



# On the performance of the first-fit coloring algorithm on permutation graphs

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## Abstract

In this paper we study the performance of a particular on-line coloring algorithm, the First-Fit or Greedy algorithm, on a class of perfect graphs namely the permutation graphs. We prove that the largest number of colors  $\chi_{\text{FF}}(G)$  that the First-Fit coloring algorithm (FF) needs on permutation graphs of chromatic number  $\chi(G) = \chi$  when taken over all possible vertex orderings is not linearly bounded in terms of the off-line optimum, if  $\chi$  is a fixed positive integer. Specifically, we prove that for any integers  $\chi > 0$  and  $k \geq 0$ , there exists a permutation graph  $G$  on  $n$  vertices such that  $\chi(G) = \chi$  and  $\chi_{\text{FF}}(G) \geq \frac{1}{2}((\chi^2 + \chi) + k(\chi^2 - \chi))$ , for sufficiently large  $n$ . Our result shows that the class of permutation graphs  $\mathcal{P}$  is not First-Fit  $\chi$ -bounded; that is, there exists no function  $f$  such that for all graphs  $G \in \mathcal{P}$ ,  $\chi_{\text{FF}}(G) \leq f(\omega(G))$ . Recall that for perfect graphs  $\omega(G) = \chi(G)$ , where  $\omega(G)$  denotes the clique number of  $G$ . © 2000 Elsevier Science B.V. All rights reserved.

*Keywords:* On-line coloring; First-Fit algorithm; Algorithms; Permutation graphs; Perfect graphs; Combinatorial problems

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## 1. Introduction

A *coloring* (or proper coloring) of a graph  $G$  is an assignment of positive integers called “colors” to its vertices so that no two adjacent vertices have the same color. The *coloring problem* is to color a graph with as few colors as possible; that is, to minimize the number of colors (see Jensen and Toft [4]). An *on-line coloring* of a graph  $G$  is a procedure that immediately colors the vertices of  $G$  taken from a list without looking ahead or changing the colors already assigned. More precisely, an on-line coloring of  $G$  is an algorithm that properly colors  $G$  by receiving its vertices in some order  $v_1, v_2, \dots, v_n$ . The color of  $v_i$  is assigned by

only looking at the subgraph of  $G$  induced by the set  $\{v_1, v_2, \dots, v_i\}$ , and the color of  $v_i$  never changes thereafter.

Let  $G$  be a graph with an ordering  $v_1 < v_2 < \dots < v_n$  of its vertices and let  $A$  be an on-line coloring algorithm with input  $(G, <)$ . Over all such possible orderings  $<$ , let  $\chi_A(G)$  denote the maximum number of colors used by  $A$  to color  $G$ . Clearly,  $\chi_A(G)$  measures the worst-case behaviour of  $A$  on  $G$ . The minimum number of colors required to color  $G$  off-line is called chromatic number of  $G$ , and is denoted by  $\chi(G)$ .

The simplest on-line coloring is the *First-Fit algorithm* (also sometimes called “the Greedy algorithm”); we will refer to it by the abbreviation FF throughout the paper. Given  $(G, <)$  as input, FF works by receiving the vertices of the graph  $G$  one vertex at time

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in the given order  $v_1 < v_2 < \dots < v_n$  and assigning the smallest possible integer from  $\mathbb{Z}^+$  as the color to vertex  $v_i$  ( $1 \leq i \leq n$ ); that is, the smallest color not yet assigned to any vertex adjacent to  $v_i$  among the previously colored vertices. We note that if the vertices of  $G$  are considered in an ideal sequence then  $\chi_{FF}(G) = \chi(G)$ ; to construct such a sequence first find an optimal coloring of  $G$  and then put all vertices with the same color in consecutive positions in the sequence.

Our objective is to study the performance of the coloring algorithm FF on permutation graphs, a well-known class of perfect graphs. A graph  $G = (V, E)$  is a *permutation graph* if and only if there exists a permutation  $\pi = (\pi_1, \pi_2, \dots, \pi_n)$  on vertex set  $V = \{1, 2, \dots, n\}$  such that  $(i, j) \in E$  if and only if  $(i - j)(\pi^{-1}(i) - \pi^{-1}(j)) < 0$ , for all  $i, j \in V$ , where  $\pi^{-1}(i)$  is the index of the element  $i$  in  $\pi$  [1,8,9].

Many researchers have extensively studied on-line coloring algorithms [2–5]. Most of their work is devoted to the proof of upper bounds for the  $\chi_{FF}(G)$ ; that is, the worst-case behaviour of the coloring algorithm FF [2,4]. We mention here some of them in the case of subfamilies of perfect graphs:  $\chi_{FF}(G) \leq \omega(G) + 1$  if  $G$  is a split graph;  $\chi_{FF}(G) \leq \frac{3}{2}\omega(G)$  if  $G$  is the complement of a bipartite graph;  $\chi_{FF}(G) \leq 2\omega(G) - 1$  if  $G$  is the complement of a chordal graph;  $\chi_{FF}(G) \leq 40\omega(G)$  if  $G$  is an interval graph [5], where  $\omega(G)$  denotes the clique number of  $G$ . (Kierstead and Trotter [7] presented an on-line algorithm for coloring an interval graph  $G$  with at most  $3\omega(G) - 2$  colors and showed that no on-line algorithm could do better; their algorithm was almost, but not quite, the FF algorithm.) These results say that the on-line coloring algorithm FF can color all these subfamilies of perfect graphs by a number of colors that is linearly bounded in respect to the off-line optimum. It is well known that for perfect graphs  $\chi(G) = \omega(G)$ ; hereafter  $\chi(G) = \chi$ .

The main result of this paper is summarized in the following theorem:

**Theorem 1.** *For any integers  $\chi > 0$  and  $k \geq 0$ , there exists a permutation graph  $G$  such that the chromatic number of  $G$  is equal to  $\chi$  and the on-line First-Fit coloring algorithm uses*

$$c_{FF}(G) = \frac{\chi(\chi + 1)}{2} + k \frac{\chi(\chi - 1)}{2}$$

colors to color  $G$ .

A class of graphs  $\mathcal{G}$  is First-Fit  $\chi$ -bounded (or, FF  $\chi$ -bounded) if there exists a function  $f$  such that for all graphs  $G \in \mathcal{G}$ ,  $\chi_{FF}(G) \leq f(\omega(G))$  [4,6]. In this paper we show that, contrary to known results for other graph classes, the class of permutation graphs is not FF  $\chi$ -bounded. In Theorem 1,  $k$  may be any function of  $\chi$ . Thus, we obtain:

**Corollary 1.** *The class of permutation graphs is not FF  $\chi$ -bounded; that is, there exists no function  $f$  such that for all permutation graphs  $G$ ,  $\chi_{FF}(G) \leq f(\chi(G))$ .*

**2.  $A(n)$  and  $B(n)$  permutations**

In this section we define two types of permutations  $A(m)$  and  $B(n)$  of lengths  $m$  and  $n$ , respectively, which we shall use as tools for constructing a permutation graph  $G$  on which  $\chi_{FF}(G)$  is greater than or equal to the values given in Theorem 1. We represent a permutation of length  $n$  as a rearrangement of  $N_n = (1, 2, \dots, n)$ .

Moreover, we define two operations on permutations which we call *x-insertion* and *y-insertion*. Each of these operations is applied on two permutations, say,  $A$  and  $B$  of lengths  $m$  and  $n$ , respectively, and produces a permutation of length  $m + n$ , by inserting the permutation  $B$  into  $A$  in a specific manner.

*2.1. Construction of  $A(n)$  and  $B(n)$*

Let  $A = (a_1, a_2, \dots, a_n)$  and  $B = (b_1, b_2, \dots, b_m)$  be two sequences of lengths  $n$  and  $m$ , respectively, whose elements are drawn from a linearly ordered set  $S$ . We shall use the notation  $C = [A, B]$  to denote the sequence  $C = (a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_m)$ .

We construct  $n$  sequences  $A_1, A_2, \dots, A_n$  of lengths  $n, 2(n - 1), 3(n - 2), \dots, n$ , respectively. Let

$$\begin{aligned} A_1 &= [A_{11}, A_{12}, \dots, A_{1(n-1)}, A_{1n}], \\ A_2 &= [A_{21}, A_{22}, \dots, A_{2(n-1)}], \\ &\vdots \\ A_n &= [A_{n1}] \end{aligned}$$

be these sequences, where  $A_{ij}$  is a sequence of length  $i$ ,  $1 \leq i \leq n$ . The elements of  $A_{ij}$  are denoted

by  $a_{ij}^k$ , where  $k = 1, 2, \dots, i$ ; that is,  $A_{ij} = (a_{ij}^1, a_{ij}^2, \dots, a_{ij}^i)$ .

First, we compute the sequence  $A_1 = [A_{11}, A_{12}, \dots, A_{1(n-1)}, A_{1n}]$ , whose elements are sequences of length 1 each; that is  $A_1 = (a_{11}^1, a_{12}^1, \dots, a_{1n}^1)$ , where  $A_{1j} = (a_{1j}^1)$ . The elements of the sequence  $A_1$  are defined as follows:

$$a_{11}^1 = n$$

and

$$a_{1j}^1 = (n - j + 1) + \frac{1}{2} \sum_{i=0..j-2} (n - i)(n - i + 1),$$

$$j = 2, 3, \dots, n.$$

Next we compute the sequence  $A_i = [A_{i1}, A_{i2}, \dots, A_{i(n-i+1)}]$ , for  $i = 2, 3, \dots, n$ . The elements of the sequence  $A_{ij} = (a_{ij}^1, a_{ij}^2, \dots, a_{ij}^i)$ ,  $1 \leq j \leq n - i + 1$ , are defined as follows:

$$a_{ij}^1 = a_{1j}^1 - i + 1$$

and

$$a_{ij}^k = a_{ij}^{k-1} + (n - j + 1) - (k - 2),$$

$$k = 2, 3, \dots, i.$$

Having computed the sequences  $A_1, A_2, \dots, A_n$ , let us now define the following three sequences:

$$A(n) = [A_{11}, A_{12}, \dots, A_{1(n-1)}, A_{1n}, A_{21}, A_{22}, \dots, A_{2(n-1)}, \dots, A_{n1}],$$

$$A^*(n) = [A_{1n}, A_{2(n-1)}, \dots, A_{n1}],$$

$$B(n) = (1, 2, \dots, n).$$

It follows from the definitions that the sequences  $A(n)$  and  $A^*(n)$  contain  $m = n(n + 1)(n + 2)/6$  and  $m^* = n(n + 1)/2$  elements, respectively. Moreover, by construction the sequence  $A(n)$  is a permutation on  $N_m$ . For example, let us consider the sequences  $A(3)$  and  $A^*(3)$ . By definition  $A(3) = [A_1, A_2, A_3]$  and  $A^*(3) = [A_{13}, A_{22}, A_{31}]$ , where  $A_1 = [A_{11}, A_{12}, A_{13}]$ ,  $A_2 = [A_{21}, A_{22}]$  and  $A_3 = [A_{31}]$ . It is easy to see that,  $A_{11} = (3)$ ,  $A_{12} = (8)$ ,  $A_{13} = (10)$ ,  $A_{21} = (2, 5)$ ,  $A_{22} = (7, 9)$ ,  $A_{31} = (1, 4, 6)$ , and therefore  $A_1 = (3, 8, 10)$ ,  $A_2 = (2, 5, 7, 9)$ ,  $A_3 = (1, 4, 6)$ . Thus,  $A(3) = (3, 8, 10, 2, 5, 7, 9, 1, 4, 6)$  and  $A^*(3) = (10, 7, 9, 1, 4, 6)$ .

## 2.2. Insertion operations

Let  $A = (a_1, a_2, \dots, a^*, \dots, a_n)$  and  $B = (b_1, b_2, \dots, b_m)$  be two permutations on  $N_n$  and  $N_m$ , respectively. We define an operation on  $A$  and  $B$  which produces a permutation  $A_x$  on  $N_{n+m}$  as follows:

$$A_x = (a'_1, a'_2, \dots, a'_n, b'_1, b'_2, \dots, b'_m),$$

where

- (i)  $a'_i = a_i$  for all  $a_i \leq a^*$ ,
- (ii)  $a'_i = a_i + m$  for all  $a_i > a^*$ ,
- (iii)  $b'_i = a^* + i$ ,  $1 \leq i \leq m$ .

The above operation is called *x-insertion* and denoted by  $x\text{-insert}(A; a^*, B)$ . The element  $a^*$  is called a *pivot*. Additionally, we define the *y-insertion* operation on  $A$  and  $B$ , denoted by  $y\text{-insert}(A; a^*, B)$ , which produces a permutation  $A_y$  on  $N_{n+m}$  as follows:

$$A_y = (a_1, a_2, \dots, a_i, b'_1, b'_2, \dots, b'_m, a_{i+1}, a_{i+2}, \dots, a_n),$$

where

- (i)  $a_i = a^*$ ,
- (ii)  $b'_i = n + i$ ,  $1 \leq i \leq m$ .

Let  $A$  be a permutation on  $N_n$ , and let  $A^* = (a_1^*, a_2^*, \dots, a_m^*)$  and  $B = (b_1, b_2, \dots, b_m)$  be two sequences such that  $A^* \subseteq A$ , and  $\|A^*\| = \|B\|$ . In such a case, we shall use the notation  $x\text{-insert}(A; A^*, B)$  to denote the sequence of operations  $x\text{-insert}(A; a_i^*, (1))$ , for  $i = 1, 2, \dots, m$ ; recall that, (1) is a permutation on  $N_1$ . In a similar manner, we shall use the notation  $y\text{-insert}(A; A^*, B)$ .

## 3. The input $(G, <)$ of the FF algorithm

In this section we construct a permutation graph  $G$  and an ordering  $<$  of its vertices such that the algorithm FF with input  $(G, <)$  uses  $c_{FF}(G)$  colors to color  $G$ , where  $c_{FF}(G)$  equals the values given in Theorem 1. We first describe a strategy which transforms a permutation  $\pi$  of length  $n$  into a geometric scheme, which is a set of  $n$  planar points with specific  $x$ - and  $y$ -coordinates, and then we show how a permutation graph is defined by such a scheme.

### 3.1. Permutations and schemes

A set  $P$  of  $n$  points  $\{p_1, p_2, \dots, p_n\}$  in the plane such that  $x(p_i) \neq x(p_j)$  and  $y(p_i) \neq y(p_j)$  for every

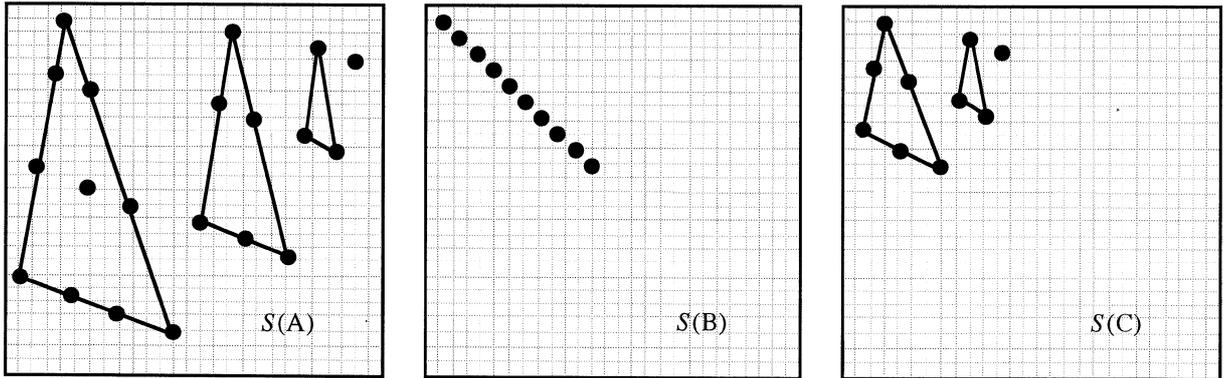


Fig. 1. The three basic schemes  $A(4)$ -scheme,  $B(10)$ -scheme and  $A(3)$ -scheme.

$p_i, p_j \in P$  ( $1 \leq i, j \leq n$  and  $i \neq j$ ), is called *scheme* and denoted by  $S(P)$ . Let  $S(P)$  and  $S(Q)$  be two schemes of  $n$  and  $m$  points, respectively, such that  $x(p_i) \neq x(q_j)$  and  $y(p_i) \neq y(q_j)$  for every  $p_i \in P$  and  $q_j \in Q$  ( $1 \leq i \leq n$  and  $1 \leq j \leq m$ ). The *union* of the schemes  $S(P)$  and  $S(Q)$  is defined to be the scheme  $S(P \cup Q)$  of  $n + m$  points. The number of points in a scheme, say,  $S(P)$ , is denoted by  $|S(P)|$ . A point  $p_i \in S(P)$  is said to be *dominated* by  $p_j \in S(P)$  (or  $p_j$  *dominates*  $p_i$ ) if  $x(p_i) < x(p_j)$  and  $y(p_i) < y(p_j)$ .

Let  $\pi$  be a permutation on  $N_n$ . A  $\pi$ -*scheme* (or *permutation scheme*) is defined to be a scheme of  $n$  points  $\{p_1, p_2, \dots, p_n\}$  such that  $(x(p_i), y(p_i)) = (i, -\pi^{-1}(i))$ ,  $1 \leq i \leq n$ .

The  $A(n)$ -*scheme* and the  $B(n)$ -*scheme* are called *basic schemes*, where  $A(n)$  and  $B(n)$  are the two permutations which we defined in Section 2. Recall that,  $A(n)$  and  $B(n)$  are permutations of lengths  $n(n + 1)(n + 2)/6$  and  $n(n + 1)/2$ , respectively. The parameter  $n$  of the  $A(n)$ -*scheme* (respectively  $B(n)$ -*scheme*) is called *degree* of the  $A(n)$ -*scheme* (respectively  $B(n)$ -*scheme*). For notation convenience we shall omit the parameter  $n$  of the basic scheme  $A(n)$ -*scheme* (respectively  $B(n)$ -*scheme*) and we shall denote it by  $S(A)$  (respectively  $S(B)$ ).

In Fig. 1 there are three basic schemes: an  $S(A)$  scheme of degree 4, an  $S(B)$  scheme of degree 10 and an  $S(A)$  scheme of degree 3; that is, an  $A(4)$ -*scheme*, a  $B(10)$ -*scheme* and an  $A(3)$ -*scheme*.

We next show how a permutation graph is defined by a  $\pi$ -*scheme*. Let  $\pi$  be a permutation on  $N_n$

and let  $G$  be a graph with  $V(G) = \{1, 2, \dots, n\}$  and  $(i, j) \in E(G)$  if and only if  $(i - j)(\pi^{-1}(i) - \pi^{-1}(j)) < 0$ . Let  $S(\pi) = \{p_1, p_2, \dots, p_n\}$  be the  $\pi$ -*scheme* of the permutation  $\pi$ . Then, we define the graph  $G[\pi]$  as follows:

$$V(G[\pi]) = \{p_1, p_2, \dots, p_n\}, \text{ and}$$

$$(p_i, p_j) \in E(G[\pi]) \text{ if and only if } p_i \text{ dominates } p_j.$$

By definition  $G$  is a permutation graph and  $G[\pi] = G$ . Thus, given a permutation  $\pi$  on  $N_n$ , the combinatorial object  $G[\pi]$  and the geometric object  $S(\pi)$  are in one-to-one correspondence; by definition  $\pi$  and  $G[\pi]$  are also in one-to-one correspondence.

### 3.2. Construction of $G[\pi_{FF}]$

Let us now construct a permutation scheme, say,  $S_{FF} := S(\pi_{FF})$ , and an ordering  $<$  of its points (we shall define it in Section 3.3) such that the algorithm FF with input  $(G, <)$  uses  $c_{FF}(G)$  colors to color  $G$ , where  $G = G[\pi_{FF}]$ . Recall that the graph  $G[\pi_{FF}]$  and the permutation scheme  $S(\pi_{FF})$  are in one-to-one correspondence.

Given an integer  $\chi > 0$ , we first construct the basic schemes  $S(A)$ ,  $S(B)$  and  $S(C)$  by using the permutations  $A(\chi)$ ,  $B(\chi(\chi + 1)/2)$  and  $A(\chi - 1)$ , respectively. Then we construct the scheme  $S(A \cup B \cup C)$  of Fig. 2. This construction can be done by first  $y$ -inserting the scheme  $S(B)$  into  $S(A)$  using  $A^*(\chi)$  as pivot; that is,  $y$ -insert( $A; A^*(\chi), B$ ), and then  $x$ -inserting the scheme  $S(C)$  into  $S(A \cup B)$  with pivot

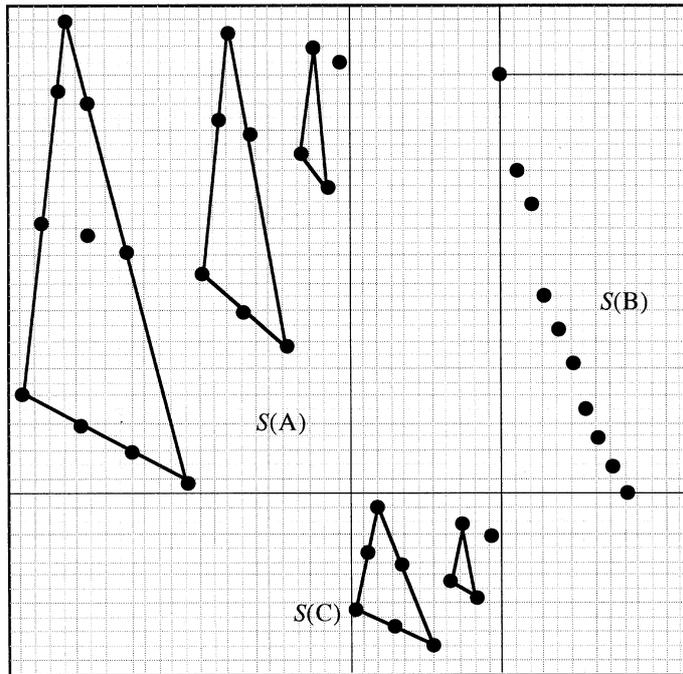


Fig. 2. The permutation scheme  $S(A \cup B \cup C)$ .

the first element  $a_1^*$  of  $A^*(\chi)$ ; that is,  $x\text{-insert}(A \cup B; a_1^*, C)$ .

We next show the way we can extend the scheme  $S(A \cup B \cup C)$ , by creating and inserting various basic schemes into  $S(A \cup B \cup C)$ , so that the resulting scheme is  $S_{FF}$ . In order to do that we first set  $S_{FF} := S(A \cup B_0 \cup C_0)$ , where  $B_0 = B$  and  $C_0 = C$ . Then, we construct the scheme  $S(B'_i)$  by using the permutation  $B(b)$  of length  $b = |B_i|$  and  $x\text{-insert}$  it into the scheme  $S_{FF}$  using  $B_i$  as pivot,  $i \geq 0$ . The result of the  $x\text{-insertion}$  operation is an updated scheme  $S_{FF}$  which is the union of  $S_{FF}$  with  $S(B'_i)$ . Next, we construct the scheme  $S(B_{i+1})$  by using the permutations  $B(b)$ , where  $b = |C_i^* \cup B'_i|$ , and  $y\text{-insert}$  it into the scheme  $S_{FF}$  using  $C_i^* \cup B'_i$  as pivot. Now, the result of the  $y\text{-insertion}$  operation is an updated scheme  $S_{FF}$  which is the union of  $S_{FF}$  with  $S(B_{i+1})$ . Finally, we construct the scheme  $S(C_{i+1})$  by using the permutation  $A(\chi - 1)$  and  $x\text{-insert}$  this scheme into the scheme  $S_{FF}$  with pivot the point  $b'_i$ , where  $b'_i$  is a point of the scheme  $S(B'_i)$  such that  $x(b'_i) = |S_{FF}| + |S(B_{i+1})|$  and  $y(b'_i) = |S_{FF}|$ . The resulting

permutation scheme  $S_{FF}$  is the union of  $S_{FF}$  with  $S(C_{i+1})$ .

Clearly, we can extend the permutation scheme  $S_{FF}$  by repeatedly applying the above construction process for  $i = 1, 2, \dots, k - 1$  (see Fig. 3). Again, the resulting scheme  $S_{FF}$  and the graph  $G[\pi_{FF}]$  are in one-to-one correspondence.

We are now in a position to give a formal description of the way we can construct a permutation scheme  $S_{FF}$  for which we shall define an ordering  $<$  such that the algorithm FF with input  $(G, <)$  uses  $c_{FF}(G)$  colors to color  $G$ , where  $G = G[\pi_{FF}]$ . In the proposed algorithm we shall use the notation “ $x\text{-insert}(A; B, C) \Rightarrow S(Q)$ ” to denote that the scheme  $S(Q)$  is produced by  $x\text{-inserting}$  the permutation  $C$  into  $A$  using  $B$  as pivot. The construction algorithm is formally presented (see Algorithm Scheme\_SFF).

By construction, the geometric object  $S_{FF}$  consists of the three basic schemes  $S(A)$ ,  $S(B)$  and  $S(C)$  of degrees  $\chi$ ,  $\chi(\chi + 1)/2$  and  $\chi - 1$ , respectively, and some number of basic schemes  $S(B'_i)$ ,  $S(B_i)$  and  $S(C_i)$  of various degrees, where  $\chi$  is a fixed positive

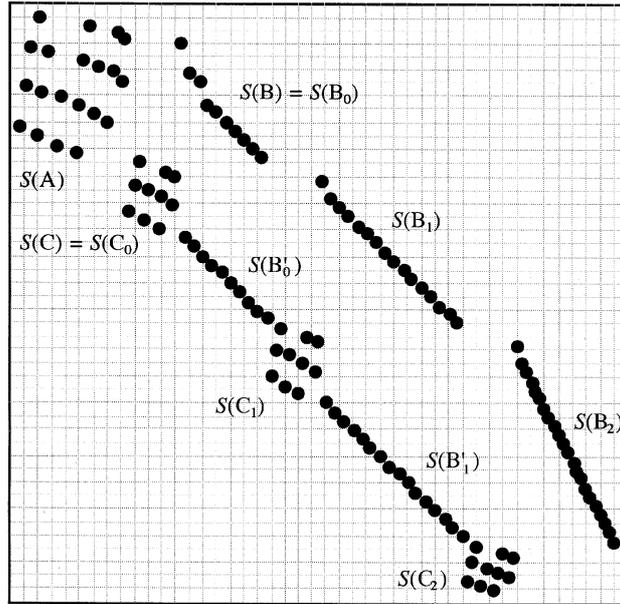


Fig. 3. The geometric object  $S_{FF}$ , where  $\pi$  is a permutation on  $N_{131}$ .

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**Algorithm** Scheme\_SFF :

**Step 1.** Construct the scheme  $S(A)$  by using the permutations  $A(\chi)$ ;

**Step 2.** Construct the scheme  $S(B) = S(B_0)$  by using the permutations  $B(b)$  where  $b = \chi(\chi + 1)/2$ , and apply the operation  $y\text{-insert}(A; A^*(\chi), B_0) \Rightarrow S(A \cup B_0)$ ;

**Step 3.** Construct the scheme  $S(C) = S(C_0)$  by using the permutation  $A(\chi - 1)$ , and apply the operation  $x\text{-insert}(A \cup B; a_1^*, C_0) \Rightarrow S(A \cup B_0 \cup C_0)$ ;  
Set  $S_{FF} := S(A \cup B_0 \cup C_0)$ ;

**Step 4.** for  $i = 0, 1, \dots, k - 2$

4.1 Construct the scheme  $S(B'_i)$  by using the permutation  $B(b)$  where  $b = |B_i|$ , and apply  $x\text{-insert}(S_{FF}; B_i, B'_i) \Rightarrow S_{FF}^1$ ;

4.2 Construct the scheme  $S(B_{i+1})$  by using the permutations  $B(b)$  where  $b = |C_i^* \cup B'_i|$ , and apply  $y\text{-insert}(S_{FF}^1; C_i^* \cup B'_i, B_{i+1}) \Rightarrow S_{FF}^2$ ;

4.3 Construct the scheme  $S(C_{i+1})$  by using the permutation  $A(\chi - 1)$ , select the point  $b'_i$  from  $B'_i$  such that  $x(b'_i) = |S_{FF}| + |S(B_{i+1})|$  and  $y(b'_i) = |S_{FF}|$ , and apply  $x\text{-insert}(S_{FF}^2; b'_i, C_{i+1}) \Rightarrow S_{FF}^3$ ;

4.4 Set  $S_{FF} := S_{FF}^3$ ;

end;

**end**

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integer and  $i \geq 0$ . The schemes  $S(A)$  and  $S(C_i)$  are constructed by using the permutation  $A$ , while the schemes  $S(B'_i)$  and  $S(B_i)$  are constructed by using the permutation  $B$  (see Section 2). We say that the schemes  $S(A)$ ,  $S(C_i)$ ,  $S(B'_i)$  and  $S(B_i)$  are of  $A$ -type,  $C$ -type,  $B'$ -type and  $B$ -type, respectively. The geometric object of Fig. 3 is produced by Algorithm

Scheme\_SFF after two iterations of Step 4; that is, for  $k = 3$ .

### 3.3. An ordering of $V(G[\pi_{FF}])$

We are interested in finding an ordering  $<$  of the  $n$  points of the scheme  $S_{FF}$ ; that is,  $p_1 < p_2 < \dots < p_n$

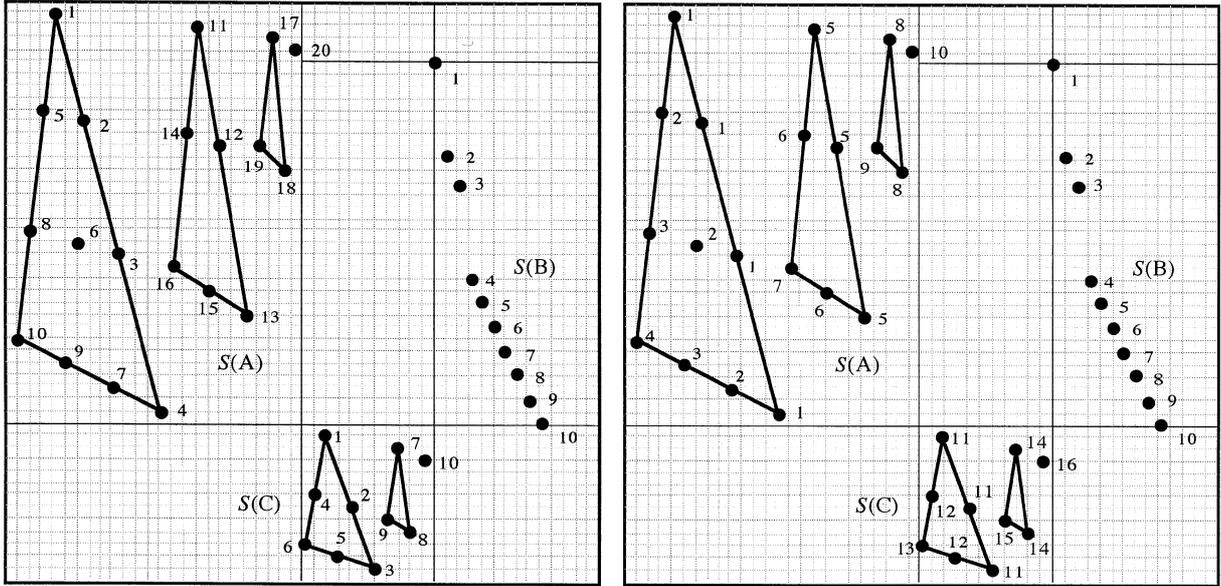


Fig. 4. The orderings of the points of the three basic schemes  $S(A)$ ,  $S(B)$  and  $S(C)$ , and the FF coloring of the scheme  $S(A \cup B \cup C)$ .

such that the coloring algorithm FF with input  $(G, <)$  uses  $c_{FF}(G)$  colors to color  $G$ , where  $G = G[\pi_{FF}]$  and  $c_{FF}(G) = \frac{1}{2}((\chi^2 + \chi) + k(\chi^2 - \chi))$ . To this end, we order the points of the two basic schemes as shown in Fig. 4; that is, we order the points of the scheme  $S(C_i)$  in the same way as  $S(C)$ , since  $S(C_i)$  and  $S(C)$  have the same structure,  $i > 0$ . Finally, the points of the scheme  $S(B'_i)$  (respectively  $S(B_i)$ ) are ordered such that  $p_i < p_j$  if and only if  $x(p_i) < x(p_j)$  for every  $p_i, p_j \in S(B'_i)$  (respectively  $S(B_i)$ ).

Having defined an ordering of the points of each individual scheme of the geometric object  $S_{FF}$  (see Fig. 4), let us now define an ordering  $<_s$  on its schemes. Suppose that  $S_{FF}$  consists of an  $A$ -type scheme,  $k$   $C$ -type schemes,  $k$   $B$ -type schemes and  $k - 1$   $B'$ -type schemes. The ordering  $<_s$  on the components of  $S_{FF}$  (i.e.,  $S(A)$ ,  $S(B_i)$ ,  $S(B'_i)$  and  $S(C_i)$ ,  $0 \leq i \leq k - 1$ ) is defined as follows:

- (i)  $S(A) <_s S(B_0) <_s S(C_0)$ ;
- (ii)  $S(C_i) <_s S(B'_i) <_s S(B_{i+1}) <_s S(C_{i+1})$ ,  $i = 0, 1, \dots, k - 1$ .

Let  $S(P), S(Q)$  be two schemes of  $S_{FF}$  and let  $p, q$  be two points such that  $p \in S(P)$  and  $q \in S(Q)$ . Then,  $p < q$  if and only if  $S(P) <_s S(Q)$ . Thus, we

have defined an ordering  $<$  on the points of  $S_{FF}$ . In Fig. 4 we show the orderings of the points of the basic schemes  $S(A)$ ,  $S(B)$  and  $S(C)$ ; left figure, and the FF coloring of the scheme  $S(A \cup B \cup C)$ ; right figure. We note that,  $S(A) <_s S(B) <_s S(C)$ .

#### 4. The performance of the FF algorithm

Let  $S_{FF}$  be a permutation scheme of degree  $n$  constructed by Algorithm Scheme\_SFF. Let  $\chi$  be the degree of the basic scheme  $S(A)$  of  $S_{FF}$  and let  $k$  be the number of schemes  $S(C_0), S(C_1), \dots, S(C_{k-1})$  in  $S_{FF}$ . Consider the permutation graph  $G[\pi_{FF}]$  which corresponds to the permutation scheme  $S_{FF}$  and let  $(G[\pi_{FF}], <)$  be the input of the algorithm FF, where  $<$  is the ordering constructed in Section 2. Then, the following statements hold:

- (i)  $\chi(\chi + 1)/2$  colors are assigned to scheme  $S(A)$ ;
- (ii) zero new colors are assigned to scheme  $S(B)$ ; the scheme  $S(B)$  is colored with the  $\chi(\chi + 1)/2$  colors of  $S(A)$ ;
- (iii)  $\chi(\chi - 1)/2$  new colors are assigned to scheme  $S(C_i)$ ,  $i = 0, 1, \dots, k - 1$ ;

- (iv) zero new colors are assigned to scheme  $S(B'_i)$ ; the scheme  $S(B'_i)$  is colored with the colors of  $S(B_i)$ ,  $i = 0, 1, \dots, k - 2$ ;
  - (v) zero new colors are assigned to scheme  $S(B_i)$ ; the scheme  $S(B_i)$  is colored with the colors of  $S(C_{i-1} \cup B'_{i-1})$ ,  $i = 0, 1, \dots, k - 1$ ;
- Thus,  $c_{FF}(G[\pi_{FF}]) = \chi(\chi + 1)/2 + k\chi(\chi - 1)/2$ , where  $\chi = \chi(G[\pi_{FF}])$ . Thus, Theorem 1 is proved.

We now compute the number  $n = n(\chi, k)$  of vertices of the graph  $G[\pi_{FF}]$  as a function of  $\chi$  and  $k$ , where  $\chi$  is the chromatic number of the graph  $G[\pi_{FF}]$  (or, equivalently, the degree of the scheme  $S(A)$  of  $S_{FF}$ ) and  $k$  is the number of schemes of  $C$ -type in the permutation scheme  $S_{FF}$ .

Let

$$\chi_{FF}^i = \frac{\chi(\chi + 1)}{2} + \frac{i\chi(\chi - 1)}{2}, \quad 0 \leq i \leq k.$$

Notice that  $\chi_{FF}^i$  is the number of colors of the scheme  $S(A \cup B_0 \cup C_1 \cup \dots \cup C_{i-1})$ ,  $1 \leq i \leq k$ . Recall that  $n_a, n_b$  and  $n_c$  denote the number of points in the schemes  $S(A), S(B)$  and  $S(C)$ , respectively. Then, it is easy to see that the minimum number  $n_0$  of vertices of a graph  $G[\pi_{FF}]$  on which FF uses  $\chi_{FF}^0$  colors is  $n_0 = n_a$  (we note that the algorithm FF with input  $(S_{FF}, <)$  also uses  $\chi_{FF}^0$  colors to color the scheme  $S(C \cup B)$  which consists of  $n_a + n_b > n_0$  points); the minimum number  $n_1$  of vertices of  $G[\pi_{FF}]$  on which FF uses  $\chi_{FF}^1$  colors is  $n_1 = n_0 + n_b + n_c$ ; the minimum number  $n_2$  of vertices of  $G[\pi_{FF}]$  on which FF uses  $\chi_{FF}^2$  colors is  $n_2 = n_1 + \chi_{FF}^0 + \chi_{FF}^1 + n_c$ ; and so on. Thus,

$$\begin{aligned} n_1 &= n_a + n_b + n_c, \\ n_2 &= n_1 + \chi_{FF}^0 + \chi_{FF}^1 + n_c, \\ &\vdots \\ n_k &= n_{k-1} + \chi_{FF}^{k-2} + \chi_{FF}^{k-1} + n_c. \end{aligned}$$

Then we have,

$$\begin{aligned} n_k &= n_a + n_b + n_c \\ &\quad + (\chi_{FF}^0 + \chi_{FF}^1 + \dots + \chi_{FF}^{k-2}) \\ &\quad + (\chi_{FF}^1 + \chi_{FF}^2 + \dots + \chi_{FF}^{k-1}) \\ &\quad + (k - 1)n_c \\ &= n_a + n_b + kn_c + 2(k - 1)\chi(\chi + 1)/2 \\ &\quad + (k - 1)^2\chi(\chi - 1)/2. \end{aligned}$$

We have shown that the scheme  $S(A)$  consists of  $n_a = \chi(\chi + 1)(\chi + 2)/6$  points, the scheme  $S(B)$  consists of  $n_b = \chi(\chi + 1)/2$  points and the scheme  $S(C)$  consists of  $n_c = n_a - \chi(\chi + 1)/2$  points. Thus,

$$n(\chi, k) = \begin{cases} (k + 1)n_a + (k - 1)(k\chi - k + 2)\chi/2, & \text{for } k \geq 1, \\ n_a, & \text{for } k = 0, \end{cases}$$

where  $n_a = \chi(\chi + 1)(\chi + 2)/6$ .

Thus, we have proved that the largest number of colors  $\chi_{FF}(G)$  that the on-line coloring algorithm FF needs on permutation graphs  $G$  with  $n$  vertices and chromatic number  $\chi$  when taken over all possible vertex orderings is no less than  $\frac{1}{2}((\chi^2 + \chi) + k(\chi^2 - \chi))$ , where  $k$  is a nonnegative integer. The graph we constructed for which the algorithm FF uses that many colors has  $n = n(\chi, k) \geq \chi(\chi + 1)(\chi + 1)/6$  vertices.

### 5. Conclusions

In this paper we studied the behaviour of the on-line coloring algorithm FF on the class of permutation graphs. We used a simple graphical representation of such graphs in the plane which makes possible intuitive description of the construction of the “bad” permutation graph  $G[\pi_{FF}]$ . Based on this graph, we showed that the class of permutation graphs is not FF  $\chi$ -bounded: for any integers  $\chi > 0$  and  $k \geq 0$ , there exists a permutation graph  $G$  on  $n$  vertices such that  $\chi(G) = \chi$  and  $\chi_{FF}(G) \geq \frac{1}{2}((\chi^2 + \chi) + k(\chi^2 - \chi))$ , for sufficiently large  $n$ . Recall that, a class of perfect graphs  $\mathcal{P}$  is FF  $\chi$ -bounded if there exists a function  $f$  such that for all graphs  $G \in \mathcal{P}$ ,  $\chi_{FF}(G) \leq f(\chi(G))$ .

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