

# Dynamic Trees

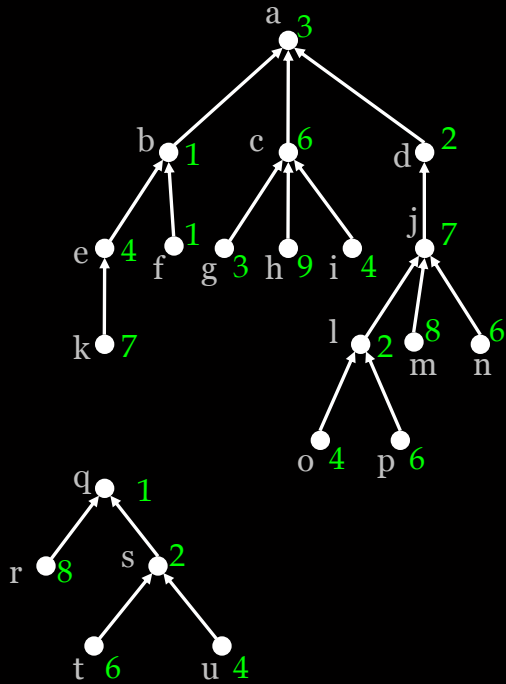
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- Goal: maintain a forest of rooted trees with costs on vertices.
  - Each tree has a root, every edge directed towards the root.
- Operations allowed:
  - $\text{link}(v,w)$ : creates an edge between  $v$  (a root) and  $w$ .
  - $\text{cut}(v,w)$ : deletes edge  $(v,w)$ .
  - $\text{findcost}(v)$ : returns the cost of vertex  $v$ .
  - $\text{findroot}(v)$ : returns the root of the tree containing  $v$ .
  - $\text{findmin}(v)$ : returns the vertex  $w$  of minimum cost on the path from  $v$  to the root (if there is a tie, choose the closest to the root).
  - $\text{addcost}(v,x)$ : adds  $x$  to the cost every vertex from  $v$  to root.

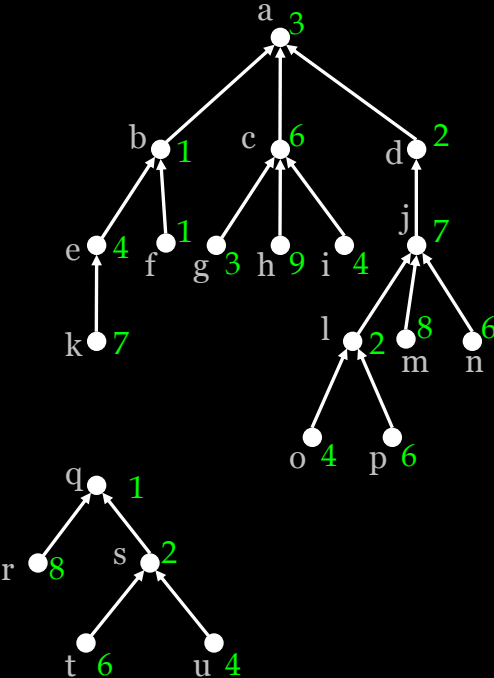
# Dynamic Trees

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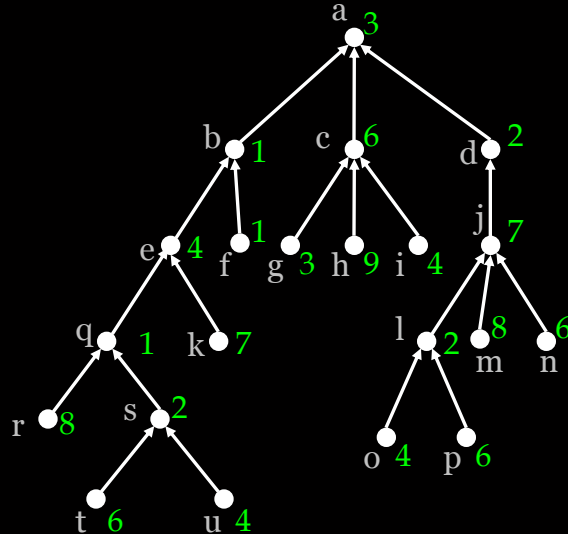
- An example (two trees):



# Dynamic Trees

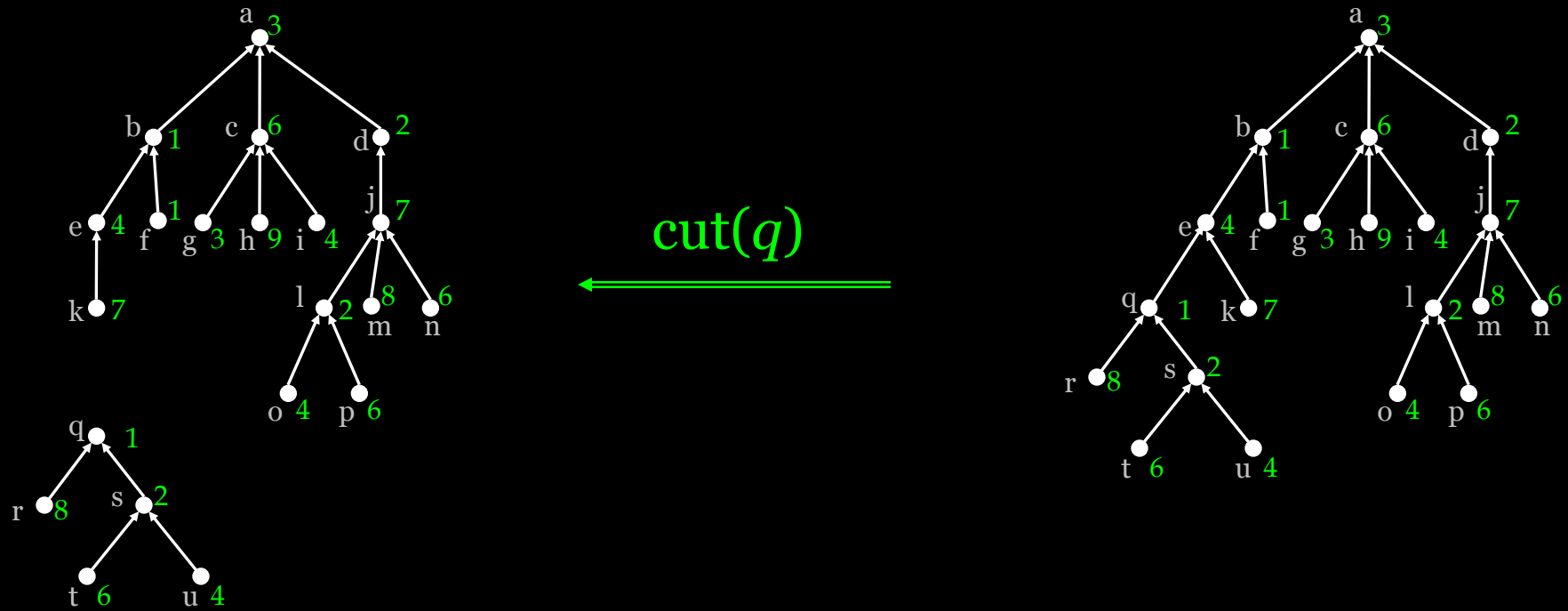


link(q,e)



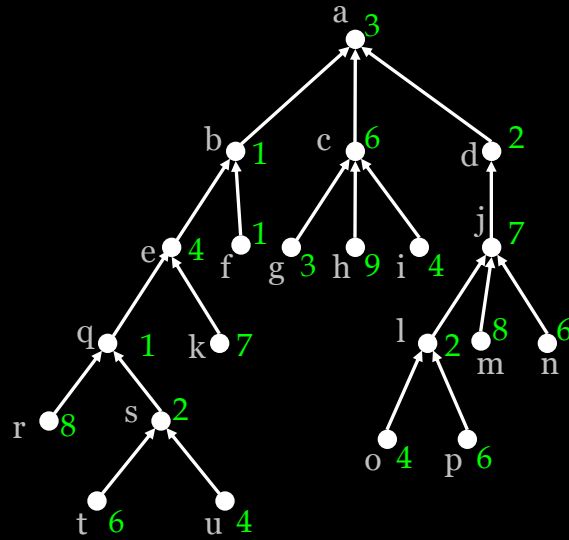
# Dynamic Trees

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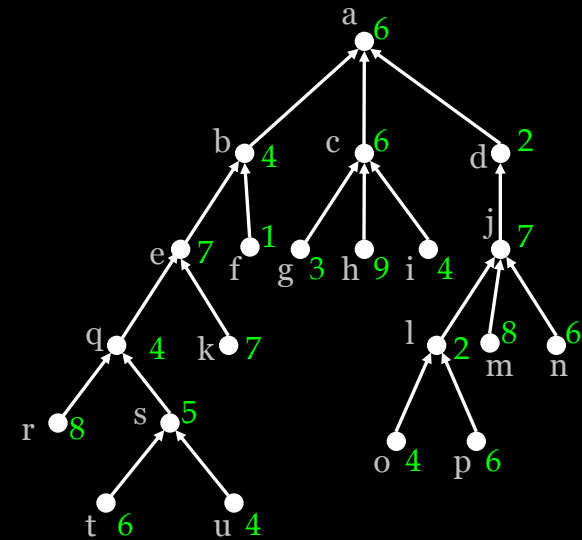


# Dynamic Trees

- $\text{findmin}(s) = b$
- $\text{findroot}(s) = a$
- $\text{findcost}(s) = 2$



- $\text{addcost}(s, 3)$



# Obvious Implementation

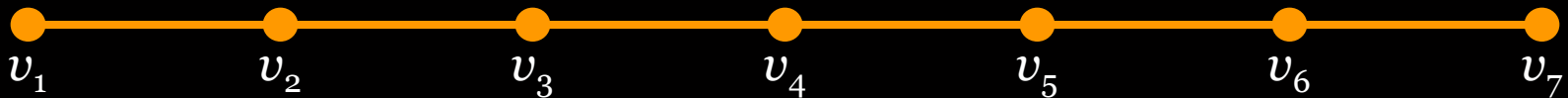
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- A node represents each vertex;
- Each node  $x$  points to its parent  $p(x)$ :
  - **cut, split, findcost**: constant time.
  - **findroot, findmin, addcost**: linear time on the size of the path.
- Acceptable if paths are small, but  $O(n)$  in the worst case.
- Cleverer data structures achieve  $O(\log n)$  for all operations.

# Simple Paths

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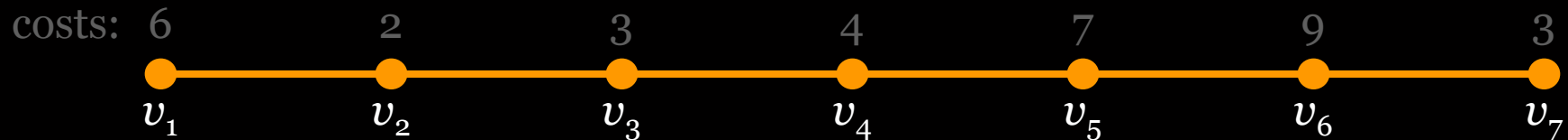
- We start with a simpler problem:
  - Maintain **set of paths** subject to:
    - **split**: cuts a path in two;
    - **concatenate**: links endpoints of two paths, creating a new path.
  - Operations allowed:
    - **findcost**( $v$ ): returns the cost of vertex  $v$ ;
    - **addcost**( $v, x$ ): adds  $x$  to the cost of vertices in path containing  $v$ ;
    - **findmin**( $v$ ): returns minimum-cost vertex path containing  $v$ .



# Simple Paths as Lists

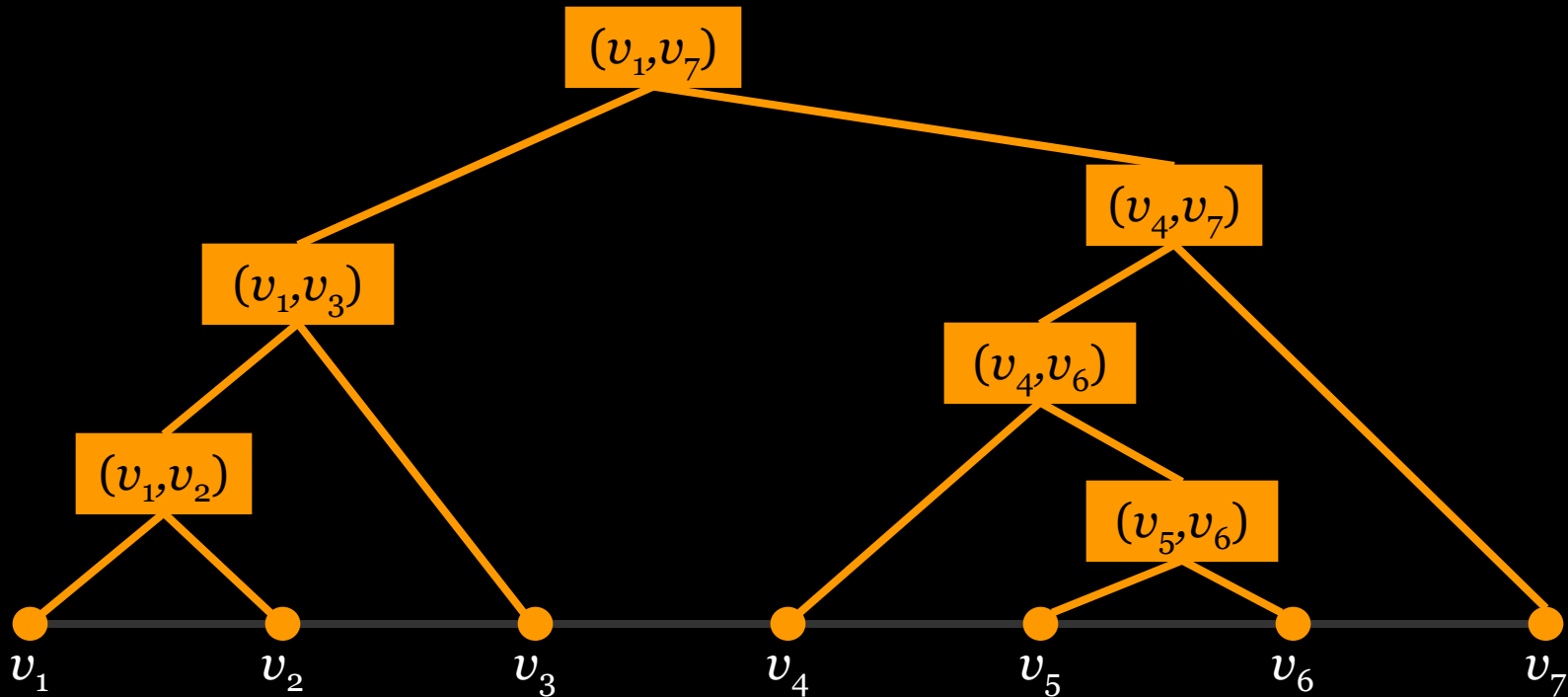
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- Natural representation: **doubly linked list**.
  - Constant time for **findcost**.
  - Constant time for **concatenate** and **split** if endpoints given, linear time otherwise.
  - Linear time for **findmin** and **addcost**.
- Can we do it  $O(\log n)$  time?



# Simple Paths as Binary Trees

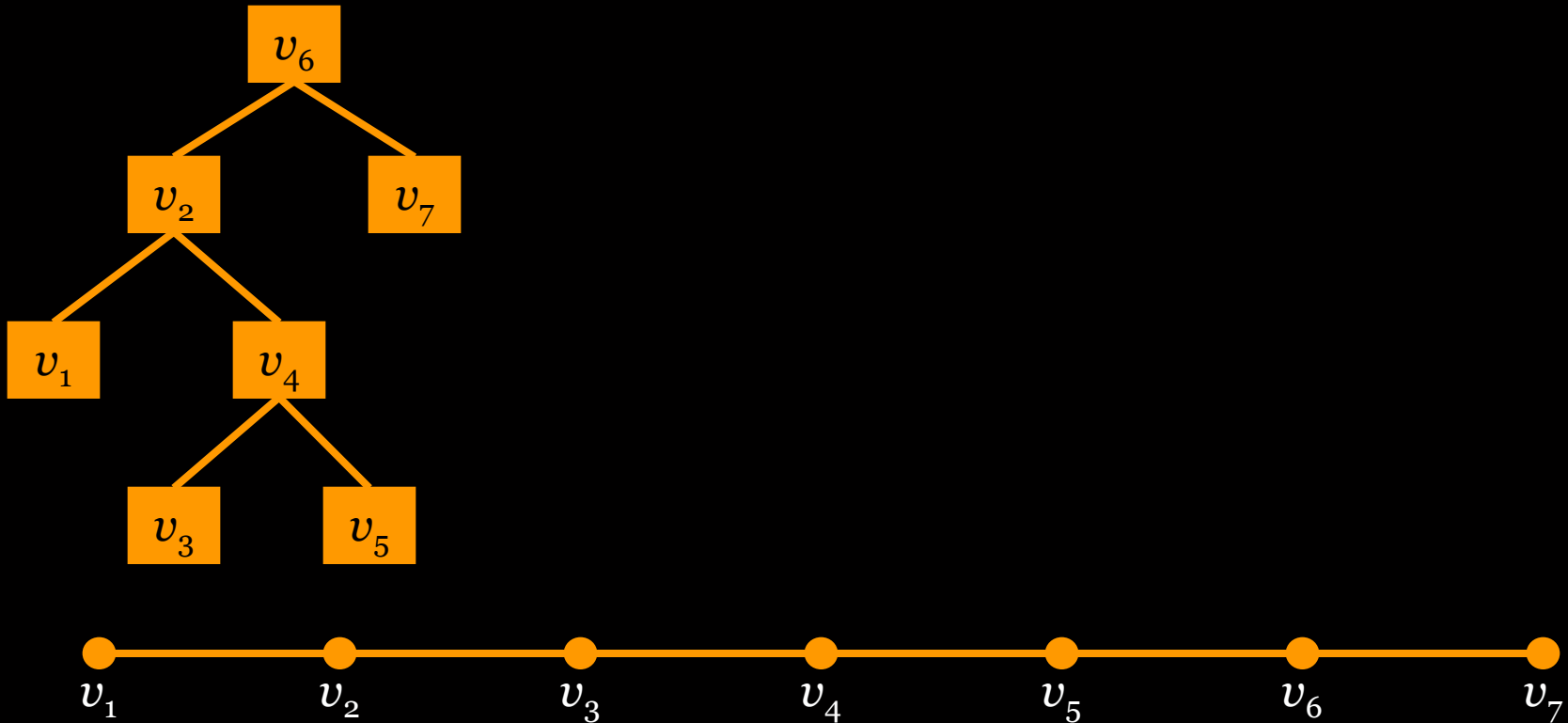
- Alternative representation: **balanced binary trees**.
  - **Leaves: vertices** in symmetric order.
  - **Internal nodes: subpaths** between extreme descendants.



# Simple Paths as Binary Trees

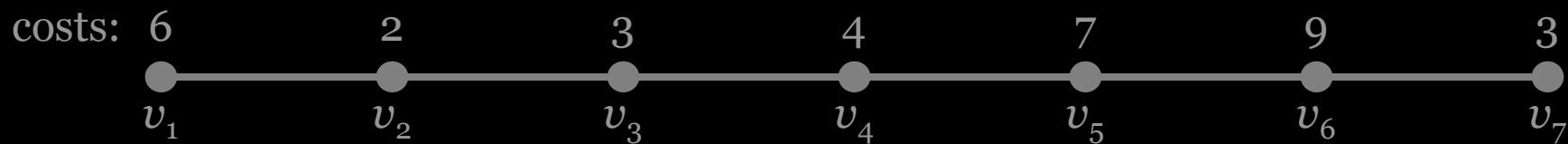
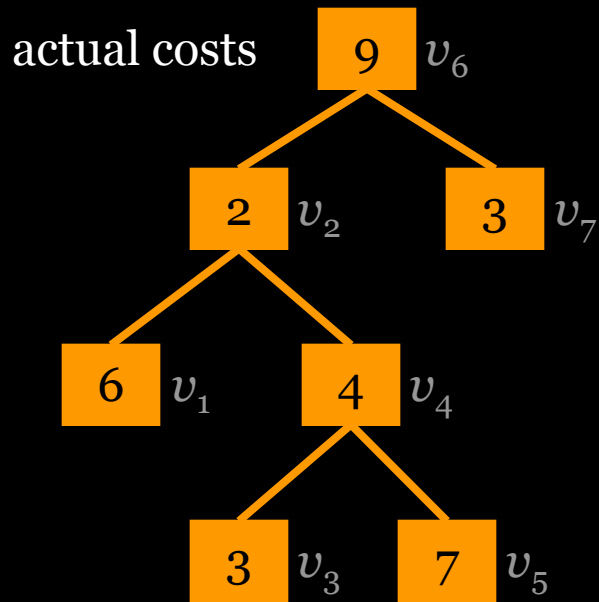
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- Compact alternative:
  - Each **internal node** represents both a **vertex** and a **subpath**:
    - subpath from leftmost to rightmost descendant.



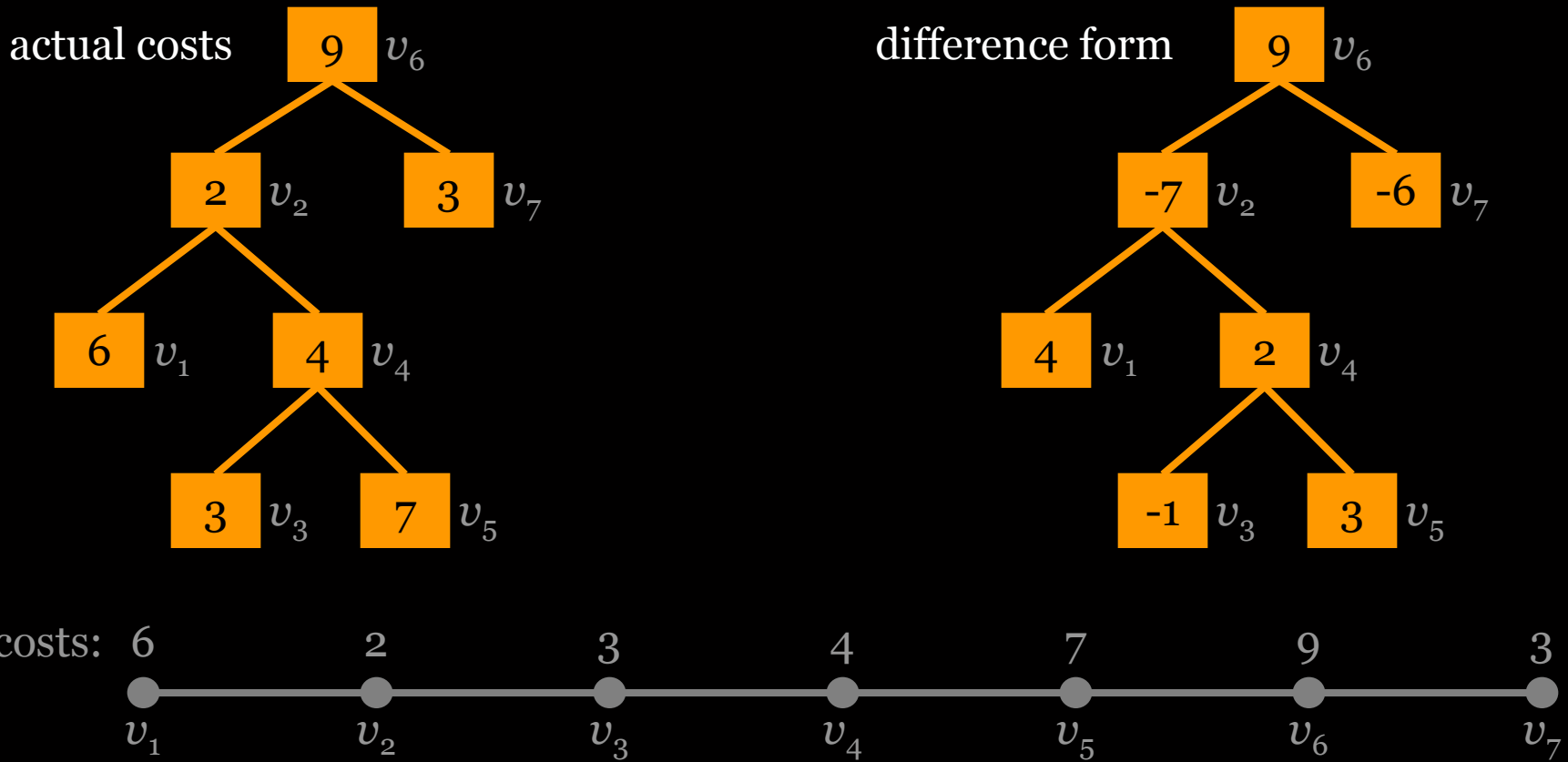
# Simple Paths: Maintaining Costs

- Keeping costs:
  - First idea: store  $\text{cost}(x)$  directly on each vertex;
  - Problem:  $\text{addcost}$  takes linear time (must update all vertices).



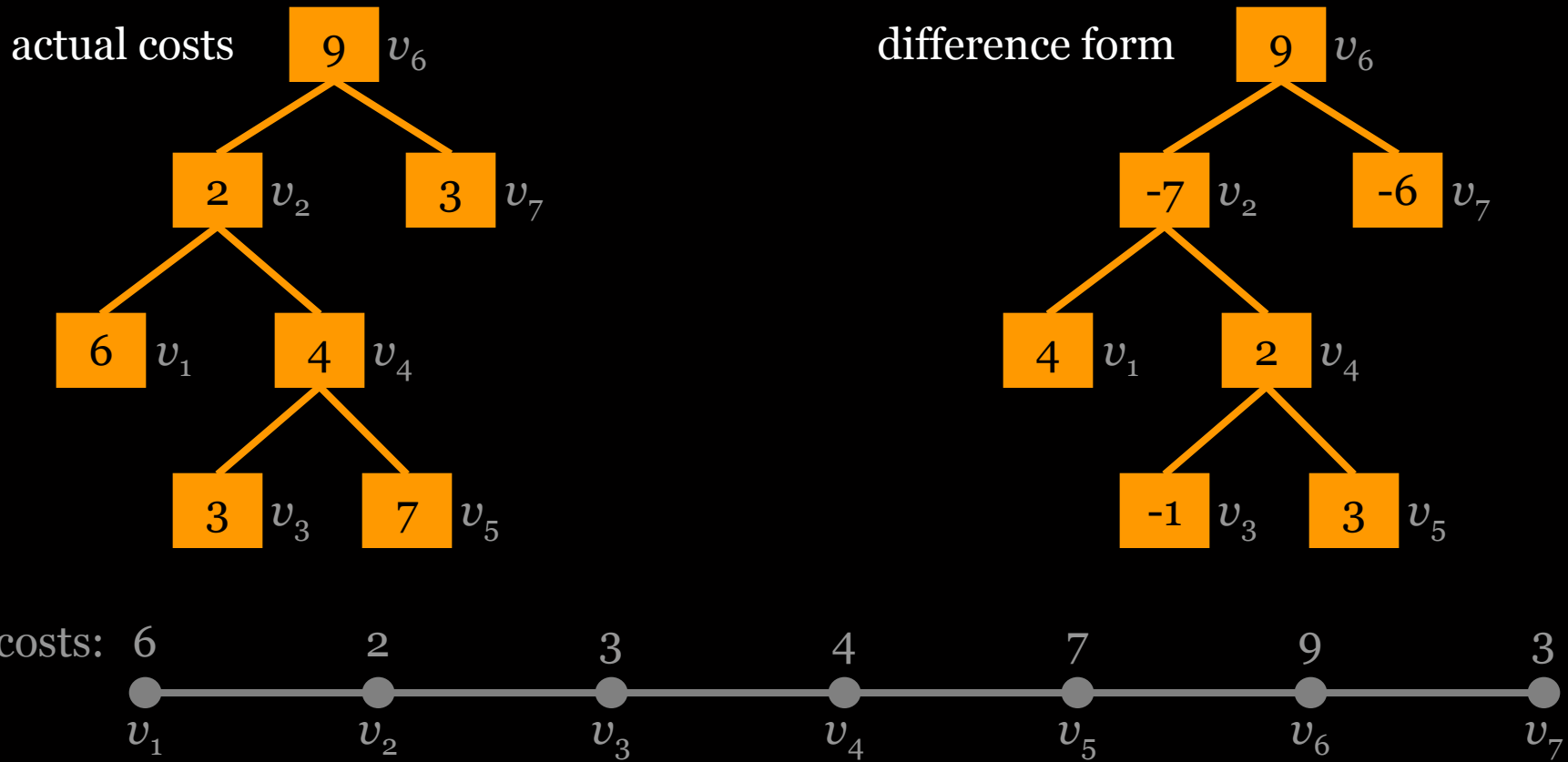
# Simple Paths: Maintaining Costs

- Better approach: store  $\Delta cost(x)$  instead:
  - Root:  $\Delta cost(x) = cost(x)$
  - Other nodes:  $\Delta cost(x) = cost(x) - cost(p(x))$



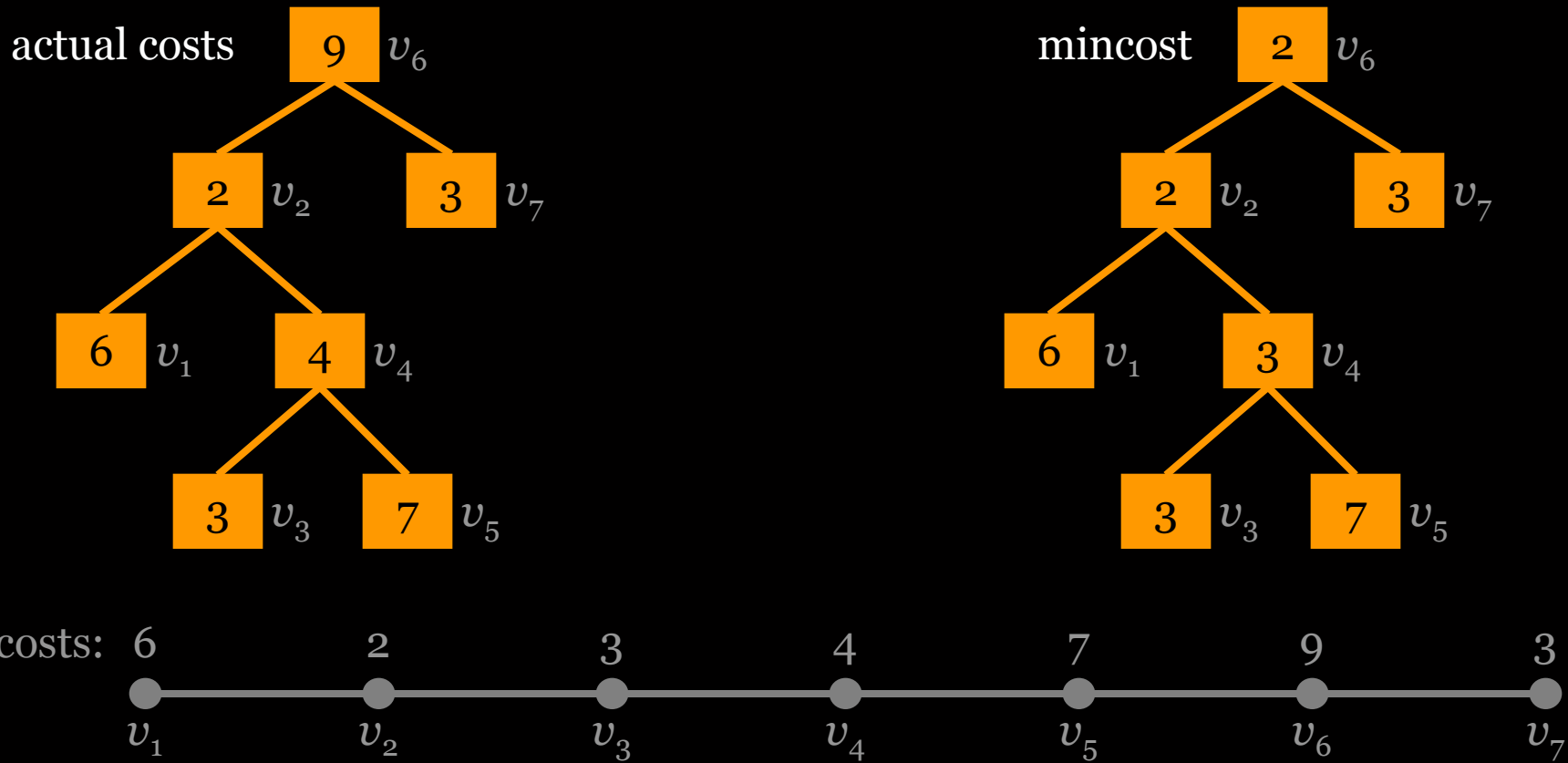
# Simple Paths: Maintaining Costs

- Costs:
  - **addcost**: constant time (just add to root)
  - Finding **cost(x)** is slightly harder:  $O(\text{depth}(x))$ .



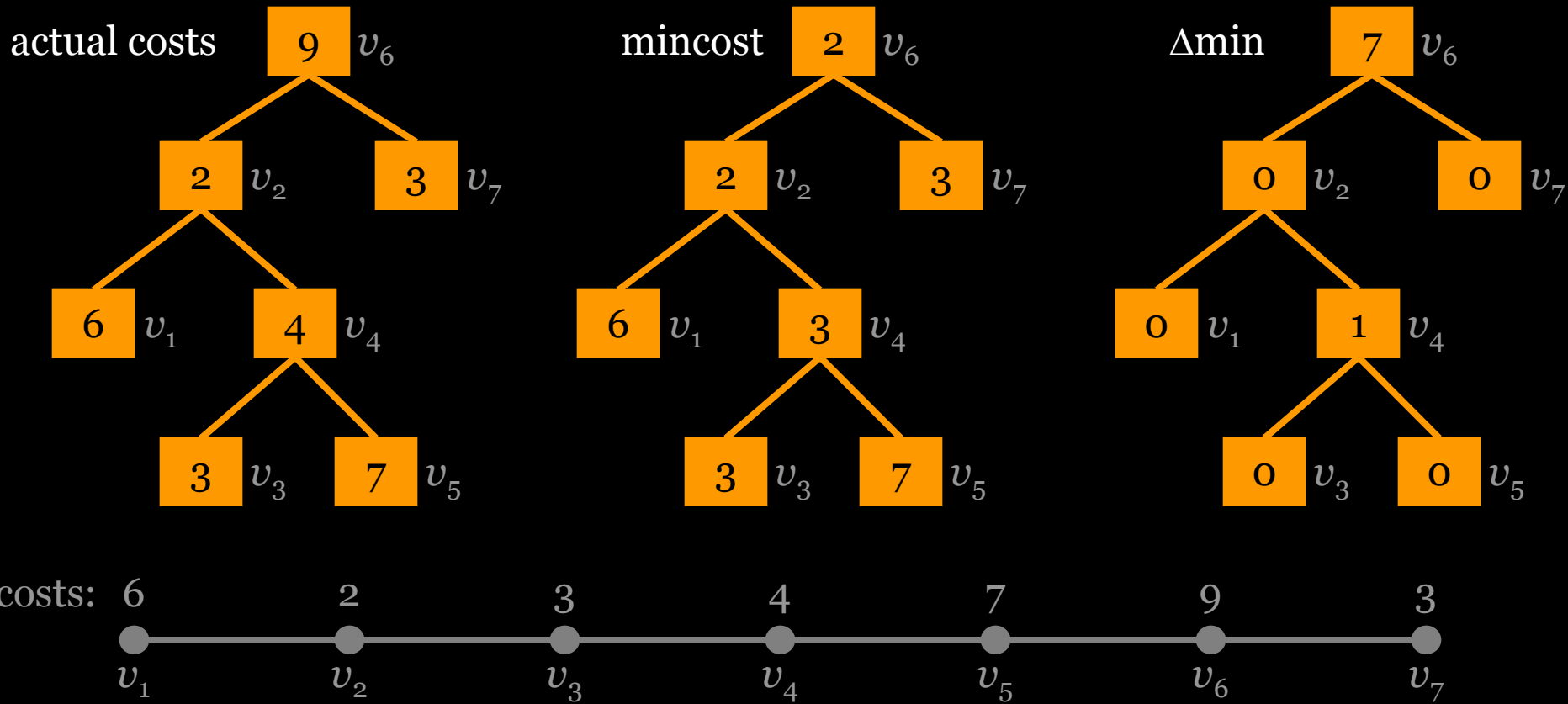
# Simple Paths: Finding Minima

- Still have to implement **findmin**:
  - Store **mincost(x)**, the minimum cost on subpath with root  $x$ .
    - **findmin** runs in  $O(\log n)$  time, but **addcost** is linear.



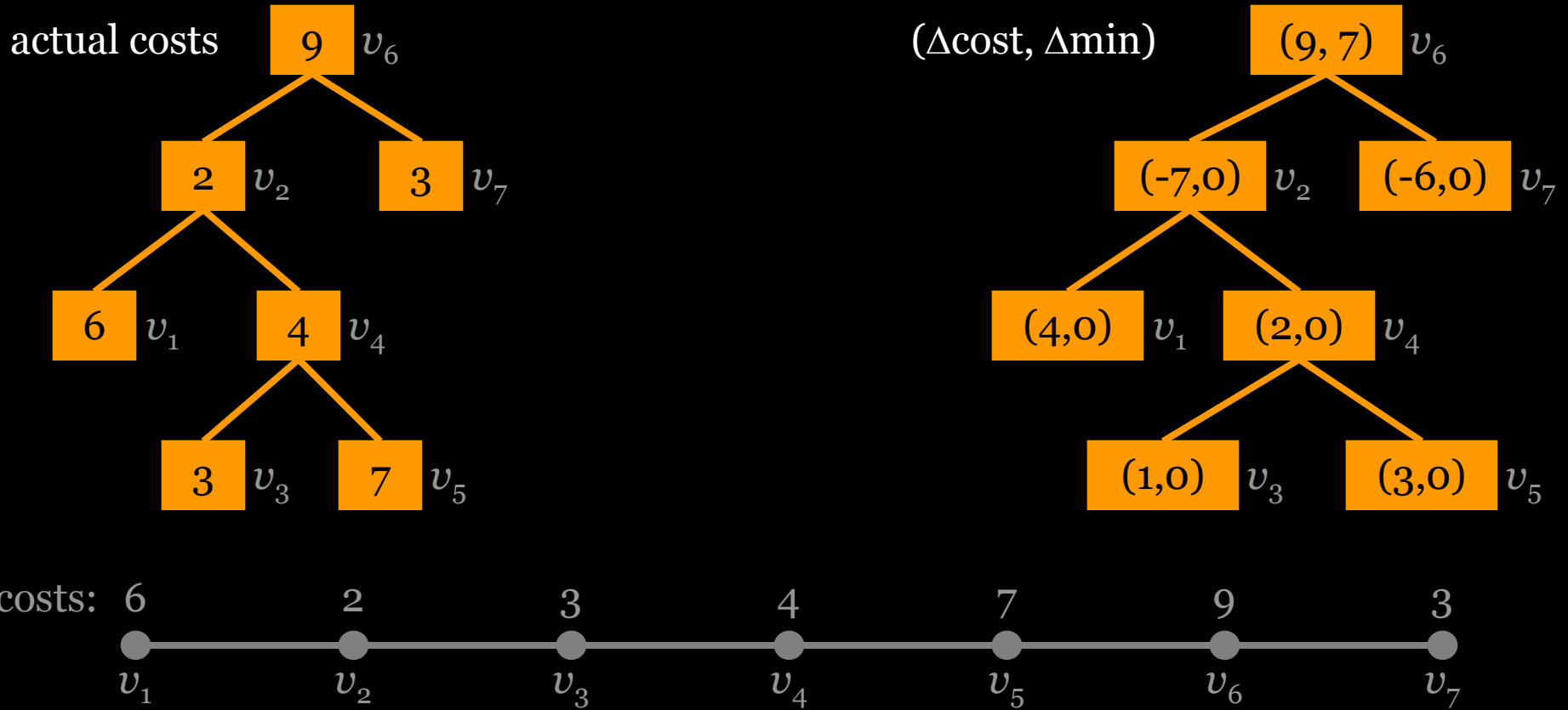
# Simple Paths: Finding Minima

- Store  $\Delta_{\min}(x) = \text{cost}(x) - \text{mincost}(x)$  instead.
  - **findmin** still runs in  $O(\log n)$  time, **addcost** now constant.



# Simple Paths: Data Fields

- Final version:
  - Stores  $\Delta\text{min}(x)$  and  $\Delta\text{cost}(x)$  for every vertex



# Simple Paths: Structural Changes

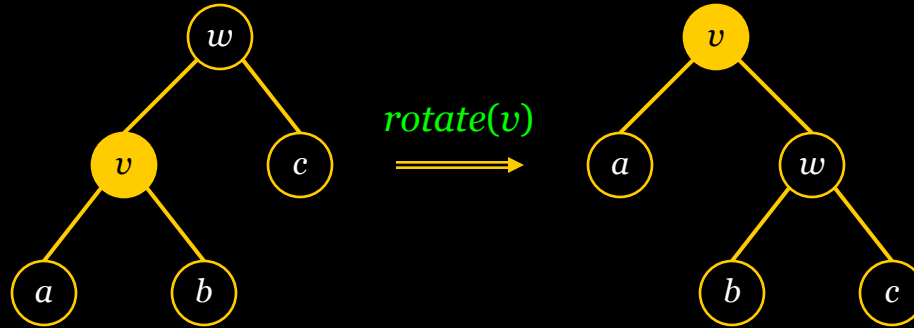
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- **Concatenating** and **splitting** paths:
  - Join or split the corresponding binary trees;
  - Time proportional to tree **height**.
  - For **balanced** trees, this is  $O(\log n)$ .
    - **Rotations** must be supported in constant time.
    - We must be able to update  $\Delta_{\min}$  and  $\Delta_{\text{cost}}$ .

# Simple Paths: Structural Changes

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- Restructuring primitive: *rotation*.



- Fields are updated as follows (for left and right rotations):
  - $\Delta cost'(v) = \Delta cost(v) + \Delta cost(w)$
  - $\Delta cost'(w) = -\Delta cost(v)$
  - $\Delta cost'(b) = \Delta cost(v) + \Delta cost(b)$
  - $\Delta min'(w) = \max\{0, \Delta min(b) - \Delta cost'(b), \Delta min(c) - \Delta cost(c)\}$
  - $\Delta min'(v) = \max\{0, \Delta min(a) - \Delta cost(a), \Delta min'(w) - \Delta cost'(w)\}$

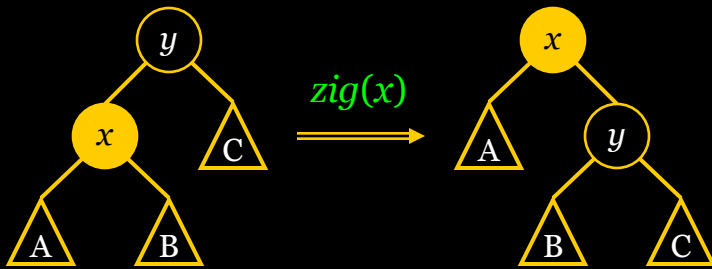
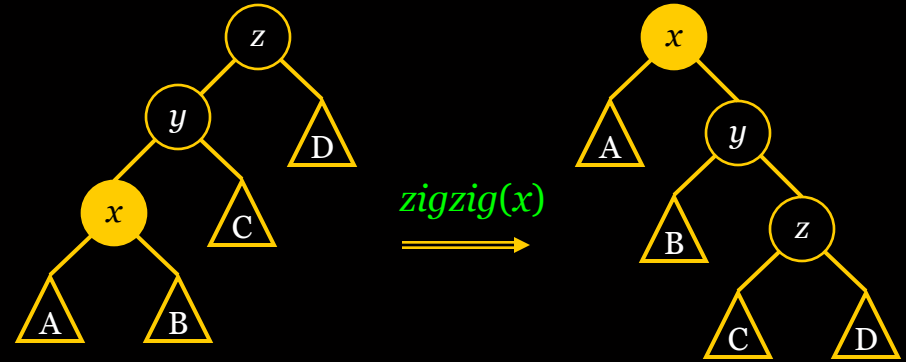
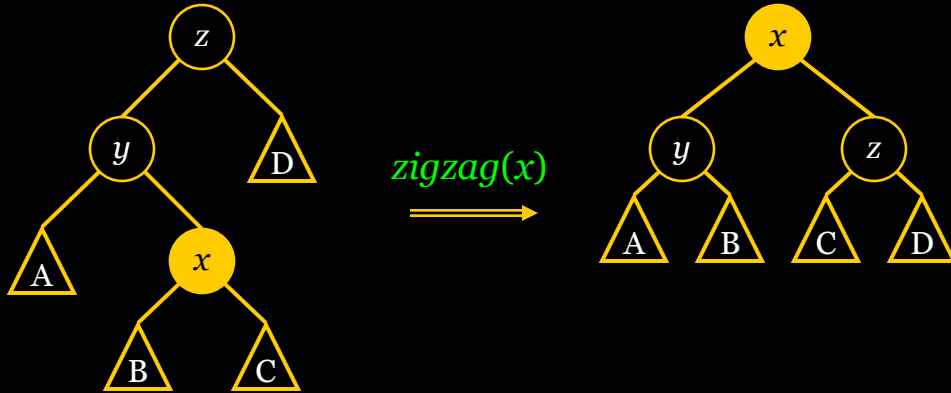
# Splaying

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- Simpler alternative to balanced binary trees: **splaying**.
  - Does not guarantee that trees are balanced in the worst case.
  - Guarantees  $O(\log n)$  access in the **amortized** sense.
  - Makes the data structure much **simpler** to implement.
- Basic characteristics:
  - Does not require any balancing information;
  - On an access to  $v$ , **splay** on  $v$ :
    - Moves  $v$  to the **root**;
    - Roughly **halves** the depth of other nodes in the access path.
  - Based entirely on **rotations**.
- Other operations (**insert**, **delete**, **join**, **split**) use splay.

# Splaying

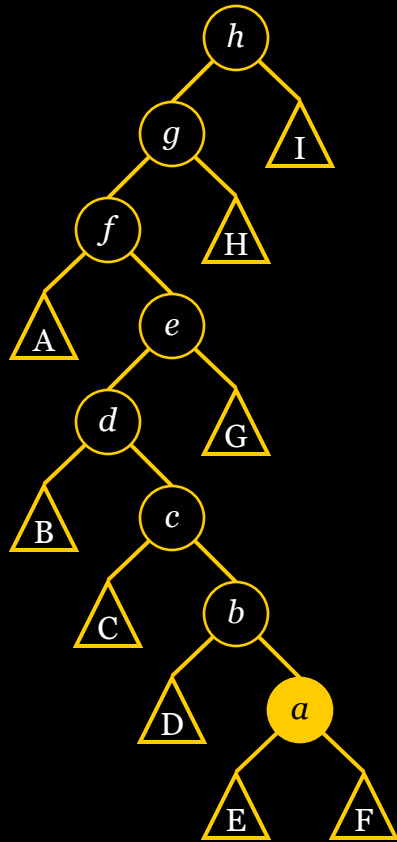
- Three restructuring operations:



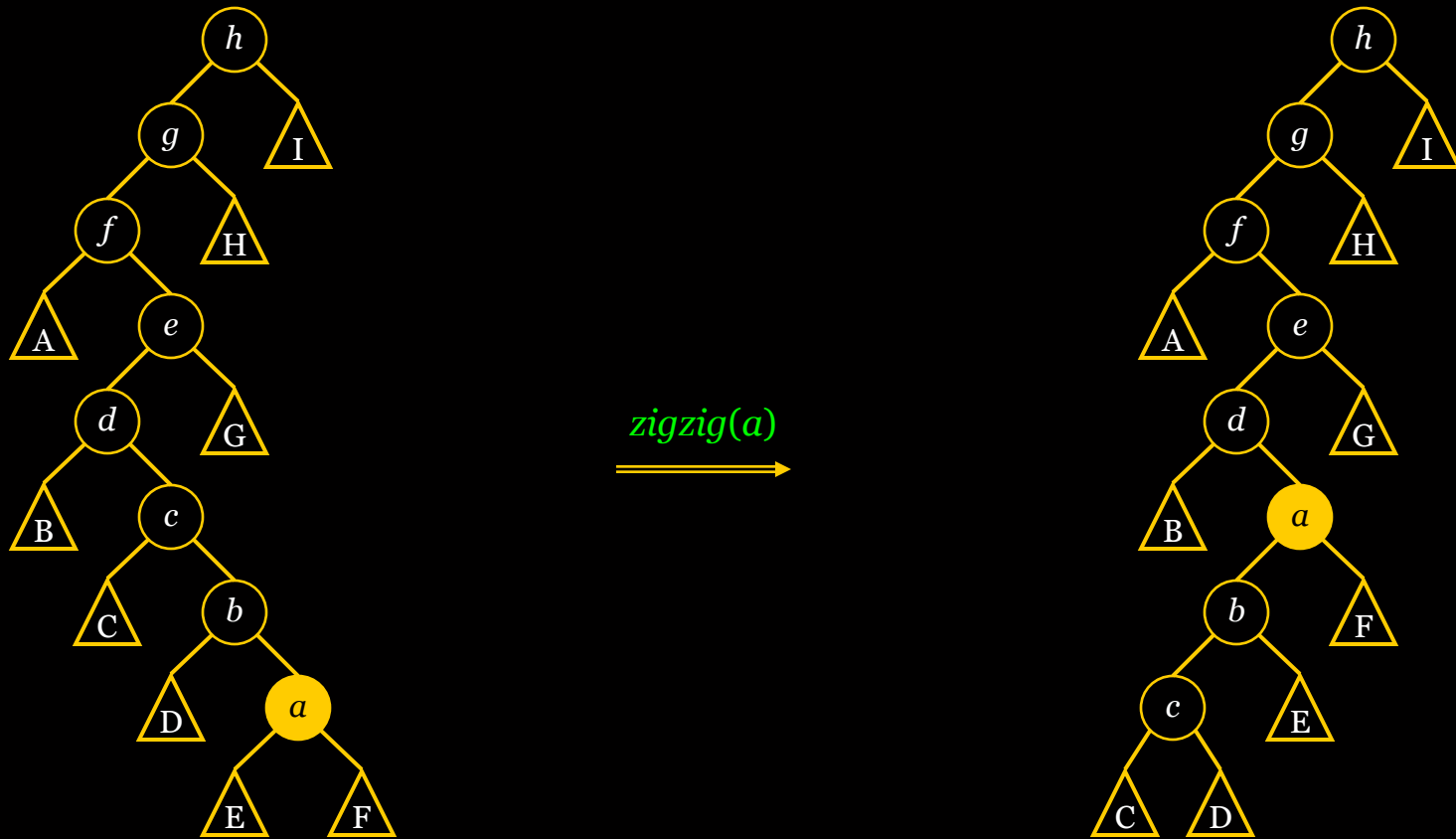
(only happens if  $y$  is root)

# An Example of Splaying

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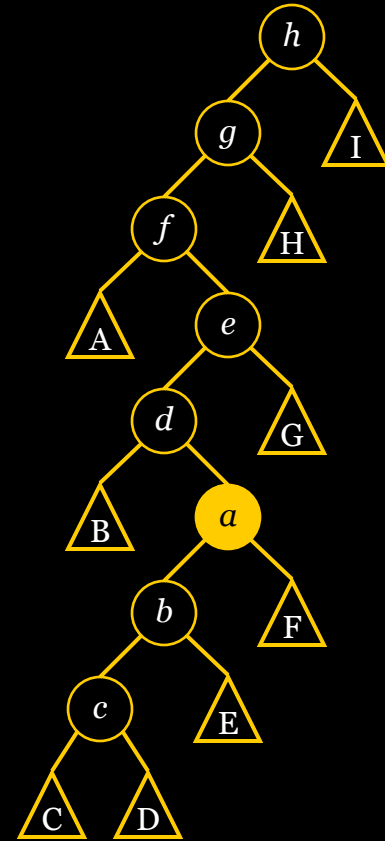


# An Example of Splaying

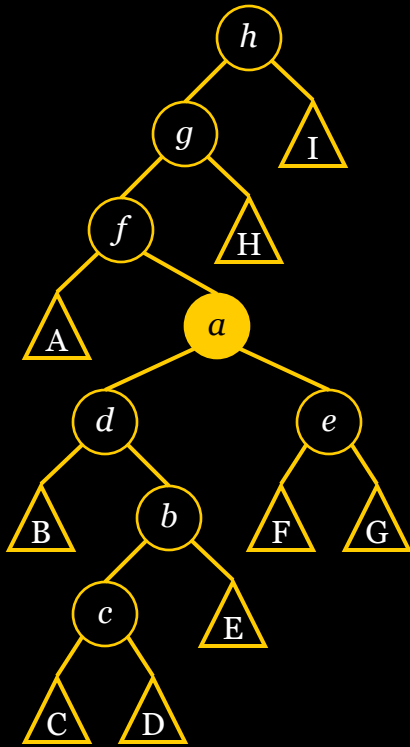


# An Example of Splaying

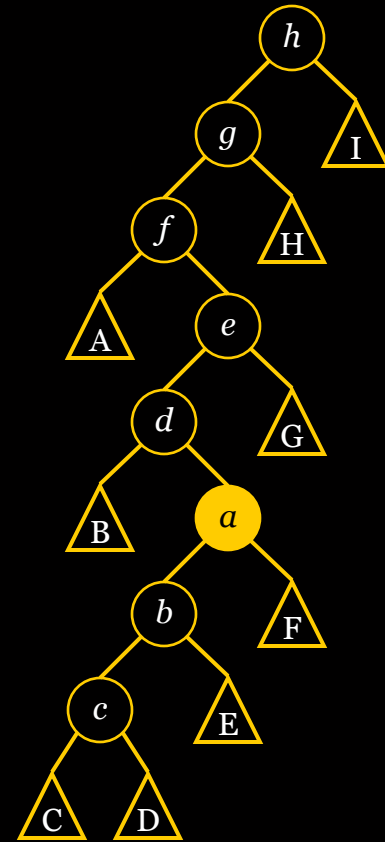
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# An Example of Splaying

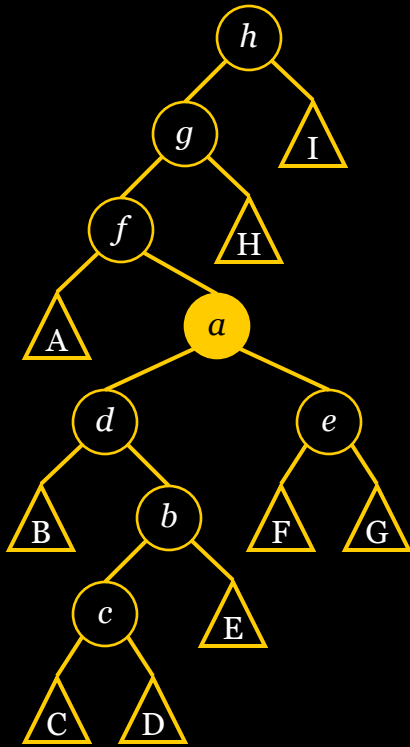


*zigzag(a)*

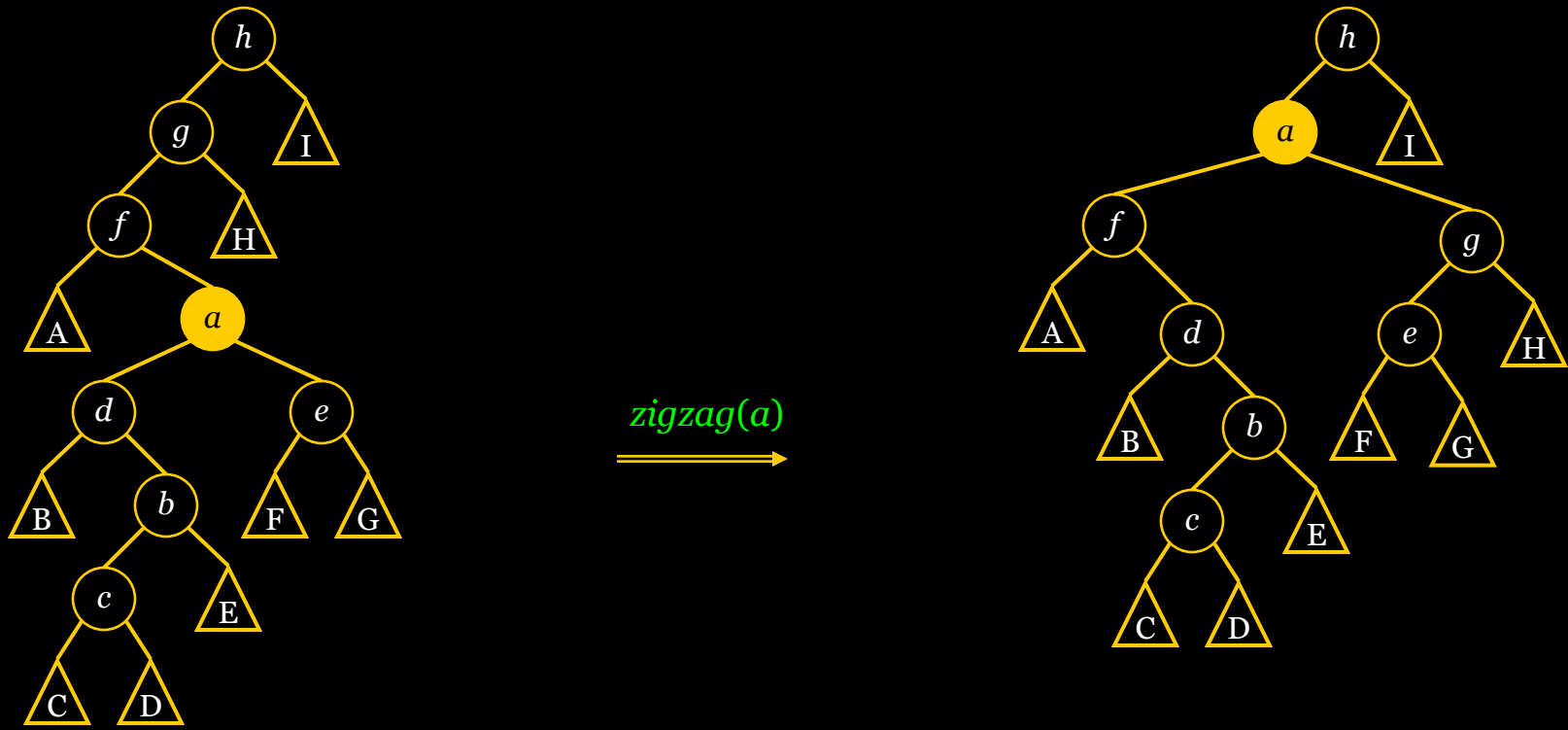


# An Example of Splaying

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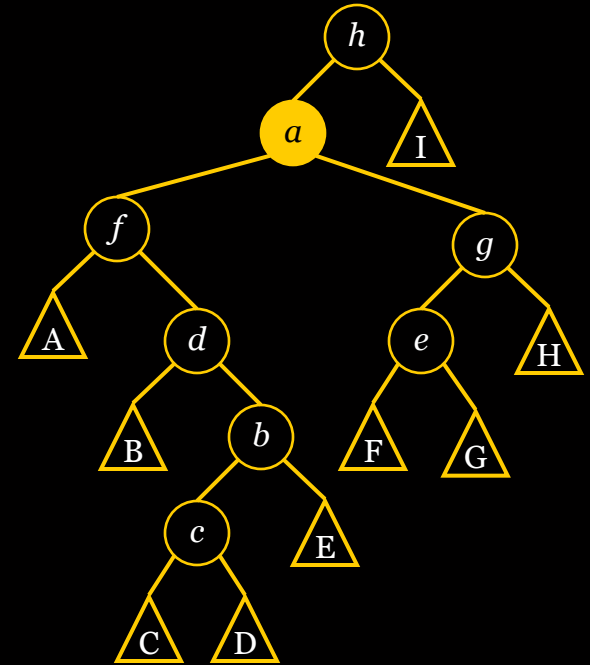


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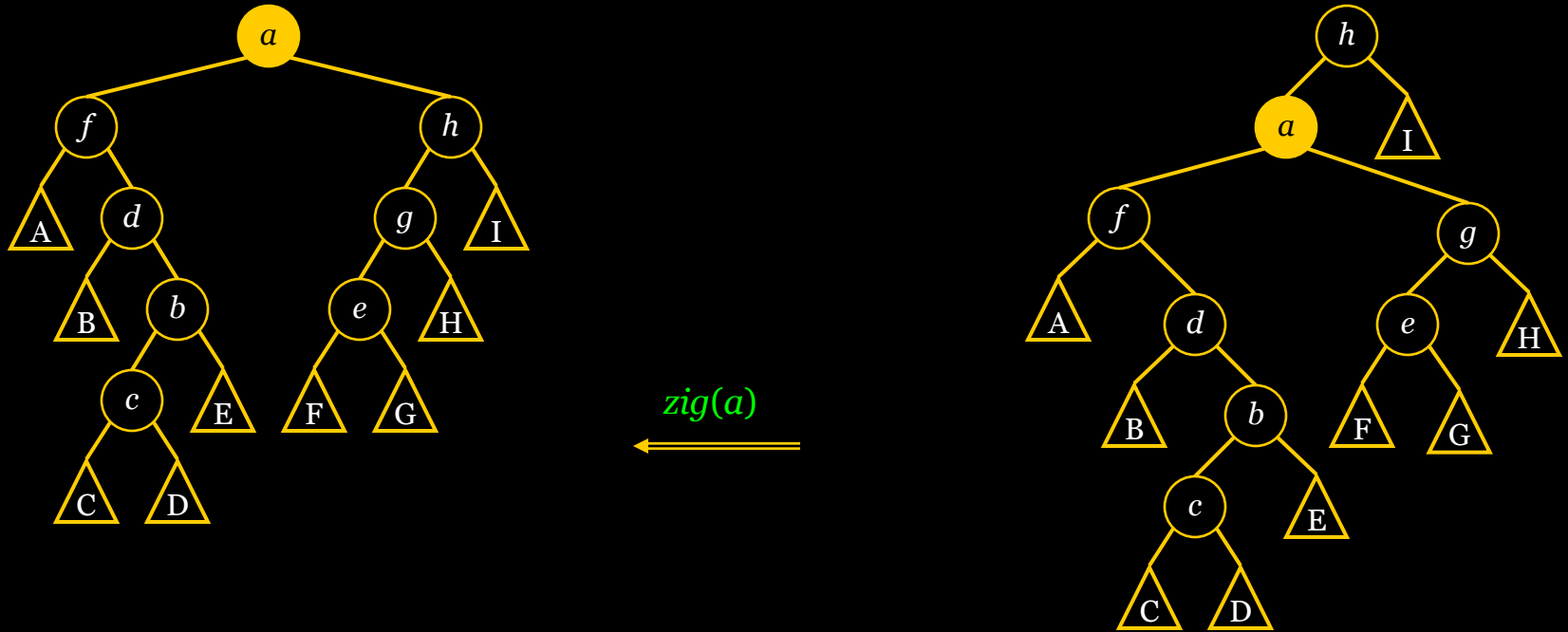


# An Example of Splaying

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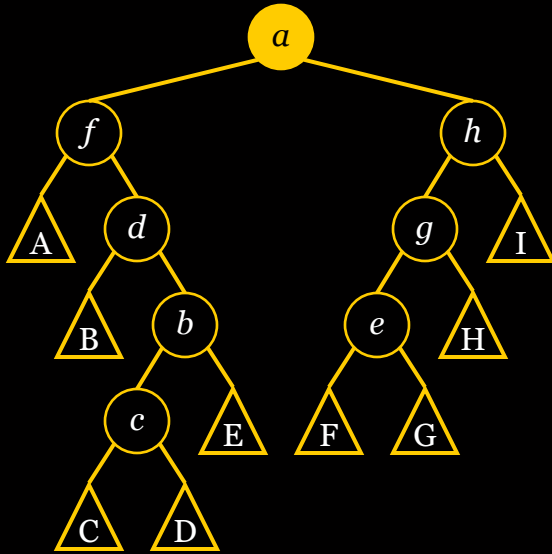


# An Example of Splaying



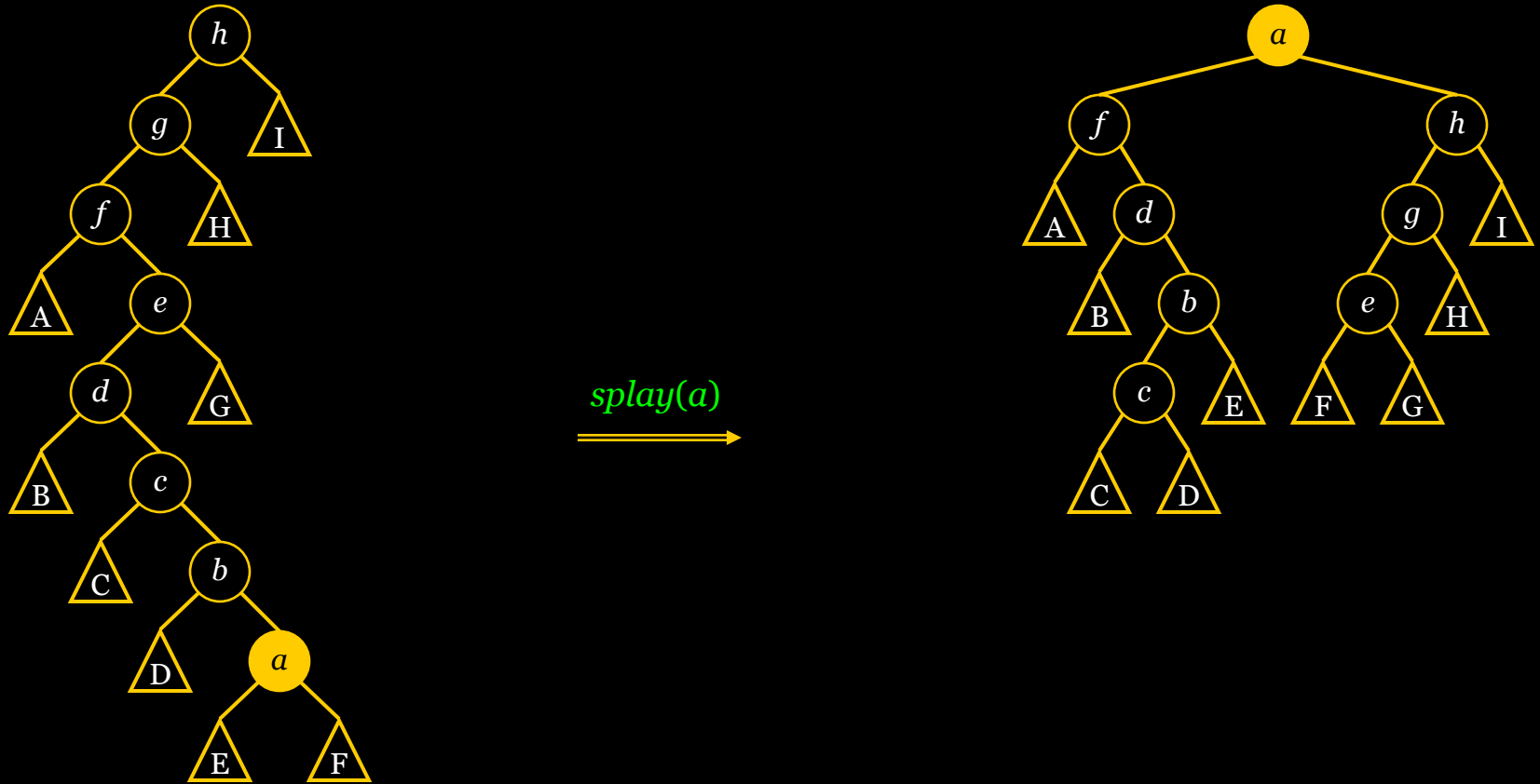
# An Example of Splaying

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# An Example of Splaying

- End result:



# Amortized Analysis

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- Bounds the running time of a **sequence of operations**.
- **Potential function**  $\Phi$  maps each configuration to real number.
- **Amortized time** to execute each operation:
  - $a_i = t_i + \Phi_i - \Phi_{i-1}$ 
    - $a_i$ : amortized time to execute  $i$ -th operation;
    - $t_i$ : actual time to execute the operation;
    - $\Phi_i$ : potential after the  $i$ -th operation.
- Total time for  $m$  operations:

$$\sum_{i=1..m} t_i = \sum_{i=1..m} (a_i + \Phi_{i-1} - \Phi_i) = \Phi_0 - \Phi_m + \sum_{i=1..m} a_i$$

# Amortized Analysis of Splaying

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- Definitions:
  - $s(x)$ : **size** of node  $x$  (number of descendants, including  $x$ );
    - At most  $n$ , by definition.
  - $r(x)$ : **rank** of node  $x$ , defined as  $\log s(x)$ ;
    - At most  $\log n$ , by definition.
  - $\Phi_i$ : **potential** of the data structure (**twice** the sum of all **ranks**).
    - At most  $O(n \log n)$ , by definition.
- **Access Lemma** [ST85]: *The amortized time to splay a tree with root  $t$  at a node  $x$  is at most*

$$6(r(t) - r(x)) + 1 = O(\log(s(t)/s(x))).$$

# Proof of Access Lemma

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- **Access Lemma** [ST85]: *The amortized time to splay a tree with root  $t$  at a node  $x$  is at most*

$$6(r(t)-r(x)) + 1 = O(\log(s(t)/s(x))).$$

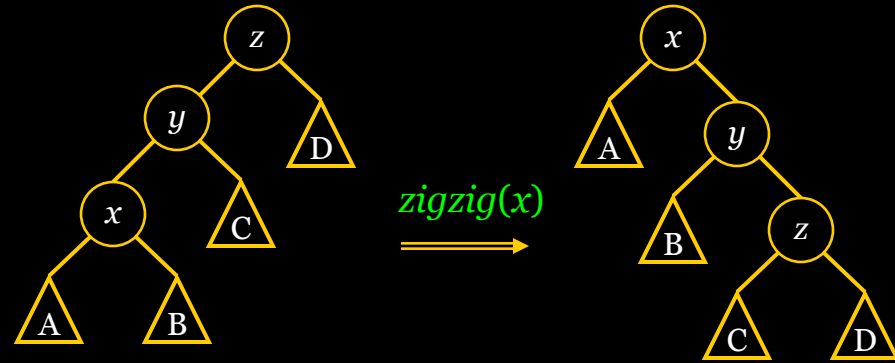
- Proof idea:

- $r_i(x)$  = rank of  $x$  after the  $i$ -th splay step;
- $a_i$  = amortized cost of the  $i$ -th splay step;
- $a_i \leq 6(r_i(x)-r_{i-1}(x)) + 1$  (for the zig step, if any)
- $a_i \leq 6(r_i(x)-r_{i-1}(x))$  (for any zig-zig and zig-zag steps)
- Total amortized time for all  $k$  steps:

$$\begin{aligned}\sum_{i=1..k} a_i &\leq \sum_{i=1..k-1} [6(r_i(x)-r_{i-1}(x))] + [6(r_k(x)-r_{k-1}(x)) + 1] \\ &= 6r_k(x) - 6r_0(x) + 1\end{aligned}$$

# Proof of Access Lemma: Splaying Step

- Zig-zig:



Claim:  $a \leq 6 (r'(x) - r(x))$

$$t + \Phi' - \Phi \leq 6 (r'(x) - r(x))$$

$$2 + 2(r'(x) + r'(y) + r'(z)) - 2(r(x) + r(y) + r(z)) \leq 6 (r'(x) - r(x))$$

$$1 + r'(x) + r'(y) + r'(z) - r(x) - r(y) - r(z) \leq 3 (r'(x) - r(x))$$

$$1 + r'(y) + r'(z) - r(x) - r(y) \leq 3 (r'(x) - r(x)) \quad \text{since } r'(x) = r(z)$$

$$1 + r'(y) + r'(z) - 2r(x) \leq 3 (r'(x) - r(x)) \quad \text{since } r(y) \geq r(x)$$

$$1 + r'(x) + r'(z) - 2r(x) \leq 3 (r'(x) - r(x)) \quad \text{since } r'(x) \geq r'(y)$$

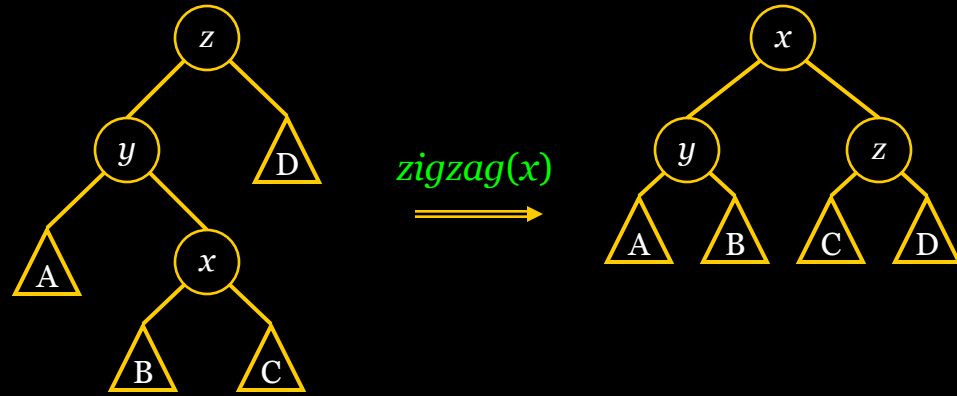
$$(r(x) - r'(x)) + (r'(z) - r'(x)) \leq -1 \quad \text{rearranging}$$

$$\log(s(x)/s'(x)) + \log(s'(z)/s'(x)) \leq -1 \quad \text{definition of rank}$$

**TRUE** because  $s(x) + s'(z) < s'(x)$ : both ratios are smaller than 1, at least one is at most 1/2.

# Proof of Access Lemma: Splaying Step

- Zig-zag:



Claim:  $a \leq 4 (r'(x) - r(x))$

$$t + \Phi' - \Phi \leq 4 (r'(x) - r(x))$$

$$2 + (2r'(x) + 2r'(y) + 2r'(z)) - (2r(x) + 2r(y) + 2r(z)) \leq 4 (r'(x) - r(x))$$

$$2 + 2r'(y) + 2r'(z) - 2r(x) - 2r(y) \leq 4 (r'(x) - r(x)), \quad \text{since } r'(x) = r(z)$$

$$2 + 2r'(y) + 2r'(z) - 4r(x) \leq 4 (r'(x) - r(x)), \quad \text{since } r(y) \geq r(x)$$

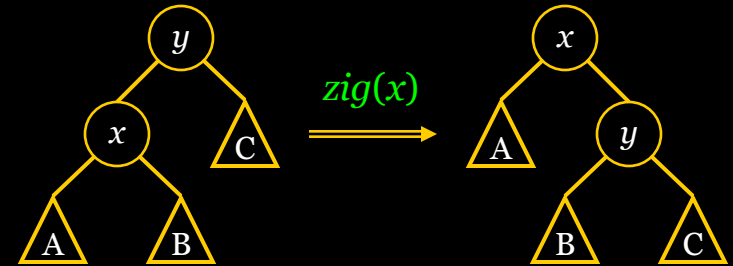
$$(r'(y) - r'(x)) + (r'(z) - r'(x)) \leq -1, \quad \text{rearranging}$$

$$\log(s'(y)/s'(x)) + \log(s'(z)/s'(x)) \leq -1 \quad \text{definition of rank}$$

**TRUE** because  $s'(y) + s'(z) < s'(x)$ : both ratios are smaller than 1, at least one is at most 1/2.

# Proof of Access Lemma: Splaying Step

- Zig:



(only happens if  $y$  is root)

Claim:  $a \leq 1 + 6 (r'(x) - r(x))$

$$t + \Phi' - \Phi \leq 1 + 6 (r'(x) - r(x))$$

$$1 + (2r'(x) + 2r'(y)) - (2r(x) + 2r(y)) \leq 1 + 6 (r'(x) - r(x))$$

$$1 + 2 (r'(x) - r(x)) \leq 1 + 6 (r'(x) - r(x)), \quad \text{since } r(y) \geq r'(y)$$

**TRUE** because  $r'(x) \geq r(x)$ .

# Splaying

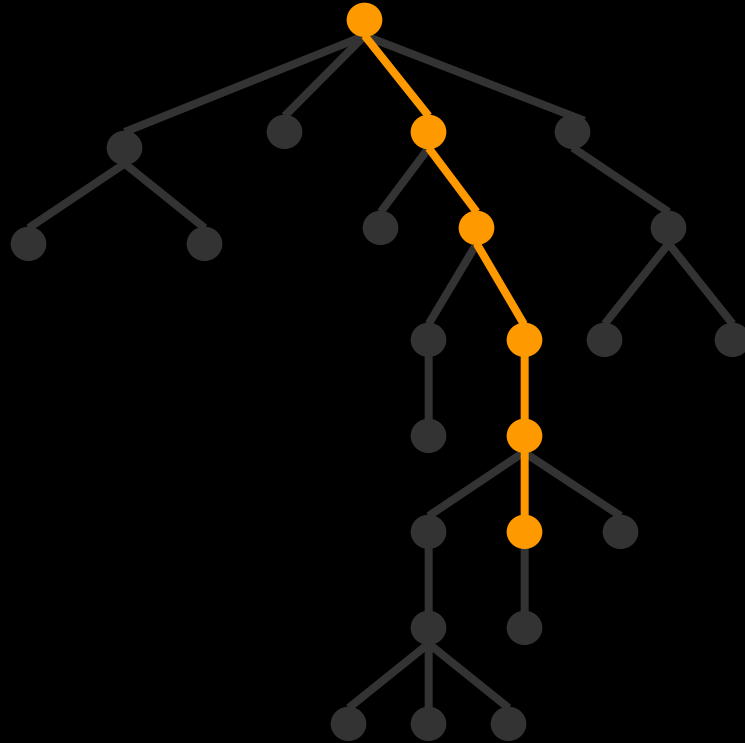
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- To sum up:
  - No rotation:  $a = 1$
  - Zig:  $a \leq 6 (r'(x) - r(x)) + 1$
  - Zig-zig:  $a \leq 6 (r'(x) - r(x))$
  - Zig-zag:  $a \leq 4 (r'(x) - r(x))$
  - Total amortized time at most  $6 (r(t) - r(x)) + 1 = O(\log n)$
- Since accesses bring the relevant element to the root, other operations (**insert**, **delete**, **join**, **split**) become trivial.

# Dynamic Trees

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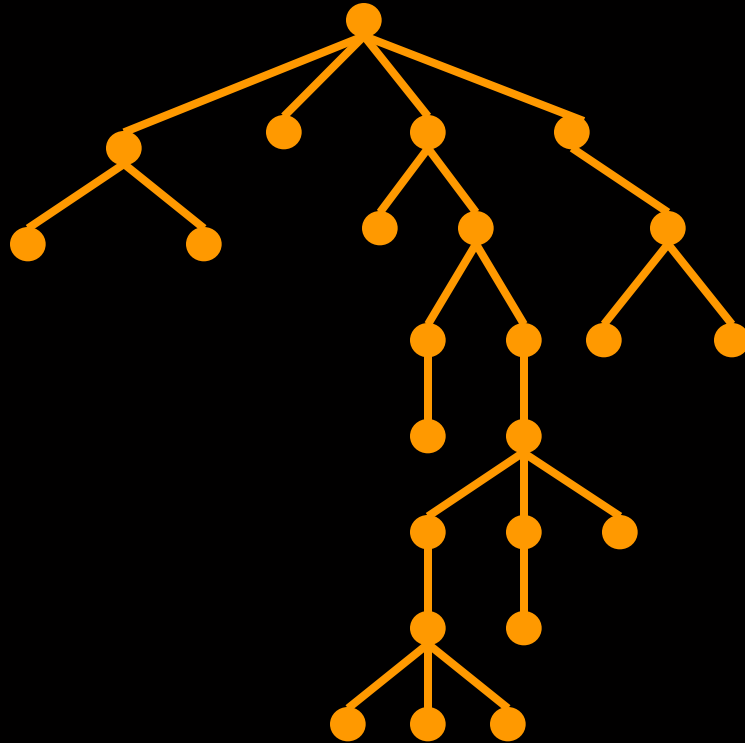
- We know how to deal with isolated paths.
- How to deal with paths within a tree?



# Dynamic Trees

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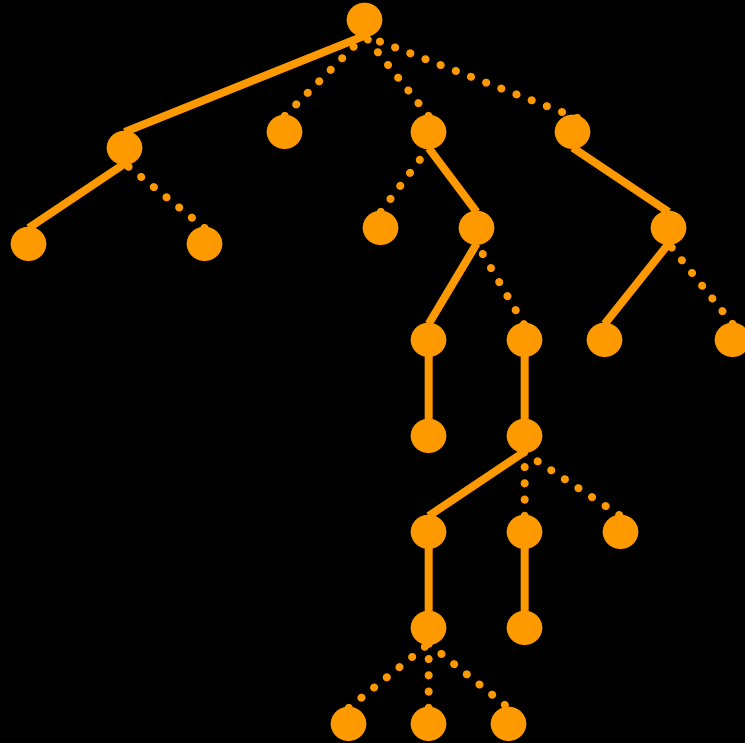
- Main idea: partition the vertices in a tree into disjoint **solid paths** connected by **dashed** edges.



# Dynamic Trees

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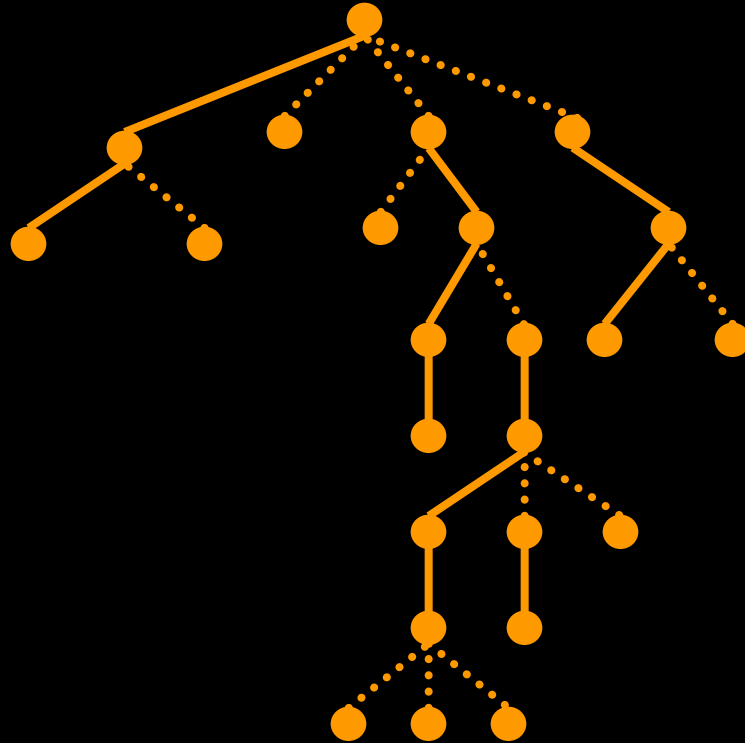
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# Dynamic Trees

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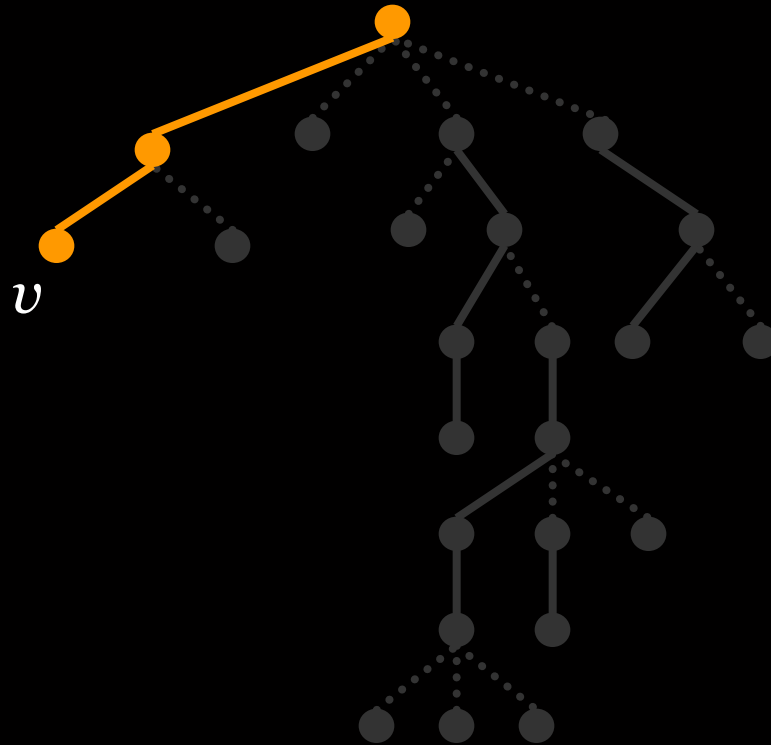
- A vertex  $v$  is **exposed** if:
  - There is a **solid path** from  $v$  to the **root**;
  - **No solid edge** enters  $v$ .



# Dynamic Trees

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- A vertex  $v$  is **exposed** if:
  - There is a **solid path** from  $v$  to the **root**;
  - **No solid edge** enters  $v$ .
- It is **unique**.



# Dynamic Trees

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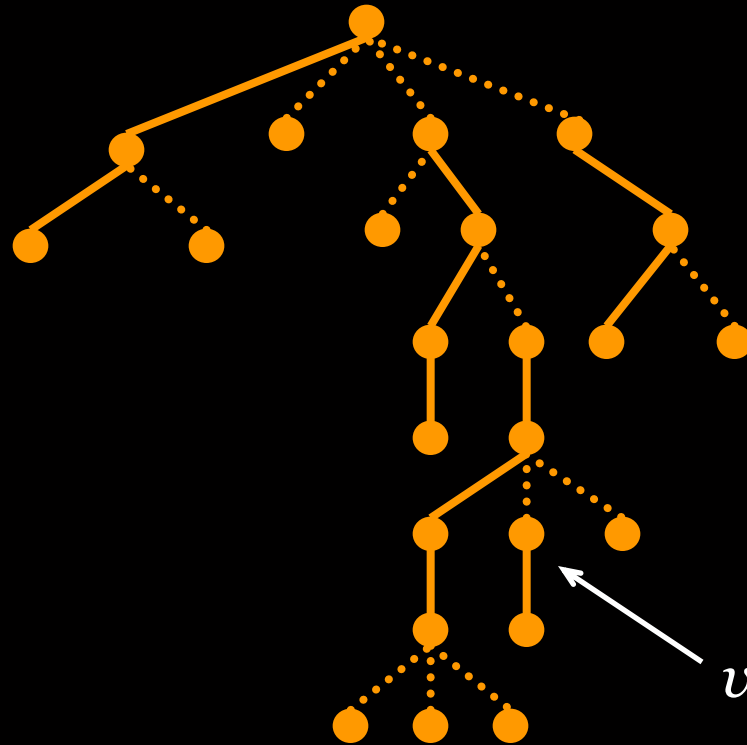
- **Solid paths:**
  - Represented as **binary trees** (as seen before).
  - **Parent pointer of root is the outgoing dashed edge.**
  - Hierarchy of solid binary trees linked by dashed edges: “**virtual tree**”.
- “Isolated path” operations handle the **exposed** path.
  - The solid path entering the root.
  - Dashed pointers go **up**, so the solid path does not “know” it has dashed children.
- If a different path is needed:
  - **expose( $v$ )**: make entire path from  $v$  to the root solid.



# Dynamic Trees

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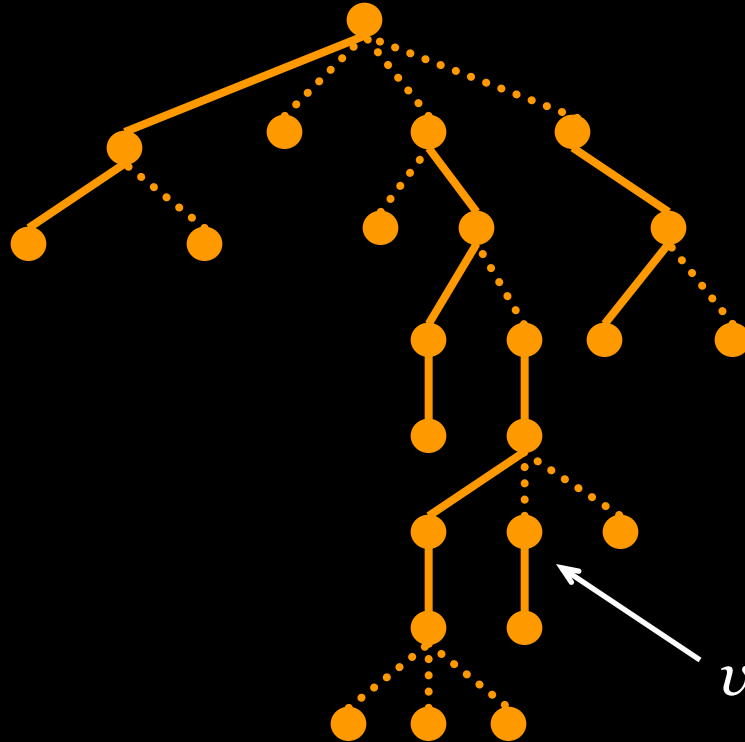
- Example:  $\text{expose}(v)$



# Dynamic Trees

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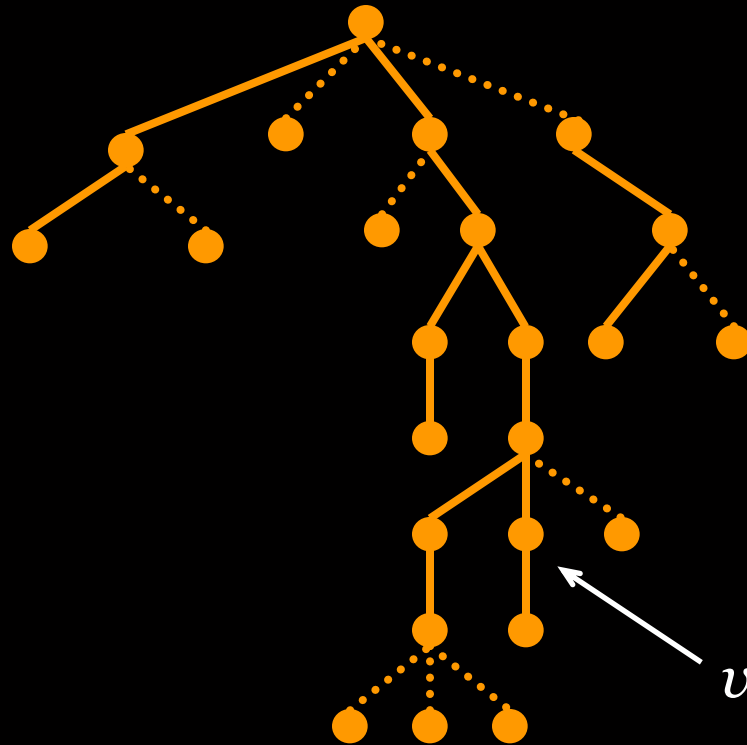
- Example:  $\text{expose}(v)$ 
  - Take all edges in the path to the root, ...



# Dynamic Trees

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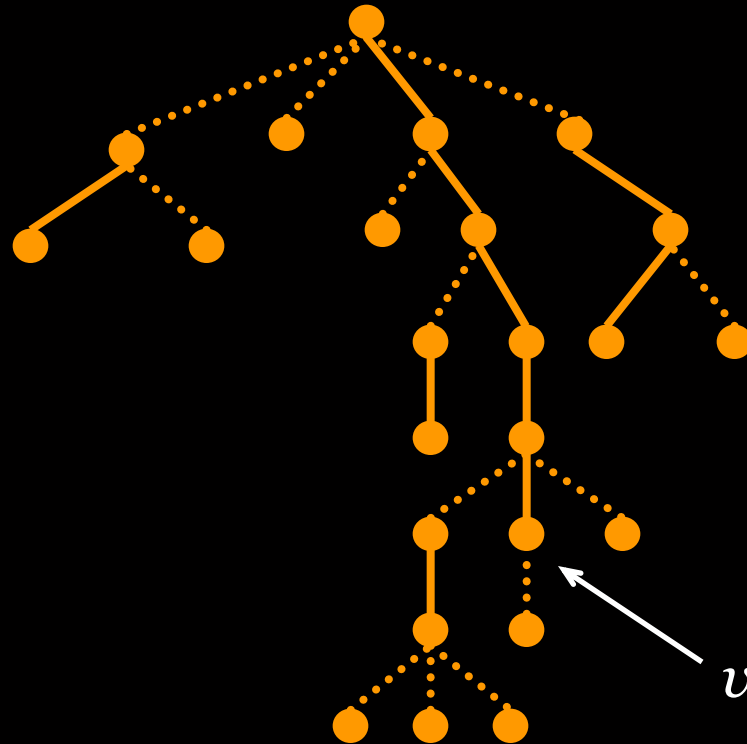
- Example:  $\text{expose}(v)$ 
  - ..., make them solid, ...



# Dynamic Trees

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- Example: **expose**( $v$ )
  - ...make sure there is no other solid edge incident into the path.
    - Uses **splice** operation.



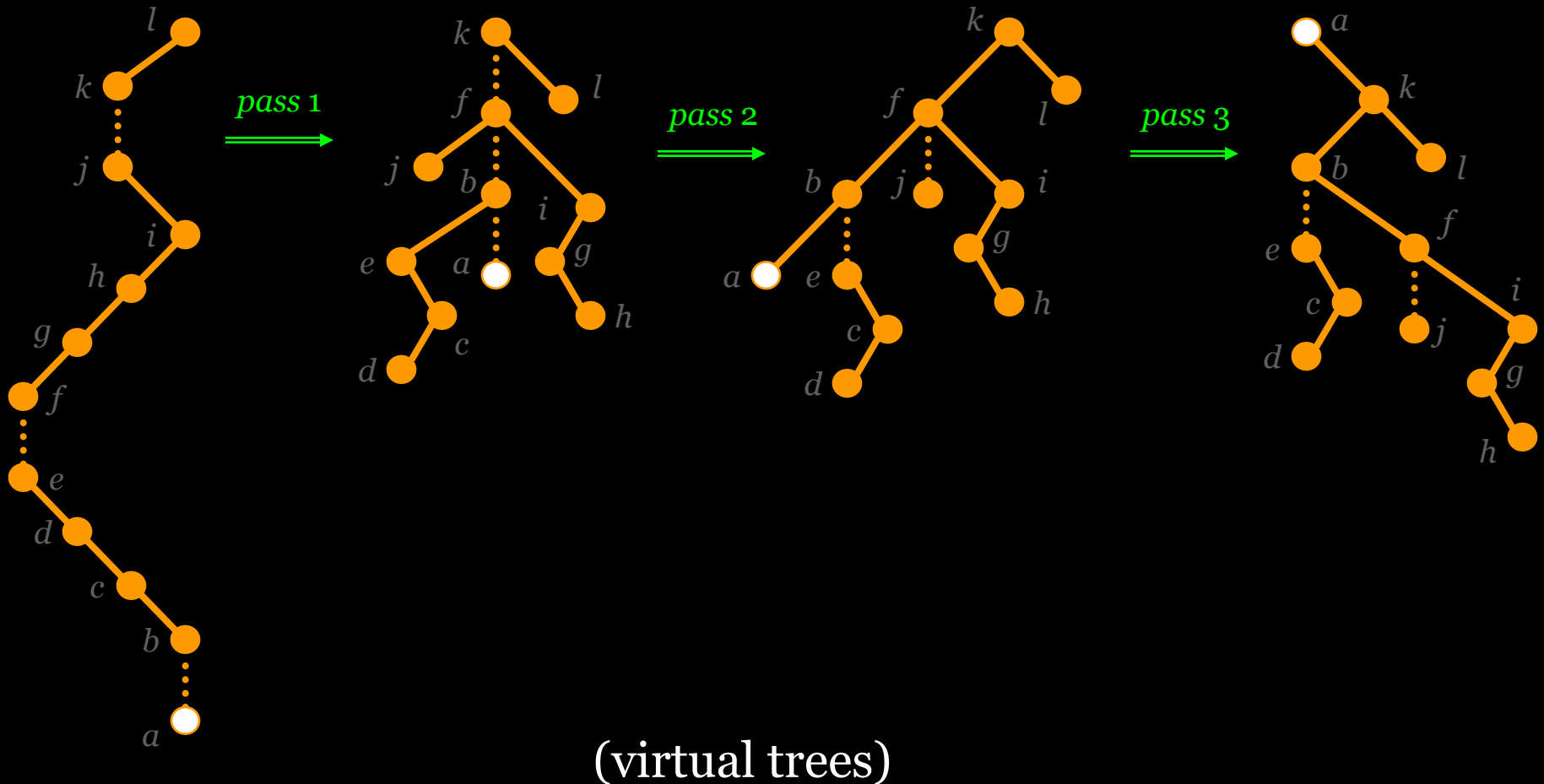
# Exposing a Vertex

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- **expose( $x$ )**: makes the path from  $x$  to the root solid.
- Implemented in three steps:
  1. Splay within each solid tree in the path from  $x$  to root.
  2. Splice each dashed edge from  $x$  to the root.
    - **splice** makes a dashed become the left solid child;
    - If there is an original left solid child, it becomes dashed.
  3. Splay on  $x$ , which will become the root.

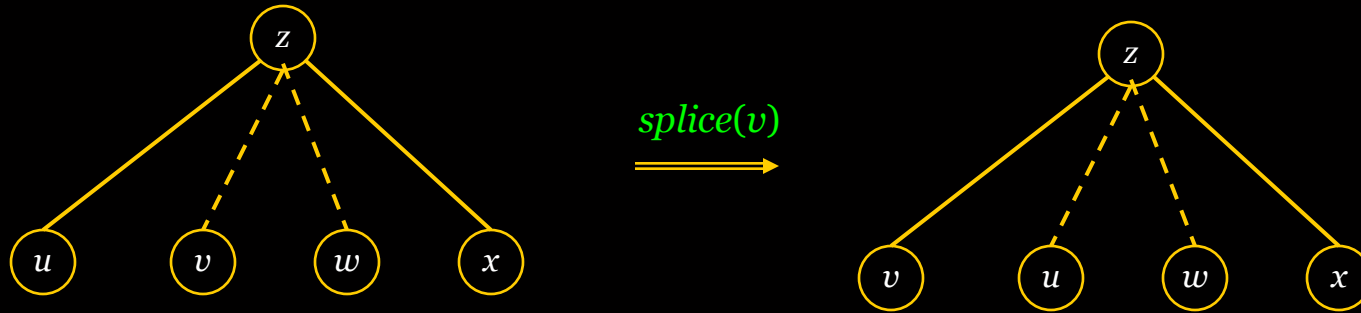
# Exposing a Vertex: An Example

- `expose(a)`



# Dynamic Trees: Splice

- Additional restructuring primitive: *splice*.



- Will only occur when  $z$  is the root of a tree.
- Updates:
  - $\Delta cost'(v) = \Delta cost(v) - \Delta cost(z)$
  - $\Delta cost'(u) = \Delta cost(u) + \Delta cost(z)$
  - $\Delta min'(z) = \max\{0, \Delta min(v) - \Delta cost'(v), \Delta min(x) - \Delta cost(x)\}$

# Exposing a Vertex: Running Time

---

- Running time of  $\text{expose}(x)$ :
  - proportional to initial **depth** of  $x$ ;
  - $x$  is **rotated** all the way to the root;
  - we just need to count the **number of rotations**;
    - will actually find amortized number of rotations:  $O(\log n)$ .
  - proof uses the **Access Lemma**.
    - $s(x)$ ,  $r(x)$  and potential are defined as before;
    - In particular,  $s(x)$  is the size of the **whole** subtree rooted at  $x$ .
      - Includes both **solid** and **dashed** edges.

# Exposing a Vertex: Running Time (Proof)

---

- $k$ : number of **dashed** edges from  $x$  to the root  $t$ .
- Amortized costs of each pass:
  1. Splay within each solid tree:
    - $x_i$ : vertex splayed on the  $i$ -th solid tree.
    - amortized cost of  $i$ -th splay:  $6(r'(x_i) - r(x_i)) + 1$ .
    - $r(x_{i+1}) \geq r'(x_i)$ , so the sum over all steps telescopes;
    - Amortized cost first of pass:  $6(r'(x_k) - r(x_1)) + k \leq 6 \log n + k$ .
  2. Splice dashed edges:
    - no rotations, no potential changes: amortized cost is **zero**.
  3. Splay on  $x$ :
    - amortized cost is at most  $6 \log n + 1$ .
    - $x$  ends up in root, so exactly  $k$  rotations happen;
    - each rotation costs one credit, but is charged two;
    - they **pay for the extra  $k$  rotations** in the first pass.
- Amortized number of rotations =  $O(\log n)$ .

# Implementing Dynamic Tree Operations

---

- **findcost( $v$ ):**
  - expose  $v$ , return  $cost(v)$ .
- **findroot( $v$ ):**
  - expose  $v$ ;
  - find  $w$ , the rightmost vertex in the solid subtree containing  $v$ ;
  - splay at  $w$  and return  $w$ .
- **findmin( $v$ ):**
  - expose  $v$ ;
  - use  $\Delta cost$  and  $\Delta min$  to walk down from  $v$  to  $w$ , the last minimum-cost node in the solid subtree;
  - splay at  $w$  and return  $w$ .

# Implementing Dynamic Tree Operations

---

- **addcost( $v, x$ ):**
  - expose  $v$ ;
  - add  $x$  to  $\Delta\text{cost}(v)$ ;
- **link( $v, w$ ):**
  - expose  $v$  and  $w$  (they are in different trees);
  - set  $p(v)=w$  (that is, make  $v$  a middle child of  $w$ ).
- **cut( $v$ ):**
  - expose  $v$ ;
  - add  $\Delta\text{cost}(v)$  to  $\Delta\text{cost}(\text{right}(v))$ ;
  - make  $p(\text{right}(v))=\mathbf{null}$  and  $\text{right}(v)=\mathbf{null}$ .

# Extensions and Variants

---

- Simple extensions:
  - Associate values with edges:
    - just interpret  $\text{cost}(v)$  as  $\text{cost}(v, p(v))$ .
  - other path queries (such as length):
    - change values stored in each node and update operations.
  - free (unrooted) trees.
    - implement **ever** operation, which changes the root.
- Not-so-simple extension:
  - subtree-related operations:
    - requires that vertices have bounded degree;
    - Approach for arbitrary trees: “ternarize” them:
      - [Goldberg, Grigoriadis and Tarjan, 1991]

# Alternative Implementation

---

- Total time per operation depends on the data structure used to represent paths:
  - Splay trees:  $O(\log n)$  amortized [ST85].
  - Balanced search tree:  $O(\log^2 n)$  amortized [ST83].
  - Locally biased search tree:  $O(\log n)$  amortized [ST83].
  - Globally biased search trees:  $O(\log n)$  worst-case [ST83].
- Biased search trees:
  - Support leaves with different “weights”.
  - Some solid leaves are “heavier” because they also represent subtrees dangling from it from dashed edges.
  - Much more complicated than splay trees.

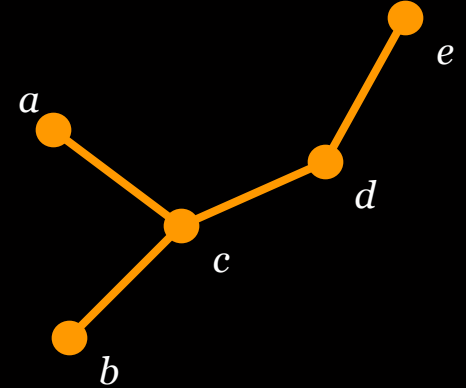
# Other Data Structures

---

- Some applications require tree-related information:
  - minimum vertex in a tree;
  - add value to all elements in the tree;
  - **link** and **cut** as usual.
- **ET-Trees** can do that:
  - Henzinger and King (1995);
  - Tarjan (1997).

# ET-Trees

- Each tree represented by its *Euler tour*.
  - Edge  $\{v,w\}$ :
    - appears as arcs  $(v,w)$  and  $(w,v)$
  - Vertex  $v$ :
    - appears once as a self-loop  $(v,v)$ :
    - used as an “anchor” for new links.
    - stores vertex-related information.
  - Representation is not circular: tour broken at arbitrary place.



# ET-Trees

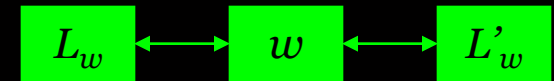
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- Consider  $\text{link}(v,w)$ :
  - Create elements representing arcs  $(v,w)$  and  $(w,v)$ :

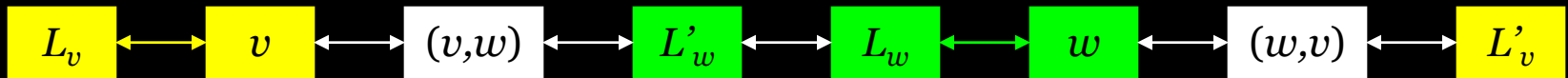
$(v,w)$

$(w,v)$

- Split and concatenate tours appropriately:
  - Original tours:



- Final tour:



- The  $\text{cut}$  operation is similar.

# ET-Trees

---

- Tours as doubly-linked lists:
  - Natural representation.
  - **link/cut**:  $O(1)$  time.
  - **addcost/findmin**:  $O(n)$  time.
- Tours as balanced binary search trees:
  - **link/cut**:  $O(\log n)$  time (binary tree join and split).
  - **addcost/findmin**:  $O(\log n)$  time:
    - values stored in difference form.

# Contractions

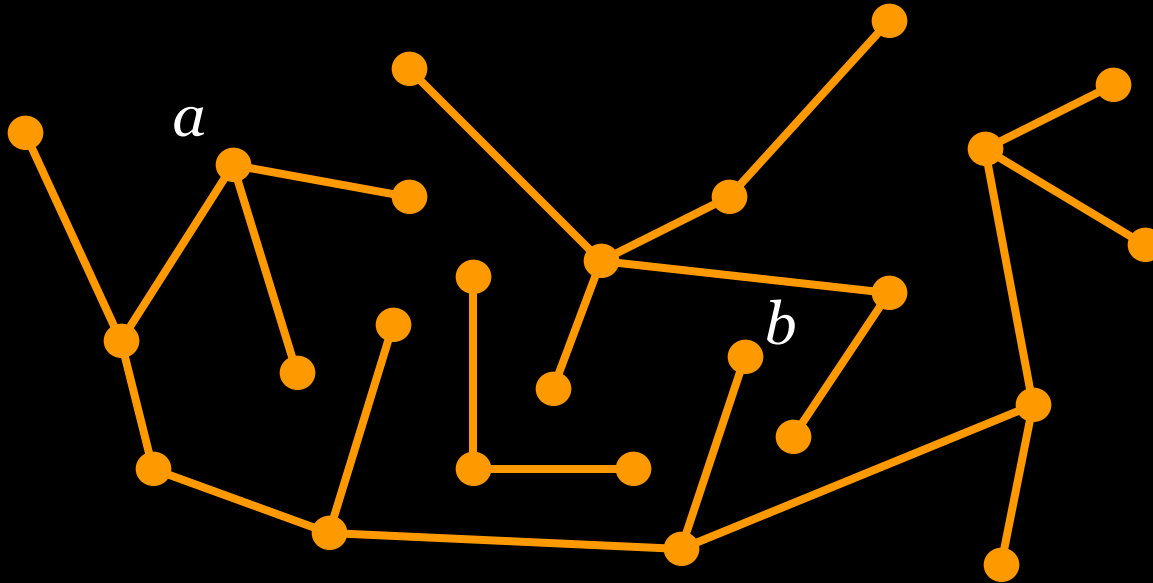
---

- **ST-Trees** [ST83, ST85]:
  - first data structure to handle paths within trees efficiently.
  - It is clearly path-oriented:
    - relevant paths explicitly **exposed** and dealt with.
- Other approaches are based on **contractions**:
  - Original tree is progressively contracted until a structure representing only the relevant path (or tree) is left.

# Contractions

---

- Assume we are interested in the path from  $a$  to  $b$ :

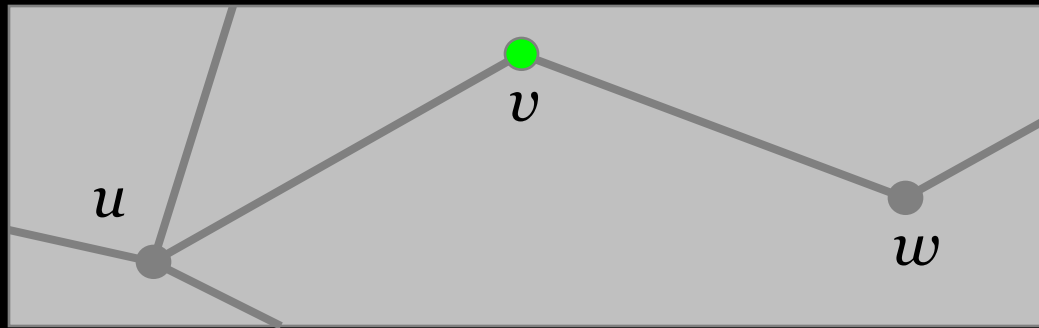


- Using only local information, how can we get closer to the solution?

# Contractions

---

- Consider any vertex  $v$  with **degree 2** in the tree

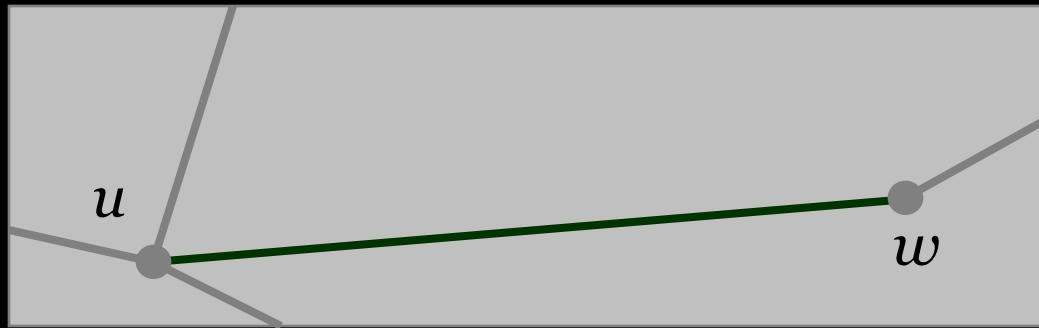


- Possibilities if  $v$  is neither  $a$  nor  $b$ :
  - $a$  and  $b$  on same “side”:  $v$  is **not** in  $a \cdots b$ .
  - If  $a$  and  $b$  on different sides:  $v$  **belongs** to path  $a \cdots b$ .

# Contractions

---

- Consider any vertex  $v$  with **degree 2** in the tree

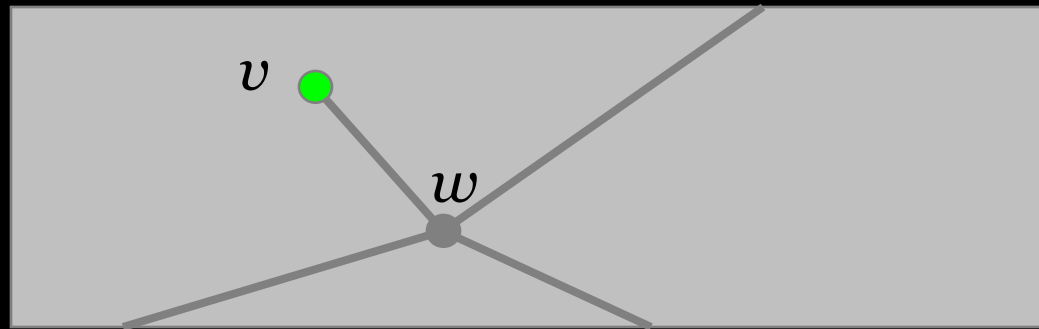


- Possibilities if  $v$  is neither  $a$  nor  $b$ :
  - $a$  and  $b$  on same “side”:  $v$  is **not** in  $a \cdots b$ .
  - If  $a$  and  $b$  on different sides:  $v$  **belongs** to path  $a \cdots b$ .
- We can replace  $(u, v)$  and  $(v, w)$  with a **new edge  $(u, w)$** :
  - This is a **compress** operation.

# Contractions

---

- Consider any vertex  $v$  with degree 1 in the tree:

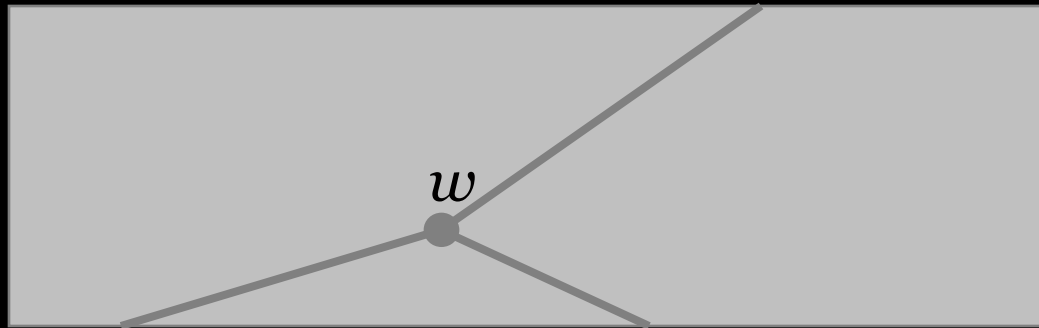


- If  $v$  is neither  $a$  nor  $b$ , it is clearly **not** in  $a \cdots b$ .

# Contractions

---

- Consider any vertex  $v$  with degree 1 in the tree:



- If  $v$  is neither  $a$  nor  $b$ , it is clearly **not** in  $a \cdots b$ .
- We can simply **eliminate**  $(v, w)$ , reducing the problem size.
  - This is a **rake** operation.

# Contractions

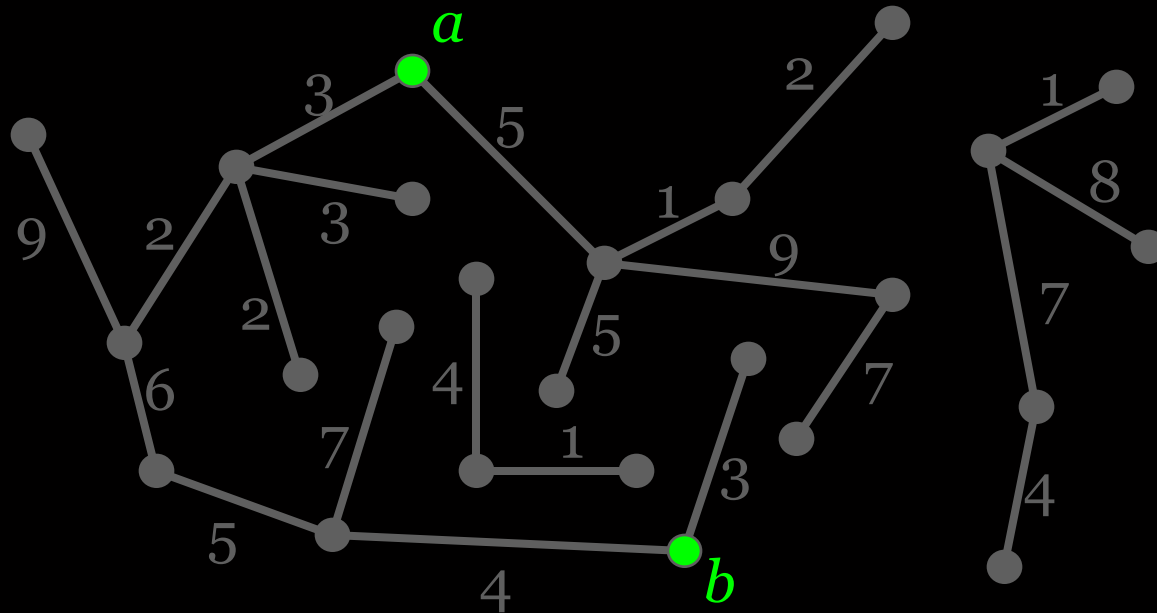
---

- A contraction-based algorithm:
  - Work in rounds;
  - In each round, perform some **rakes** and/or **compresses**:
    - this will create a new, smaller tree;
    - moves within a round are usually “**independent**”.
  - Eventually, we will be down to a single element (vertex/edge) that represents a path (or the tree).

# Path Queries

---

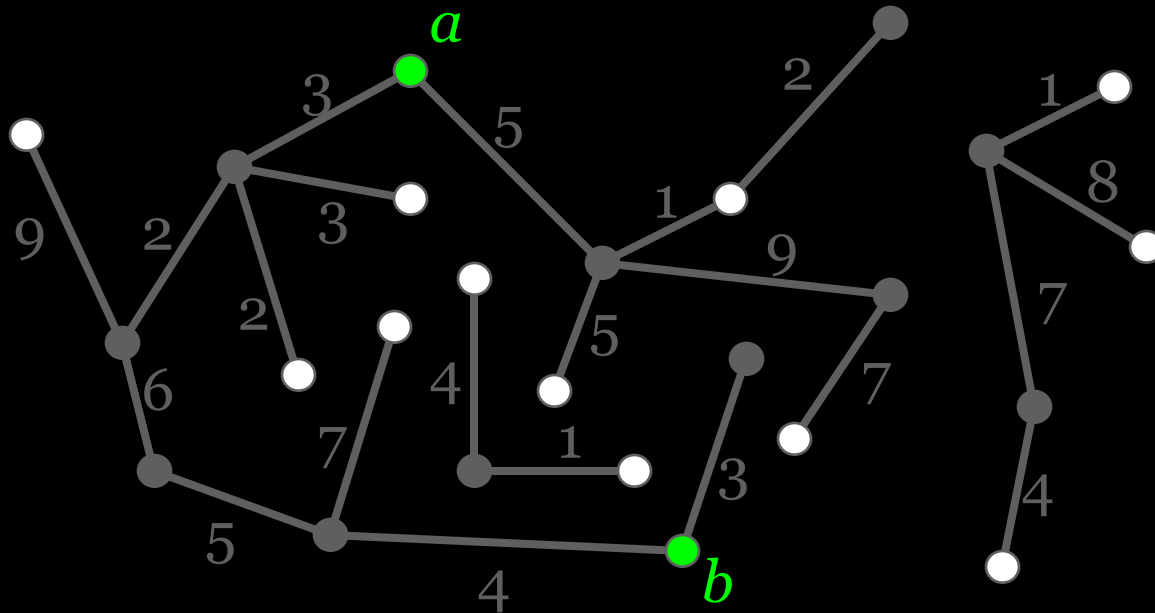
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

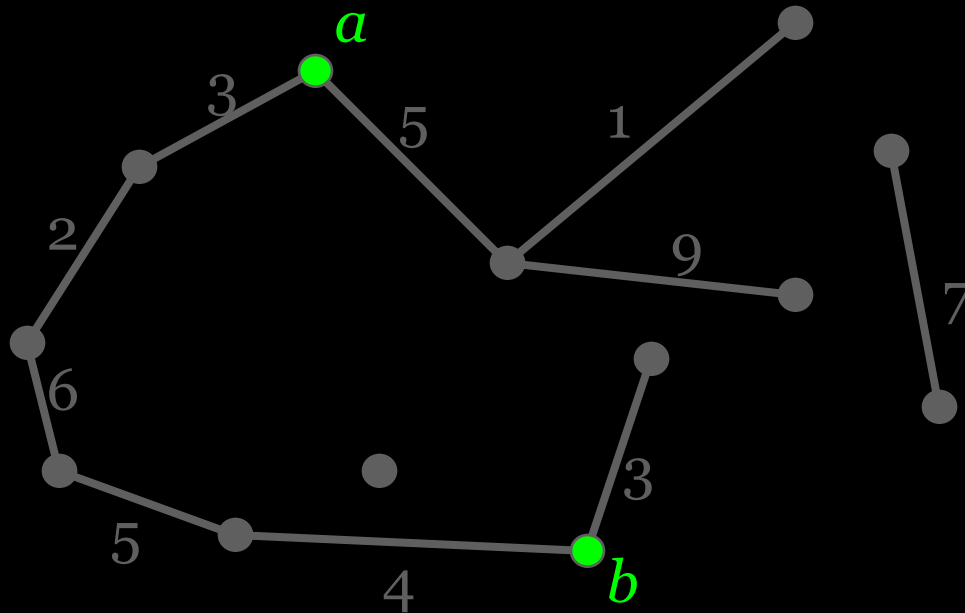
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

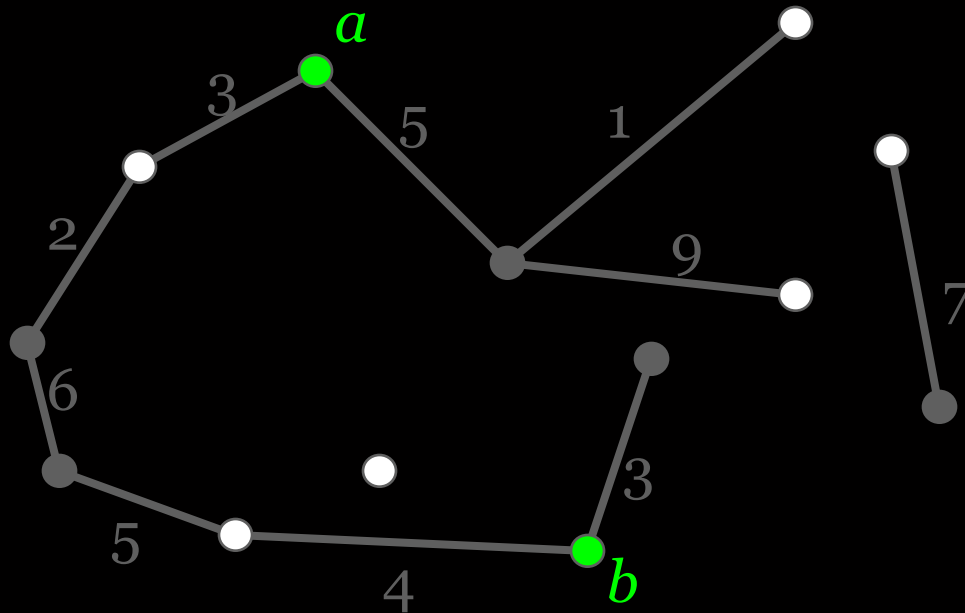
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

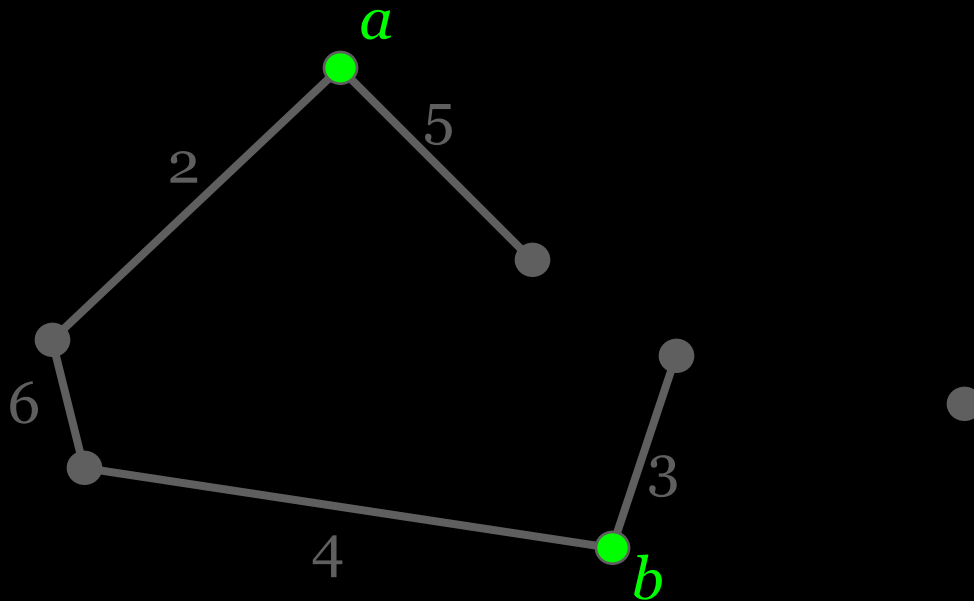
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

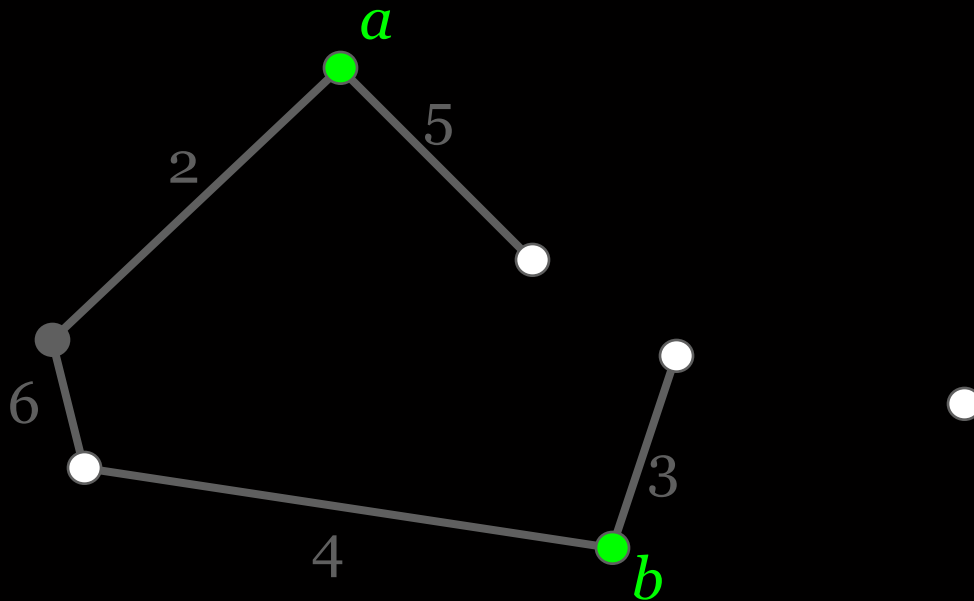
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

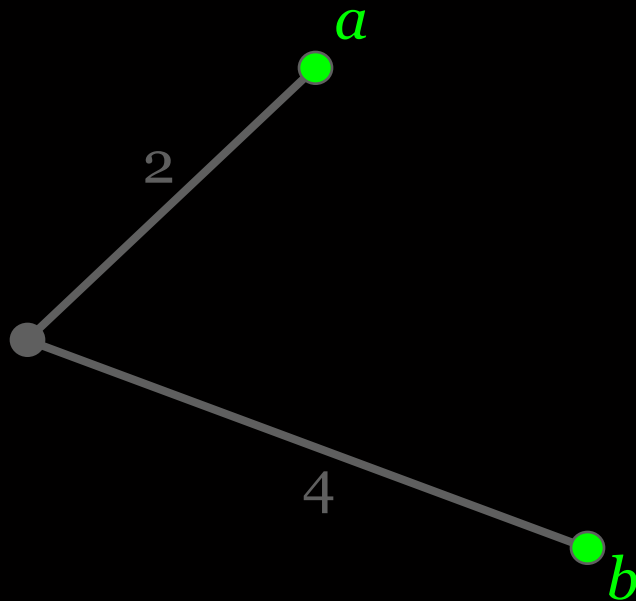
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

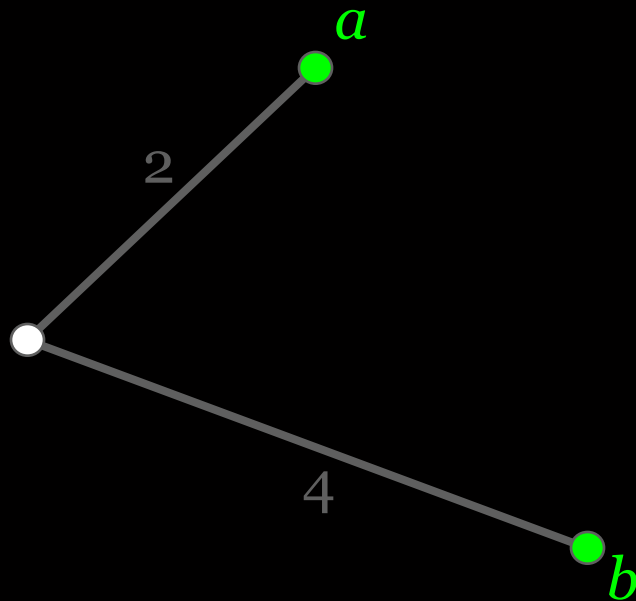
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

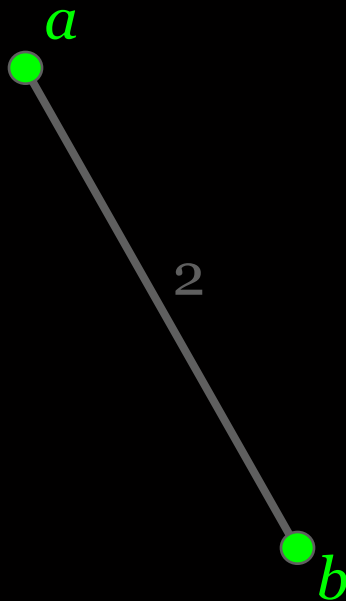
- Computing the minimum cost from  $a$  to  $b$ :



# Path Queries

---

- Computing the minimum cost from  $a$  to  $b$ :



# Contractions

---

- Suppose a definition of **independence** guarantees that a fraction  $1/k$  of all possible **rakes** and **compresses** will be executed in a round.
  - All degree-1 vertices are **rake** candidates.
  - All degree-2 vertices are **compress** candidates.
  - *Fact:* at least **half** the vertices in **any tree** have **degree 1 or 2**.
  - *Result:* a fraction  $1/2k$  of **all** vertices will be removed.
  - Total number of rounds is  $\lceil \log_{(2k)/(2k-1)} n \rceil = O(\log n)$ .

# Contractions

---

- **rake** and **compress** proposed by Miller and Reif [1985].
  - Original context: parallel algorithms.
  - Perform several operations on trees in  $O(\log n)$  time.

# The Update Problem

---

- Coming up with a definition of **independence** that results in a contraction with  $O(\log n)$  levels.
  - But that is not the problem we need to solve.
- Essentially, we want to repair an existing contraction after a tree operation (**link/cut**).
- So we are interested in the **update problem**:
  - Given a contraction  $C$  of a forest  $F$ , **find another contraction**  $C'$  of a forest  $F'$  that differs from  $F$  in **one single edge** (inserted or deleted).
  - Fast:  $O(\log n)$  time.

# Our Problem

---

- Several data structures deal with this problem.
  - [Frederickson, 85 and 97]: Topology Trees;
  - [Alstrup et al., 97 and 03]: Top Trees;
  - [Acar et al. 03]: RC-Trees.

# Top Trees

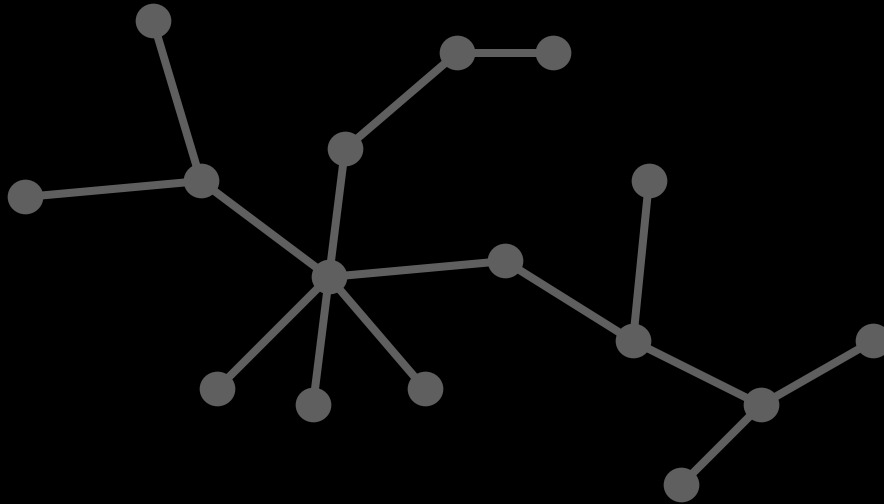
---

- Proposed by Alstrup et al. [1997,2003]
- Handle unrooted (free) trees with arbitrary degrees.
- Key ideas:
  - Associate information with the edges directly.
  - Pair edges up:
    - **compress**: combines two edges linked by a degree-two vertex;
    - **rake**: combines leaf with an edge with which it shares an endpoint.
    - All pairs (**clusters**) must be disjoint.
  - **expose**: determines which **two** vertices are relevant to the query (they will not be **raked** or **compressed**).

# Top Trees

---

- Consider some free tree.

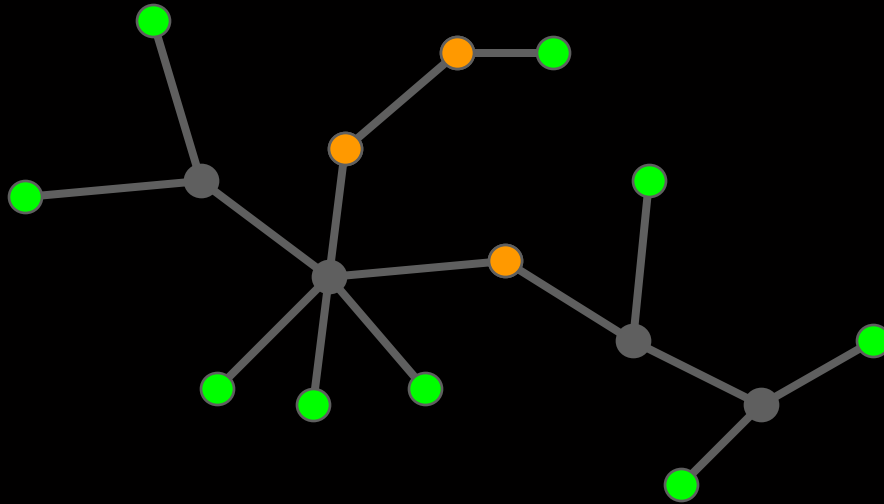


(level zero: original tree)

# Top Trees

---

- All degree-1 and degree-2 vertices are candidates for a move (**rake** or **compress**).

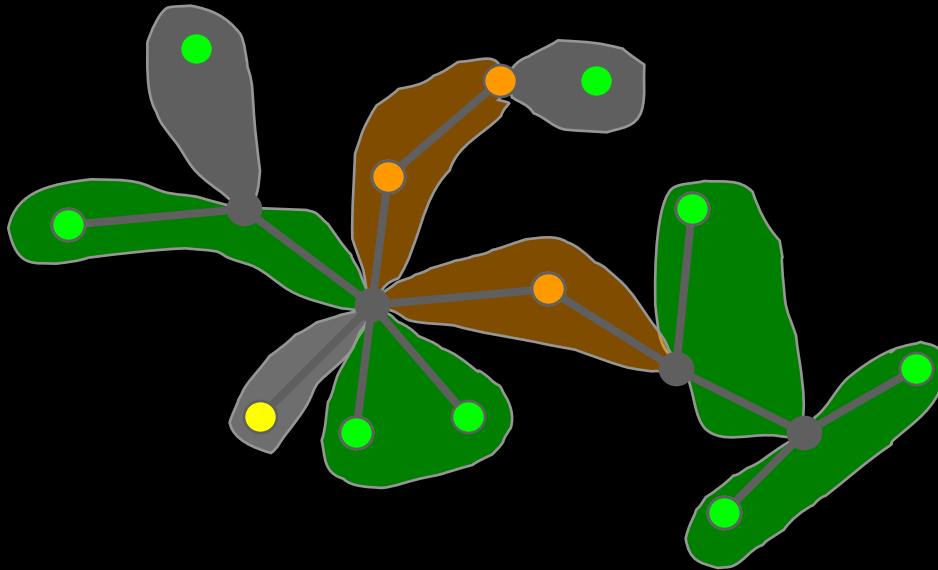


(level zero: original tree)

# Top Trees

---

- When two edges are matched, they create new **clusters**, which are edge-disjoint.

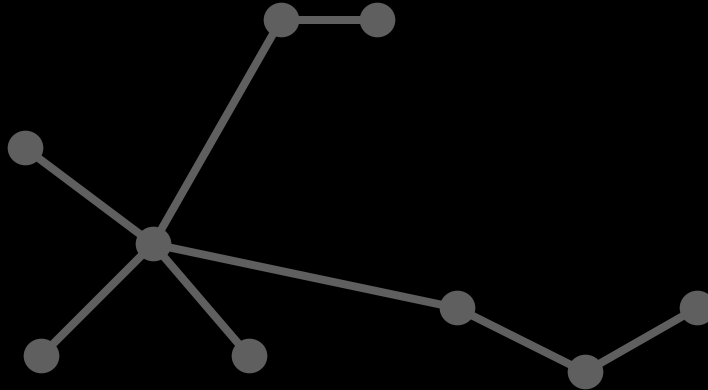


(level zero: original tree)

# Top Trees

---

- Clusters are new edges in the level above:
  - New **rakes** and **compresses** can be performed as before.



(level one)

# Top Trees

---

- The **top tree** itself represents the hierarchy of clusters:
  - **original edge**: leaf of the top tree (level zero).
  - two edges/clusters are grouped by **rake** or **compress**:
    - Resulting cluster is their parent in the level above.
  - edge/cluster **unmatched**: parent will have only one child.
- What about values?

# Top Trees

---

- Alstrup et al. see top tree as an **API**.
- The top tree **engine** handles structural operations:
  - User has limited access to it.
- Engine calls **user-defined functions** to handle values properly:
  - **join( $A, B, C$ )**: called when  $A$  and  $B$  are paired (by **rake** or **compress**) to create cluster  $C$ .
  - **split( $A, B, C$ )**: called when a **rake** or **compress** is undone (and  $C$  is split into  $A$  and  $B$ ).
  - **create( $C, e$ )**: called when base cluster  $C$  is created to represent edge  $e$ .
  - **destroy( $C$ )**: called when base cluster  $C$  is deleted.

# Top Trees

---

- Example (path operations: **findmin/addcost**)
  - Associate two values with each cluster:
    - **mincost**( $C$ ): minimum cost in the path represented by  $C$ .
    - **extra**( $C$ ): cost that must be added to all subpaths of  $C$ .
  - **create**( $C, e$ ): (*called when base cluster  $C$  is created*)
    - $\text{mincost}(C) = \text{cost of edge } e$ .
    - $\text{extra}(C) = 0$
  - **destroy**( $C$ ): (*called when base cluster  $C$  is deleted*).
    - Do nothing.

# Top Trees

---

- Example (path operations: **findmin/addvalue**)
  - **join(A,B,C)**: (called when A and B are combined into C)
    - **compress**:  $\text{mincost}(C) = \min\{\text{mincost}(A), \text{mincost}(B)\}$
    - **rake**:  $\text{mincost}(C) = \text{mincost}(B)$  (assume A is the leaf)
    - Both cases:  $\text{extra}(C) = 0$
  - **split(A,B,C)**: (called when C is split into A and B)
    - **compress**: for each child  $X \in \{A, B\}$ :
      - $\text{mincost}(X) = \text{mincost}(X) + \text{extra}(C)$
      - $\text{extra}(X) = \text{extra}(X) + \text{extra}(C)$
    - **rake**: same as above, but only for the edge/cluster that was not raked.

# Top Trees

---

- Example (path operations: **findmin/addvalue**)
  - To find the **minimum cost** in path  $a \cdots b$ :
    - $R = \text{expose}(a, b)$ ;
    - return **mincost**( $R$ ).
  - To **add a cost**  $x$  to all edges in path  $a \cdots b$ :
    - $R = \text{expose}(a, b)$ ;
    - $\text{mincost}(R) = \text{mincost}(R) + x$ ;
    - $\text{extra}(R) = \text{extra}(R) + x$ .

# Top Trees

---

- Can handle operations such as:
  - tree costs (just a different way of handling **rakes**);
  - path lengths;
  - tree diameters.
- Can handle non-local information using the **select** operation:
  - allows user to perform binary search on top tree.
  - an example: tree **center**.
- Top trees are implemented on top of **topology trees**, which they generalize.

# Topology Trees

---

- Proposed by Frederickson [1985, 1997].
- Work on **rooted trees** of **bounded degree**.
  - Assume each vertex has at most two children.
    - Values (and clusters) are associated with **vertices**.
  - Perform a **maximal** set of independent moves in each round.
  - Handle updates in  **$O(\log n)$  worst-case** time.

# RC-Trees

---

- Proposed by Acar et al. [2003].
- Can be seen as a variant of topology trees.
  - Information stored on vertices.
  - Trees of bounded degree.
- Main differences:
  - Not necessarily rooted.
  - Alternate **rake** and **compress** rounds.
  - **Not maximal** in **compress** rounds (randomization).
  - Updates in  $O(\log n)$  **expected** time.

# Contractions

---

- Topology, Top, and Trace trees:

- contraction-based.

- ST-Trees: path-based.

- But there is a (rough) mapping:

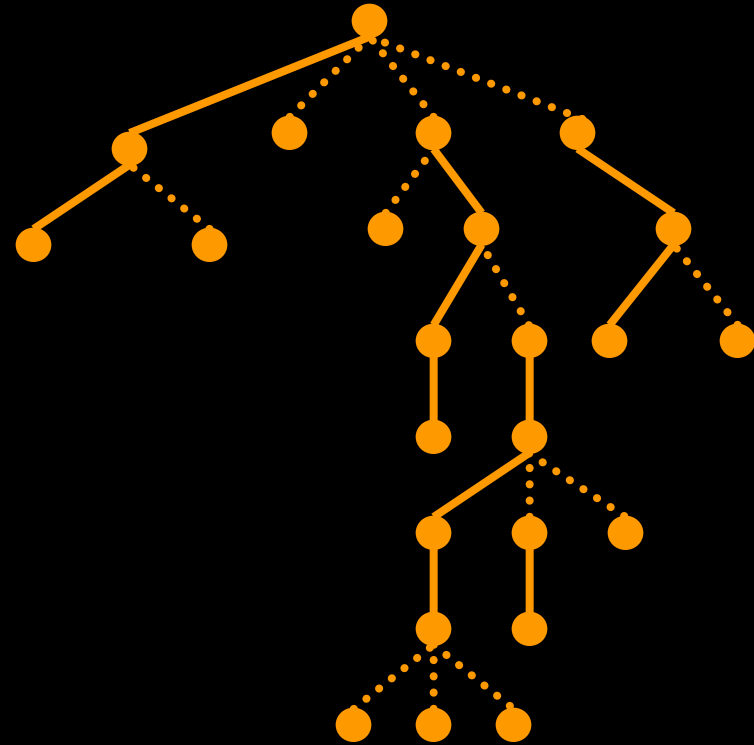
- dashed  $\leftrightarrow$  rake

- “this is a path that goes nowhere”

- solid  $\leftrightarrow$  compress

- “both part of a single path”

- ST-Trees can be used to implement topology trees [AHdLT03].



# Chronology

---

- **ST-Trees:**
  - Sleator and Tarjan (1983): with balanced and biased search trees;
  - Sleator and Tarjan (1985): **splay** trees.
- **Topology Trees:**
  - Frederickson (1985, 1987).
- **ET-trees:**
  - Hensing and King (1995);
  - Tarjan (1997).
- **Top Trees:**
  - Alstrup, de Lichtenberg, and Thorup (1997);
  - Alstrup, Holm, de Lichtenberg, and Thorup (2003).
- **RC-Trees:**
  - Acar, Blelloch, Harper, and Woo (2003).