0.8		X_3	0.7		X_3	0.6		X_2	1.6
X_3	0.5		X_1	0.3		X_1	0.2		X_4	1.3
X_4	0.3		X_4	0.2		X_5	0.1			
X_5	0.1		X_5	0.1		X_2	0			



Threshold algorithm

1. At each sequential access
 - c. Maintain a list of top-k objects seen so far

R_1			R_2			R_3			$t = 2.6$	
X_1	1		X_2	0.8		X_4	0.8		X_2	1.6
X_2	0.8		X_3	0.7		X_3	0.6		X_1	1.5
X_3	0.5		X_1	0.3		X_1	0.2			
X_4	0.3		X_4	0.2		X_5	0.1			
X_5	0.1		X_5	0.1		X_2	0			



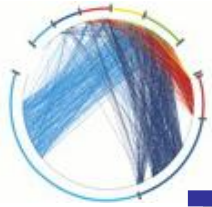
Threshold algorithm

1. At each sequential access
 - d. When the scores of the top-k are greater or equal to the threshold, stop

R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0

$t = 2.1$

X_3	1.8
X_2	1.6



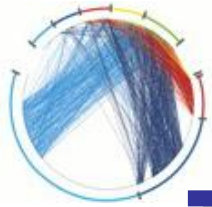
Threshold algorithm

1. At each sequential access
 - d. When the scores of the top-k are greater or equal to the threshold, stop

R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0

$t = 1.0$

X_3	1.8
X_2	1.6



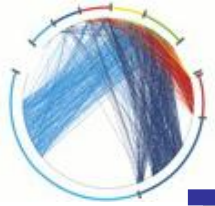
Threshold algorithm

2. Return the top-k seen so far

R_1			R_2			R_3	
X_1	1		X_2	0.8		X_4	0.8
X_2	0.8		X_3	0.7		X_3	0.6
X_3	0.5		X_1	0.3		X_1	0.2
X_4	0.3		X_4	0.2		X_5	0.1
X_5	0.1		X_5	0.1		X_2	0

$t = 1.0$

X_3	1.8
X_2	1.6



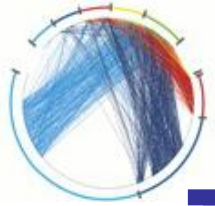
Threshold algorithm

§ From the monotonicity property for any object not seen, the score of the object is less than the threshold

$$\text{§ } f(X_5) \leq t \leq f(X_2)$$

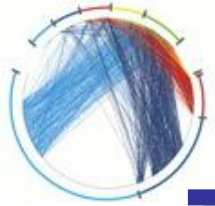
§ The algorithm is **instance cost-optimal**

§ within a constant factor of the best algorithm on any database



Combining rankings

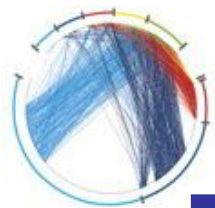
- § In many cases the scores are not known
 - § e.g. meta-search engines – scores are proprietary information
- § ... or we do not know how they were obtained
 - § one search engine returns score 10, the other 100. What does this mean?
- § ... or the scores are incompatible
 - § apples and oranges: does it make sense to combine price with distance?
- § In this cases we can only work with the rankings



The problem

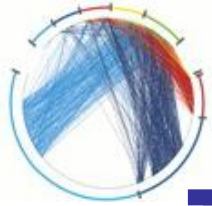
- § Input: a set of rankings R_1, R_2, \dots, R_m of the objects X_1, X_2, \dots, X_n . Each ranking R_i is a **total ordering** of the objects
 - § for every pair X_i, X_j either X_i is ranked above X_j or X_j is ranked above X_i

- § Output: A total ordering R that **aggregates** rankings R_1, R_2, \dots, R_m



Voting theory

- § A voting system is a rank aggregation mechanism
- § Long history and literature
 - § criteria and axioms for good voting systems



What is a good voting system?

§ The Condorcet criterion

§ if object **A** defeats every other object in a pairwise majority vote, then **A** should be ranked first

§ Extended Condorcet criterion

§ if the objects in a **set** X defeat in pairwise comparisons the objects in the set Y then the objects in X should be ranked above those in Y

§ Not all voting systems satisfy the Condorcet criterion!



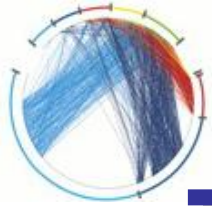
Pairwise majority comparisons

§ Unfortunately the Condorcet winner does not always exist

§ irrational behavior of groups

	V ₁	V ₂	V ₃
1	A	B	C
2	B	C	A
3	C	A	B

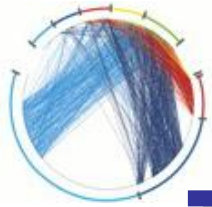
A > B B > C C > A



Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

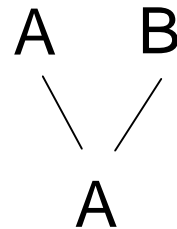
	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D

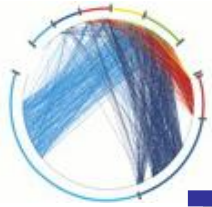


Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D

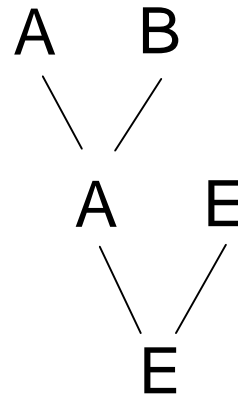


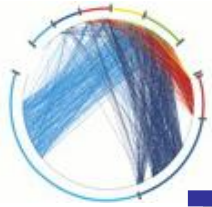


Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D

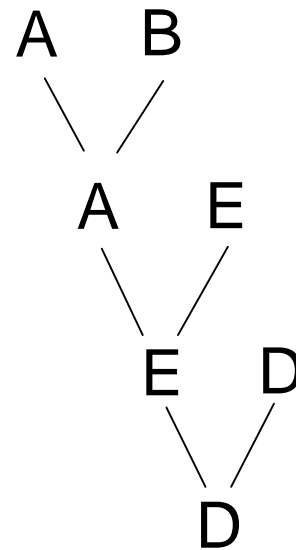


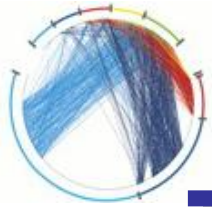


Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D

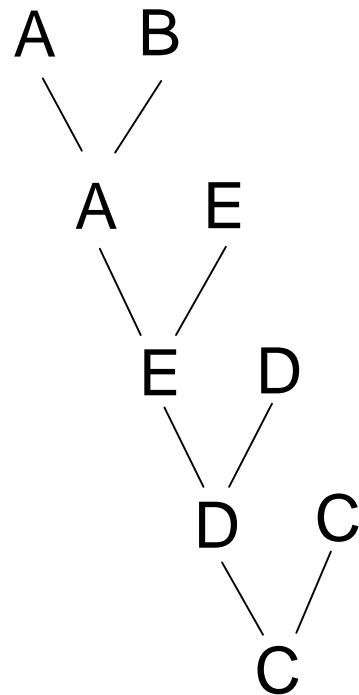




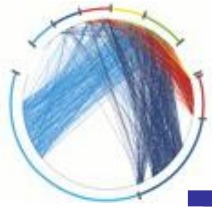
Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D



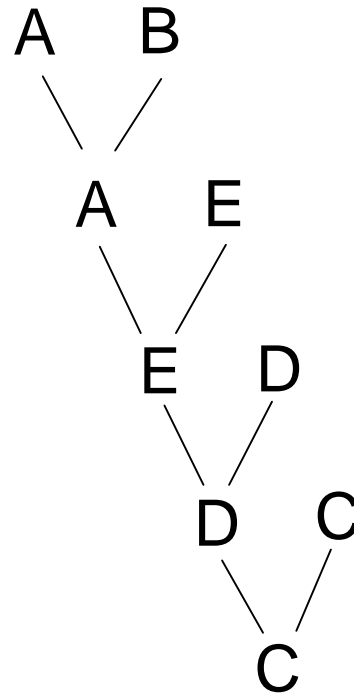
§ C is the winner



Pairwise majority comparisons

§ Resolve cycles by imposing an agenda

	V_1	V_2	V_3
1	A	D	E
2	B	E	A
3	C	A	B
4	D	B	C
5	E	C	D



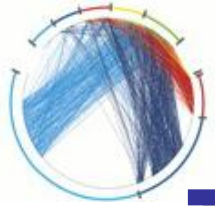
§ But everybody prefers A or B over C



Pairwise majority comparisons

- § The voting system is not **Pareto optimal**
 - § there exists another ordering that everybody prefers

- § Also, it is sensitive to the order of voting

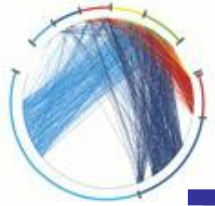


Plurality vote

§ Elect first whoever has more 1st position votes

voters	10	8	7
1	A	C	B
2	B	A	C
3	C	B	A

§ Does not find a Condorcet winner (C in this case)



Plurality with runoff

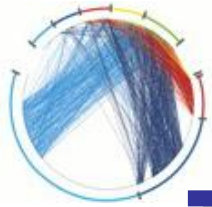
§ If no-one gets more than 50% of the 1st position votes, take the majority winner of the first two

voters	10	8	7	2
1	A	C	B	B
2	B	A	C	A
3	C	B	A	C

first round: A 10, B 9, C 8

second round: A 18, B 9

winner: A



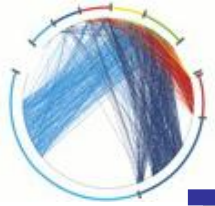
Plurality with runoff

§ If no-one gets more than 50% of the 1st position votes, take the majority winner of the first two

voters	10	8	7	2
1	A	C	B	A
2	B	A	C	B
3	C	B	A	C

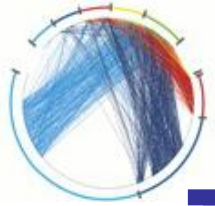
change the order of
A and B in the last
column

first round: A 12, B 7, C 8
second round: A 12, C 15
winner: C!



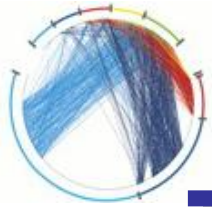
Positive Association axiom

- § Plurality with runoff violates the **positive association axiom**
- § **Positive association axiom**: positive changes in preferences for an object should not cause the ranking of the object to decrease



Borda Count

- § For each ranking, assign to object X , number of points equal to the number of objects it defeats
 - § first position gets $n-1$ points, second $n-2$, ..., last 0 points
- § The total weight of X is the number of points it accumulates from all rankings



Borda Count

voters	3	2	2
1 (3p)	A	B	C
2 (2p)	B	C	D
3 (1p)	C	D	A
4 (0p)	D	A	B

$$A: 3 \cdot 3 + 2 \cdot 0 + 2 \cdot 1 = 11p$$

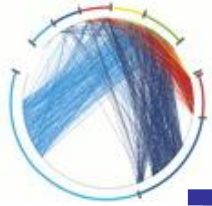
$$B: 3 \cdot 2 + 2 \cdot 3 + 2 \cdot 0 = 12p$$

$$C: 3 \cdot 1 + 2 \cdot 2 + 2 \cdot 3 = 13p$$

$$D: 3 \cdot 0 + 2 \cdot 1 + 2 \cdot 2 = 6p$$

BC
C
B
A
D

§ Does not always produce Condorcet winner



Borda Count

§ Assume that D is removed from the vote

voters	3	2	2
1 (2p)	A	B	C
2 (1p)	B	C	A
3 (0p)	C	A	B

$$A: 3*2 + 2*0 + 2*1 = 7p$$

$$B: 3*1 + 2*2 + 2*0 = 7p$$

$$C: 3*0 + 2*1 + 2*2 = 6p$$

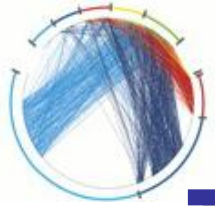
BC
B
A
C

§ Changing the position of D changes the order of the other elements!



Independence of Irrelevant Alternatives

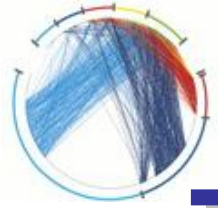
- § The relative ranking of X and Y should not depend on a third object Z
 - § heavily debated axiom



Borda Count

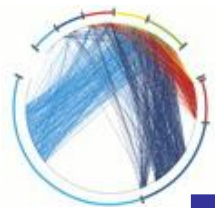
§ The Borda Count of an object X is the aggregate number of pairwise comparisons that the object X wins

§ follows from the fact that in one ranking X wins all the pairwise comparisons with objects that are under X in the ranking



Voting Theory

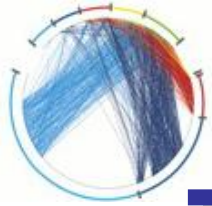
§ Is there a voting system that does not suffer from the previous shortcomings?



Arrow's Impossibility Theorem

- § There is no voting system that satisfies the following axioms
 - § Universality
 - all inputs are possible
 - § Completeness and Transitivity
 - for each input we produce an answer and it is meaningful
 - § Positive Association
 - § Independence of Irrelevant Alternatives
 - § Non-imposition
 - § Non-dictatorship

- § KENNETH J. ARROW *Social Choice and Individual Values* (1951). Won Nobel Prize in 1972



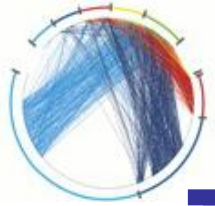
Kemeny Optimal Aggregation

- § Kemeny distance $K(R_1, R_2)$: The number of pairs of nodes that are ranked in a different order (Kendall-tau)
 - § number of bubble-sort swaps required to transform one ranking into another

- § Kemeny optimal aggregation minimizes

$$K(R, R_1, \dots, R_m) = \sum_{i=1}^m K(R, R_i)$$

- § Kemeny optimal aggregation satisfies the Condorcet criterion and the extended Condorcet criterion
 - § maximum likelihood interpretation: produces the ranking that is most likely to have generated the observed rankings
- § ...but it is NP-hard to compute
 - § easy 2-approximation by obtaining the best of the input rankings, but it is not “interesting”



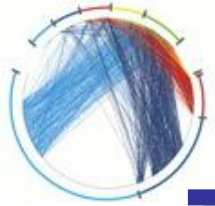
Locally Kemeny optimal aggregation

§ A ranking R is **locally Kemeny optimal** if there is no bubble-sort swap that produces a ranking R' such that

$$K(R', R_1, \dots, R_m) \leq K(R, R_1, \dots, R_m)$$

§ Locally Kemeny optimal is not necessarily Kemeny optimal

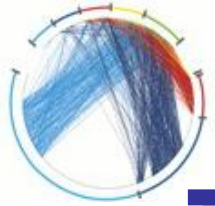
§ Definitions apply for the case of partial lists also



Locally Kemeny optimal aggregation

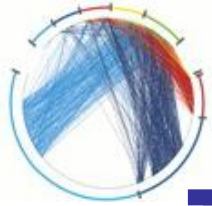
- § Locally Kemeny optimal aggregation can be computed in polynomial time
 - § At the i -th iteration insert the i -th element x in the bottom of the list, and bubble it up until there is an element y such that the majority places y over x

- § Locally Kemeny optimal aggregation satisfies the Condorcet and extended Condorcet criterion



Rank Aggregation algorithm [DKNS01]

- § Start with an aggregated ranking and make it into a locally Kemeny optimal aggregation
- § How do we select the initial aggregation?
 - § Use another aggregation method
 - § Create a Markov Chain where you move from an object X , to another object Y that is ranked higher by the majority



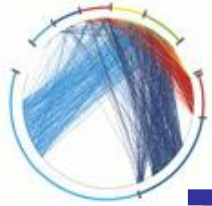
Spearman's footrule distance

§ Spearman's footrule distance: The difference between the ranks $R(i)$ and $R'(i)$ assigned to object i

$$F(R, R') = \sum_{i=1}^n |R(i) - R'(i)|$$

§ Relation between Spearman's footrule and Kemeny distance

$$K(R, R') \leq F(R, R') \leq 2K(R, R')$$



Spearman's footrule aggregation

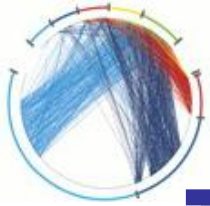
§ Find the ranking R , that minimizes

$$F(R, R_1, \dots, R_m) = \sum_{i=1}^m F(R, R_i)$$

§ The optimal Spearman's footrule aggregation can be computed in polynomial time

§ It also gives a 2-approximation to the Kemeny optimal aggregation

§ If the median ranks of the objects are unique then this ordering is optimal



Example

R_1	
1	A
2	B
3	C
4	D

R_2	
1	B
2	A
3	D
4	C

R_3	
1	B
2	C
3	A
4	D

R	
1	B
2	A
3	C
4	D

A: (1 , 2 3)

B: (1 , 1 2)

C: (3 , 3 4)

D: (3 , 4 4)



The MedRank algorithm

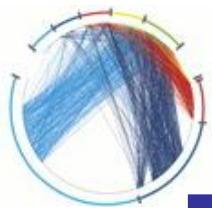
§ Access the rankings sequentially

R_1	
1	A
2	B
3	C
4	D

R_2	
1	B
2	A
3	D
4	C

R_3	
1	B
2	C
3	A
4	D

R	
1	
2	
3	
4	

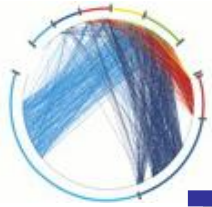


The MedRank algorithm

§ Access the rankings sequentially

§ when an element has appeared in more than half of the rankings, output it in the aggregated ranking

R_1		R_2		R_3		R	
1	A	1	B	1	B	1	B
2	B	2	A	2	C	2	
3	C	3	D	3	A	3	
4	D	4	C	4	D	4	

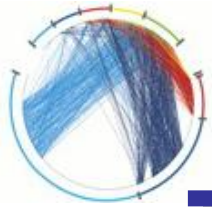


The MedRank algorithm

§ Access the rankings sequentially

§ when an element has appeared in more than half of the rankings, output it in the aggregated ranking

R ₁		R ₂		R ₃		R	
1	A	1	B	1	B	1	B
2	B	2	A	2	C	2	A
3	C	3	D	3	A	3	
4	D	4	C	4	D	4	

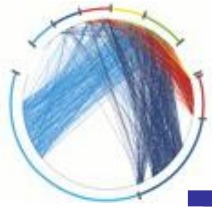


The MedRank algorithm

§ Access the rankings sequentially

§ when an element has appeared in more than half of the rankings, output it in the aggregated ranking

R ₁		R ₂		R ₃		R	
1	A	1	B	1	B	1	B
2	B	2	A	2	C	2	A
3	C	3	D	3	A	3	C
4	D	4	C	4	D	4	



The MedRank algorithm

§ Access the rankings sequentially

§ when an element has appeared in more than half of the rankings, output it in the aggregated ranking

R ₁		R ₂		R ₃	
1	A	1	B	1	B
2	B	2	A	2	C
3	C	3	D	3	A
4	D	4	C	4	D

R	
1	B
2	A
3	C
4	D



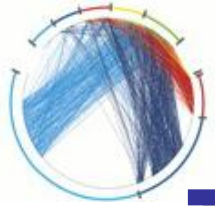
The Spearman's rank correlation

§ Spearman's rank correlation

$$S(R, R') = \sum_{i=1}^n (R(i) - R'(i))^2$$

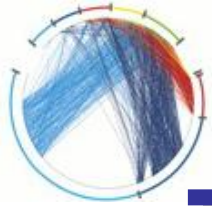
§ Computing the optimal rank aggregation with respect to Spearman's rank correlation is the same as computing Borda Count

§ Computable in polynomial time



Extensions and Applications

- § Rank distance measures between partial orderings and top-k lists
- § Similarity search
- § Ranked Join Indices
- § Analysis of Link Analysis Ranking algorithms
- § Connections with machine learning



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