

A Descriptive View of Combinatorial Group Theory

Simon Thomas

Rutgers University

July 4th 2011

The Basic Theme:

Descriptive set theory provides a framework for explaining the **inevitable non-uniformity** of many classical constructions in mathematics.

Two Examples from Combinatorial Group Theory:

- *The Higman-Neumann-Neumann Embedding Theorem.*
- *The word problem for finitely generated groups.*

The HNN Embedding Theorem

Theorem (Higman-Neumann-Neumann 1949)

Every countable group G can be embedded into a 2-generator group.

Sketch Proof.

- Let $(g_n \mid n \in \mathbb{N})$ be a sequence of generators with $g_0 = 1$.
- Let \mathbb{F} be the free group on $\{a, b\}$ and let $G * \mathbb{F}$ be the free product.
- Then $\{b^{-n}ab^n \mid n \in \mathbb{N}\}$ and $\{g_n a^{-n} b a^n \mid n \in \mathbb{N}\}$ freely generate free subgroups of $G * \mathbb{F}$.
- Hence we can construct the *HNN* extension

$$G \hookrightarrow K_G = \langle G * \mathbb{F}, t \mid t^{-1} b^{-n} a b^n t = g_n a^{-n} b a^n \rangle$$

- Since $g_n \in \langle a, b, t \rangle$ and $t^{-1} a t = b$, it follows that $K_G = \langle a, t \rangle$.



The HNN Embedding Theorem

Theorem (Higman-Neumann-Neumann 1949)

Every countable group G can be embedded into a 2-generator group.

Sketch Proof.

- Let $(g_n \mid n \in \mathbb{N})$ be a sequence of generators with $g_0 = 1$.
- Let \mathbb{F} be the free group on $\{a, b\}$ and let $G * \mathbb{F}$ be the free product.
- Then $\{b^{-n}ab^n \mid n \in \mathbb{N}\}$ and $\{g_n a^{-n} b a^n \mid n \in \mathbb{N}\}$ freely generate free subgroups of $G * \mathbb{F}$.
- Hence we can construct the *HNN* extension

$$G \hookrightarrow K_G = \langle G * \mathbb{F}, t \mid t^{-1} b^{-n} a b^n t = g_n a^{-n} b a^n \rangle$$

- Since $g_n \in \langle a, b, t \rangle$ and $t^{-1} a t = b$, it follows that $K_G = \langle a, t \rangle$.



A natural question

Observation

It is *reasonably clear* that the isomorphism type of the 2-generator group K_G usually depends upon both the generating set of G and the particular enumeration that is used.

Question

Does there exist a *more uniform* construction with the property that the isomorphism type of K_G only depends upon the isomorphism type of G ?

The word problem for finitely generated groups

For each $n \geq 1$, fix an **computable** enumeration

$\{w_k(x_1, \dots, x_n) \mid k \in \mathbb{N}\}$ of the words in $x_1, \dots, x_n, x_1^{-1}, \dots, x_n^{-1}$.

Definition

If $G = \langle a_1, \dots, a_n \rangle$ is a finitely generated group, then

$$\text{Word}(G) = \{k \in \mathbb{N} \mid w_k(a_1, \dots, a_n) = 1\}$$

Remark

The **word problem** for $G = \langle a_1, \dots, a_n \rangle$ is the problem of deciding whether $k \in \text{Word}(G)$.

Turing Reducibility

Convention

Throughout these talks, the powerset $\mathcal{P}(\mathbb{N})$ will be identified with $2^{\mathbb{N}}$ by identifying subsets of \mathbb{N} with their characteristic functions.

Definition

If $A, B \in 2^{\mathbb{N}}$, then *A is Turing reducible to B*, written $A \leq_T B$, if there exists a *B-oracle Turing machine* which computes *A*.

Remark

In other words, there is an algorithm which computes *A* modulo an oracle which correctly answers questions of the form “*Is n ∈ B?*”

Turing Reducibility

Definition

If $A, B \in 2^{\mathbb{N}}$, then A is Turing equivalent to B , written $A \equiv_T B$, if both $A \leq_T B$ and $B \leq_T A$.

Definition

If $A \in 2^{\mathbb{N}}$, then the corresponding Turing degree is defined to be

$$\mathbf{a} = \{ B \in 2^{\mathbb{N}} \mid B \equiv_T A \}.$$

Proposition

If $G = \langle a_1, \dots, a_n \rangle = \langle b_1, \dots, b_m \rangle$ is a finitely generated group, then

$$\{ k \in \mathbb{N} \mid w_k(a_1, \dots, a_n) = 1 \} \equiv_T \{ \ell \in \mathbb{N} \mid w_\ell(b_1, \dots, b_m) = 1 \}.$$

Prescribing the Turing degree of the word problem

Theorem (Folklore)

For each subset $A \subseteq \mathbb{N}$, there exists a finitely generated group G_A such that $\text{Word}(G_A) \equiv_T A$.

- **Notation:** $[x, y] = x^{-1} y^{-1} x y$

Sketch Proof.

Let G_A be the group generated by the elements a, b subject to the following defining relations, where $c_n = [b, a^{-(n+1)} b a^{n+1}]$.

- $a c_n = c_n a$ for all $n \in \mathbb{N}$.
- $b c_n = c_n b$ for all $n \in \mathbb{N}$.
- $c_n^2 = 1$ for all $n \in \mathbb{N}$.
- $c_n = 1$ for all $n \in A$.



Another natural question

Observation

The above construction of G_A is *highly dependent* on the specific subset $A \subseteq \mathbb{N}$, in the sense that if $A \neq B$ are subsets such that $A \equiv_T B$, then we “usually” have that $G_A \not\cong G_B$.

Question

Does there exist a *more uniform* construction $A \mapsto G_A$ with the property that the isomorphism type of G_A only depends upon the Turing degree of A ?

The answers ...

Notation

\mathcal{G} and \mathcal{G}_{fg} denotes the **spaces** of countable groups and f.g. groups.

“Theorem”

There does not exist an **explicit** map $G \mapsto K_G$ from \mathcal{G} to \mathcal{G}_{fg} such that for all $G, H \in \mathcal{G}$,

- $G \hookrightarrow K_G$; and
- if $G \cong H$, then $K_G \cong K_H$.

“Theorem”

There does not exist an **explicit** map $A \mapsto G_A$ from $2^{\mathbb{N}}$ to \mathcal{G}_{fg} such that for all $A, B \in 2^{\mathbb{N}}$,

- $\text{Word}(G_A) \equiv_T A$; and
- if $A \equiv_T B$ then $G_A \cong G_B$.

What is an explicit map?

Question

Which functions $f : \mathbb{R} \rightarrow \mathbb{R}$ are explicit?

Church's Thesis for the Reals

EXPLICIT = BOREL

Definition

- A function $f : \mathbb{R} \rightarrow \mathbb{R}$ is **Borel** if $\text{graph}(f)$ is a Borel subset of $\mathbb{R} \times \mathbb{R}$.
- Equivalently, $f^{-1}(A)$ is Borel for each Borel subset $A \subseteq \mathbb{R}$.

The Cantor Space

- The Cantor space $2^{\mathbb{N}}$ is a **complete separable metric space** with respect to the metric

$$d(x, y) = \sum_{n=0}^{\infty} \frac{|x(n) - y(n)|}{2^{n+1}}.$$

- The corresponding topological space is a **Polish space** with basic open neighborhoods

$$U_s = \{x \in 2^{\mathbb{N}} \mid x \upharpoonright n = s\}, \quad \text{where } s \in 2^{<\mathbb{N}}.$$

The Polish space of countably infinite groups

- Let \mathcal{G} be the set of groups with underlying set \mathbb{N} .
- We can identify each group

$$G \in \mathcal{G} \longleftrightarrow m_G \in 2^{\mathbb{N} \times \mathbb{N} \times \mathbb{N}}$$

with the graph of its multiplication operation.

- Then \mathcal{G} is a **G_δ subset** of the Cantor space $2^{\mathbb{N} \times \mathbb{N} \times \mathbb{N}}$; i.e. \mathcal{G} is a countable intersection of open subsets.
- It follows that \mathcal{G} is a **Polish subspace** of the Cantor space $2^{\mathbb{N} \times \mathbb{N} \times \mathbb{N}}$.

The Polish space of f.g. groups

- A **marked group** (G, \bar{s}) consists of a f.g. group with a distinguished sequence $\bar{s} = (s_1, \dots, s_m)$ of generators.
- For each $m \geq 1$, let \mathcal{G}_m be the set of **isomorphism types** of marked groups $(G, (s_1, \dots, s_m))$ with m distinguished generators.
- Then there exists a canonical embedding $\mathcal{G}_m \hookrightarrow \mathcal{G}_{m+1}$ defined by

$$(G, (s_1, \dots, s_m)) \mapsto (G, (s_1, \dots, s_m, 1_G)).$$

- And $\mathcal{G}_{fg} = \bigcup \mathcal{G}_m$ is the **space of f.g. groups**.

The Polish space of f.g. groups

- Let $(G, \bar{s}) \in \mathcal{G}_m$ and let d_S be the corresponding **word metric**. For each $\ell \geq 1$, let

$$B_\ell(G, \bar{s}) = \{g \in G \mid d_S(g, 1_G) \leq \ell\}.$$

- The basic open neighborhoods of (G, \bar{s}) in \mathcal{G}_m are given by

$$U_{(G, \bar{s}), \ell} = \{(H, \bar{t}) \in \mathcal{G}_m \mid B_\ell(H, \bar{t}) \cong B_\ell(G, \bar{s})\}, \quad \ell \geq 1.$$

Example

For each $n \geq 1$, let $C_n = \langle g_n \rangle$ be cyclic of order n . Then:

$$\lim_{n \rightarrow \infty} (C_n, g_n) = (\mathbb{Z}, 1).$$

A slight digression ...

Some Isolated Points

- Finite groups
- Finitely presented simple groups

The Next Stage

- $SL_3(\mathbb{Z})$

Question (Grigorchuk)

What is the Cantor-Bendixson rank of \mathcal{G} ?

Theorem

There does not exist a **Borel** map $G \mapsto K_G$ from \mathcal{G} to \mathcal{G}_{fg} such that for all $G, H \in \mathcal{G}$,

- $G \hookrightarrow K_G$; and
- if $G \cong H$, then $K_G \cong K_H$.

Theorem

There does not exist a **Borel** map $A \mapsto G_A$ from $2^{\mathbb{N}}$ to \mathcal{G}_{fg} such that for all $A, B \in 2^{\mathbb{N}}$,

- $\text{Word}(G_A) \equiv_T A$; and
- if $A \equiv_T B$ then $G_A \cong G_B$.

But Greg Cherlin wasn't satisfied ...

Theorem

- Suppose that $A \mapsto G_A$ is *any* Borel map from $2^{\mathbb{N}}$ to \mathcal{G}_{fg} such that $\text{Word}(G_A) \equiv_T A$ for all $A \in 2^{\mathbb{N}}$.
- Then there exists a Turing degree \mathbf{d}_0 such that for all $\mathbf{d} \geq_T \mathbf{d}_0$, there exists an infinite subset $\{A_n \mid n \in \mathbb{N}\} \subseteq \mathbf{d}$ such that the groups $\{G_{A_n} \mid n \in \mathbb{N}\}$ are pairwise *incomparable with respect to embeddability*.

But Greg Cherlin wasn't satisfied ...

Theorem (LC)

- Suppose that $G \mapsto K_G$ is *any* Borel map from \mathcal{G} to \mathcal{G}_{fg} such that $G \hookrightarrow K_G$ for all $G \in \mathcal{G}$.
- Then there exists an uncountable Borel family $\mathcal{F} \subseteq \mathcal{G}$ of pairwise isomorphic groups such that the groups $\{K_G \mid G \in \mathcal{F}\}$ are pairwise *incomparable with respect to relative constructibility*; i.e., if $G \neq H \in \mathcal{F}$, then $K_G \notin L[K_H]$ and $K_H \notin L[K_G]$.

Remarks

- (LC): There exists a Ramsey cardinal κ .
- In ZFC, we can find an uncountable Borel family $\mathcal{F} \subseteq \mathcal{G}$ such that the groups $\{K_G \mid G \in \mathcal{F}\}$ are pairwise incomparable with respect to embeddability.

Why are the Theorems “obviously true”?

Definition

Let E, F be equivalence relations on the Polish spaces X, Y . Then the Borel map $\varphi : X \rightarrow Y$ is a **homomorphism** if

$$x E y \implies \varphi(x) F \varphi(y).$$

Theorem

If $\varphi : \langle \mathcal{G}, \cong_{\mathcal{G}} \rangle \rightarrow \langle \mathcal{G}_{fg}, \cong_{\mathcal{G}_{fg}} \rangle$ is **any** Borel homomorphism, then there exists a group $G \in \mathcal{G}$ such that $G \not\mapsto \varphi(G)$.

Heuristic Reason

Since $\cong_{\mathcal{G}}$ is **much more complex** than $\cong_{\mathcal{G}_{fg}}$, the Borel homomorphism must have a “large kernel” and hence “too many” groups $G \in \mathcal{G}$ will be mapped to a fixed $K \in \mathcal{G}_{fg}$.

Definition

Let E, F be equivalence relations on the Polish spaces X, Y .

- $E \leq_B F$ if there exists a Borel map $\varphi : X \rightarrow Y$ such that

$$x E y \iff \varphi(x) F \varphi(y).$$

In this case, φ is called a **Borel reduction** from E to F .

- $E \sim_B F$ if both $E \leq_B F$ and $F \leq_B E$.
- $E <_B F$ if both $E \leq_B F$ and $E \not\sim_B F$.

The isomorphism relations on \mathcal{G} and \mathcal{G}_{fg}

Definition

Let E be an equivalence relation on the Polish space X .

- E is **Borel** if E is a Borel subset of $X \times X$.
- E is **analytic** if E is an analytic subset of $X \times X$.

Example

If $G, H \in \mathcal{G}$, then

$$G \cong H \quad \text{iff} \quad \exists \pi \in \text{Sym}(\mathbb{N}) \quad \pi[m_G] = m_H.$$

Hence $\cong_{\mathcal{G}}$ is an analytic equivalence relation.

Theorem (Folklore)

The isomorphism relation on \mathcal{G} is analytic but **not** Borel.

The isomorphism relations on \mathcal{G} and \mathcal{G}_{fg}

Theorem

The isomorphism relation on \mathcal{G}_{fg} is a countable Borel equivalence relation.

Definition

*The Borel equivalence relation E is **countable** if every E -class is countable.*

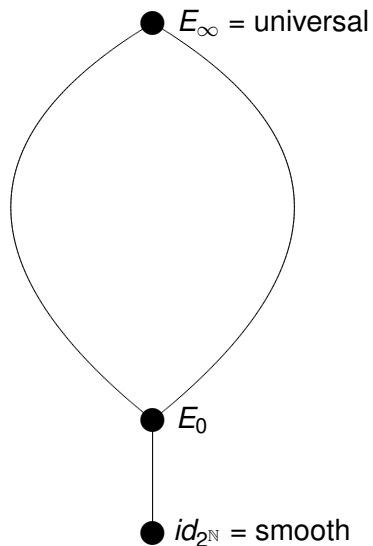
Theorem

$$\cong_{\mathcal{G}_{fg}} <_B \cong_{\mathcal{G}}.$$

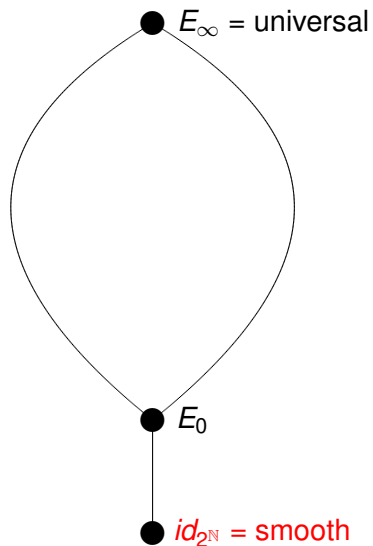
Proof.

Suppose that $f : \mathcal{G} \rightarrow \mathcal{G}_{fg}$ is a Borel reduction. Then $\cong_{\mathcal{G}} = f^{-1}(\cong_{\mathcal{G}_{fg}})$ is Borel, which is a contradiction. □

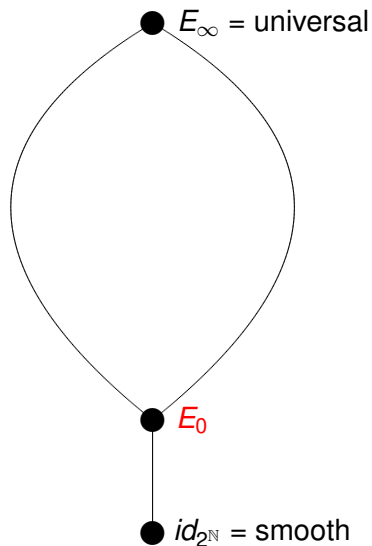
Countable Borel equivalence relations



Countable Borel equivalence relations



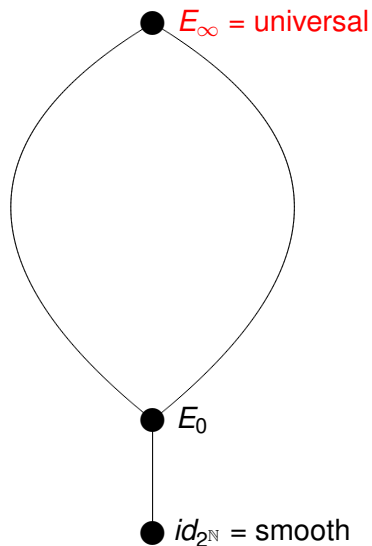
Countable Borel equivalence relations



Definition (HKL)

E_0 is the equivalence relation of *eventual equality* on the space $2^{\mathbb{N}}$ of infinite binary sequences.

Countable Borel equivalence relations



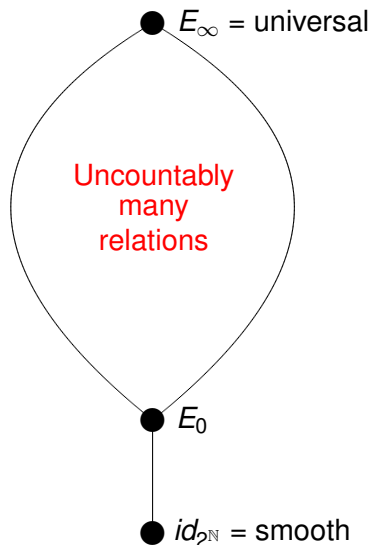
Definition (HKL)

E_0 is the equivalence relation of *eventual equality* on the space $2^{\mathbb{N}}$ of infinite binary sequences.

Definition (DJK)

A countable Borel equivalence relation E is *universal* if $F \leq_B E$ for every countable Borel equivalence relation F .

Countable Borel equivalence relations



Definition (HKL)

E_0 is the equivalence relation of *eventual equality* on the space $2^{\mathbb{N}}$ of infinite binary sequences.

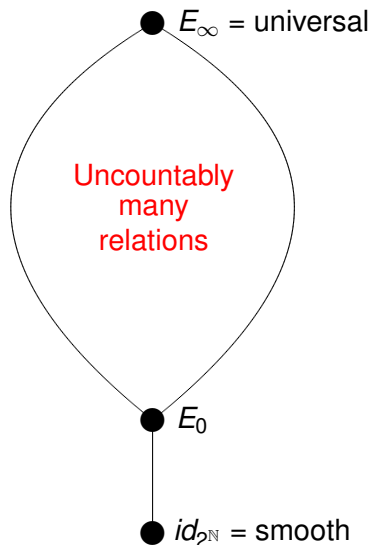
Definition (DJK)

A countable Borel equivalence relation E is *universal* if $F \leq_B E$ for every countable Borel equivalence relation F .

Question

Where do $\cong_{G_{fg}}$ and $\equiv_{\mathcal{T}}$ fit in?

Countable Borel equivalence relations



Confirming a conjecture of Hjorth-Kechris ...

Theorem (S.T.-Velickovic)

$\cong_{\mathcal{G}_{fg}}$ is a universal countable Borel equivalence relation.

Corollary

$\equiv_T \leq_B \cong_{\mathcal{G}_{fg}}$.

Remark

Unfortunately the Word Problem Theorem isn't so "obviously true" ...

How to prove such theorems?

The Word Problem Theorem

- *Reduce to a problem in Recursion Theory and then apply Martin's Theorem on the determinacy of Borel games.*
- *To be explained in the second talk ...*

The HNN Embedding Theorem

- *Collapse the continuum \mathbb{R} to a countable set and then apply a suitable Absoluteness Theorem.*
- *To be explained in the third talk ...*

The obvious follow-up question to the *HNN* Theorem

Question (Cherlin, Hrushovski, ...)

Does there exist a Borel homomorphism $\varphi : \mathcal{G}_3 \rightarrow \mathcal{G}_2$ such that $G \hookrightarrow \varphi(G)$ for all $G \in \mathcal{G}_3$?

The Friedman Embedding Theorem

There exists a Borel homomorphism $\psi : \mathcal{G}_{fg} \rightarrow \mathcal{G}_2$ such that $G \hookrightarrow \psi(G)$ for all $G \in \mathcal{G}_{fg}$.

Question

*What does Friedman know that the group theorists don't know ...
and that might conceivably be useful?*

Answer

Absolutely nothing!

The word problem as a group-theoretic invariant

Theorem (Friedman)

There exists a Borel map $A \mapsto (g_A, h_A)$ from $2^{\mathbb{N}}$ to $\text{Sym}(\mathbb{N}) \times \text{Sym}(\mathbb{N})$ such that:

- If $\Gamma \in \mathcal{G}_{fg}$ and $\text{Word}(\Gamma) \leq_T A$, then $\Gamma \hookrightarrow \langle g_A, h_A \rangle \in \mathcal{G}_2$.
- If $A \equiv_T B$, then $\{g_A, h_A\}$ and $\{g_B, h_B\}$ generate the **same subgroup** of $\text{Sym}(\mathbb{N})$ and so $\langle g_A, h_A \rangle \cong \langle g_B, h_B \rangle$.

Corollary (Friedman)

Let $\psi : \mathcal{G}_{fg} \rightarrow \mathcal{G}_2$ be the Borel homomorphism defined by

$$\Gamma \mapsto \text{Word}(\Gamma) \mapsto \langle g_{\text{Word}(\Gamma)}, h_{\text{Word}(\Gamma)} \rangle.$$

Then $\Gamma \hookrightarrow \psi(\Gamma)$ for all $\Gamma \in \mathcal{G}_{fg}$.



Friedman's Idea

Notation

If $A \in 2^{\mathbb{N}}$, then φ_i^A is the i -th partial A -recursive function and

$$\psi_i^A = \begin{cases} \varphi_i^A & \text{if } \varphi_i^A \in \text{Sym}(\mathbb{N}); \\ \text{id}_{\mathbb{N}} & \text{otherwise.} \end{cases}$$

Lemma (Friedman)

If $A \equiv_T B$, then there exists a *recursive permutation* $\theta \in \text{Sym}(\mathbb{N})$ such that $\psi_i^B = \psi_{\theta(i)}^A$ for all $i \in \mathbb{N}$.

Friedman's Idea

Definition

Define $\pi_A \in \text{Sym}(\mathbb{N} \times \mathbb{N})$ by $\pi_A(i, j) = (i, \psi_i^A(j))$.

Lemma (Friedman)

If $A \equiv_T B$, then there exists a *recursive permutation* $\theta \in \text{Sym}(\mathbb{N} \times \mathbb{N})$ such that $\theta^{-1} \pi_A \theta = \pi_B$.

Definition

Let $H_A \leq \text{Sym}(\mathbb{N} \times \mathbb{N})$ be the subgroup generated by

$$\{\pi_A\} \cup \{\theta \in \text{Sym}(\mathbb{N} \times \mathbb{N}) \mid \theta \text{ is recursive}\}.$$

Friedman's Idea

Notation

For each $g \in \text{Sym}(\mathbb{N})$, define $\tilde{g} \in \text{Sym}(\mathbb{N} \times \mathbb{N})$ by

$$\tilde{g}(i, j) = \begin{cases} (0, g(j)) & \text{if } i = 0. \\ (i, j) & \text{otherwise.} \end{cases}$$

Proposition (Friedman)

$\{\tilde{g} \mid g \in \text{Sym}(\mathbb{N}) \text{ and } g \leq_T A\} \leq H_A.$

Corollary (Friedman)

If $\Gamma \in \mathcal{G}_{fg}$ and $\text{Word}(\Gamma) \leq_T A$, then $\Gamma \leq H_A.$

Galvin's Embedding Theorem

Notation

For each $\pi \in \text{Sym}(\Omega)$, define $\hat{\pi} \in \text{Sym}(\mathbb{Z} \times \mathbb{Z} \times \Omega)$ by

$$\hat{\pi}(m, n, \omega) = \begin{cases} (0, 0, \pi(\omega)) & \text{if } m = n = 0; \\ (m, n, \omega) & \text{otherwise.} \end{cases}$$

Theorem (Galvin)

If $K \leq \text{Sym}(\Omega)$ is a countable subgroup, then there exists a 2-generator subgroup $T_K \leq \text{Sym}(\mathbb{Z} \times \mathbb{Z} \times \Omega)$ such that $\{\hat{k} \mid k \in K\} \leq T_K$.

Definition

Let $\Omega = \mathbb{N} \times \mathbb{N}$ and let K be the group of recursive permutations of $\mathbb{N} \times \mathbb{N}$. Then G_A is the 3-generator group generated by $T_K \cup \{\hat{\pi}_A\}$.

And to get a 2-generator group? **Work a little harder!**

An Open Problem

Observation

The standard group-theoretic constructions (e.g. wreath products, free products with amalgamation, HNN extensions, ...) induce **continuous** homomorphisms $\varphi : \mathcal{G}_{fg} \rightarrow \mathcal{G}_{fg}$.

Conjecture

There does not exist a **continuous** homomorphism $\varphi : \mathcal{G}_3 \rightarrow \mathcal{G}_2$ such that $G \hookrightarrow \varphi(G)$ for all $G \in \mathcal{G}_3$.